

THE INTEGRITY LEARNING SERIES



Anticorruption and Integrity Training

14 May 2026
Tashkent



Welcome



Thank you for being here today! The workshop will begin shortly.

Please register online and help yourself to a cup of tea or coffee before we begin!

WELCOME

Meet your facilitators



Noel B. Anderson
Integrity Specialist



Elsa M. Ronterre
Integrity Specialist

WELCOME

Some Housekeeping Rules

-  Be on time
-  Build relationships
-  Be optimistic we can make a better world
-  Share the stage
-  Use the tools
-  Raise confidential queries during breaks
-  Be present and focused
-  Be ready to be challenged and defer judgment

WELCOME

Agenda for the day

Introduction to the ILS	15 mins
Session 1 Foundations: Integrity and your role	60 mins
Break	15 mins
Session 2 Recognizing and Reducing Integrity Risk Red Flags Due Diligence	45 mins
Wrap-up and Q&A	15 mins
Lunch / Break	60 mins

WELCOME

Introduction to the Integrity Learning Series Workshop

This session will cover:

How integrity risks arise in ADB-supported projects and the actions you can take when they occur.

1. Prevent common integrity risks in development projects
2. Recognize red flags early, before they become serious problems
3. Know when and how to raise concerns with confidence

WELCOME

Who is in the room today?



5 MINS



1. Let's all stand up and come to the front of the room.
2. We are going to show a statement on the next slide.
3. Based on what best describes you, move to a spot along the line we will format the front of the room.

WELCOME

How long have you been collaborating on projects with ADB?



5 MINS

New to the topic

Very experienced

WELCOME

How familiar are you with the topic of integrity violations on projects



5 MINS



New to the topic

Very experienced

WELCOME

We will be using Mentimeter today

We will be using **Mentimeter** today because we would like to:



Hear from everyone

A simple way for the whole group to contribute



Learn from each other

See how colleagues across roles are thinking



Stay engaged

Short activities and check-ins support to reflection

ABOUT USING MENTIMETER

1. Use your phone to scan the QR code on the screen
2. Key in your response
3. Responses are anonymous

WELCOME

Scenario: Where is the line for integrity?

You find a wallet on the street containing a large sum of money and the owner's ID. Would you:

- A. Keep the money, justifying it as “finders keepers”?
- B. Report the wallet to the lost and found, hoping the owner claims it?
- C. Contact the owner directly using the ID, prioritizing the return of the money?



Scan the QR Code
Go to [menti.com](https://www.menti.com) and
enter code **2368 7156**

WELCOME

Scenario: Where is the line for integrity?

There is a job vacancy in your department. Your friend, who is looking for a job, would be a great fit for the role. You want to help them. What steps will you take? (Select all that apply).

- A. Share the job advertisement with your friend and recommend that they apply.
- B. Review their application and suggest edits to their responses.
- C. Contribute to developing or refining the selection criteria for the role while your friend is applying.
- D. Let the selector know that your friend is applying and recommend that they be considered for the role.
- E. Offer advice on how selection panels usually assess candidates, based on your experience.
- F. Help them prepare for the interview by sharing additional information about the role.



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enter code **2368 7156**

WELCOME

What does this tell us about Integrity?

Issues of integrity can cover a broad range of situations—from the very clear to the very complex.

Throughout this session, we will focus on building your capabilities in understanding, identifying, and mitigating integrity risks in ADB-financed projects.



WELCOME

Corruption Perception Index

EASTERN EUROPE AND CENTRAL ASIA

UZBEKISTAN

Score
31/100

Rank
124/182

Score Change
 -1 since 2024

01

Integrity Foundations



SECTION 1

ADB Office of Anticorruption and Integrity (OAI)

OAI leads the integrity initiatives of ADB through the conduct of investigations, proactive integrity reviews, integrity due diligence, and knowledge-sharing with ADB's stakeholders.

SECTION 1

OAI Investigations

Helps safeguard development funds and prevent integrity problems from recurring. The Investigations Division serves as the initial point of contact for all allegations of integrity violations in projects and other activities of ADB.

SECTION 1

OAI Proactive Integrity Review (PIR)

A cornerstone of an effective anticorruption program is to actively identify and address risks of fraud and corruption.

As mandated by ADB's Anticorruption Policy, OAI conducts PIRs to help prevent and detect integrity violations.

SECTION 1

OAI Integrity Due Diligence

OAI responds to inquiries and assists operational departments in identifying red flags and other potential risk areas that could have an immediate or future adverse impact to ADB or on the implementation of its projects, including any perceived reputational risk.

SECTION 1

Integrity, ADB, and Your Role

This session will cover:

Why integrity matters in development projects and what ADB expects from you.

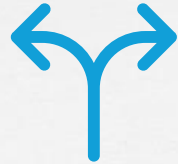
1. Why integrity risks matter in ADB-financed projects.
2. How integrity fits into ADB's way of working.
3. What is your role in preventing and managing integrity risks.

SECTION 1

Why do the highest standards of integrity matter on ADB projects?



It protects
development impact



It supports better
decision making



It strengthens
trust



It protects you and
the institution



It saves time
and effort

SECTION 1

ADB's Anticorruption and Integrity Framework

Applies to executing and implementing agencies, contractors, consultants, suppliers, ADB staff, and anyone connected to an ADB-financed, -administered, or -supported activity.

Download your copy from www.adb.org/integrity



SECTION 1

ADB's Anticorruption and Integrity Framework



Promote **effective and transparent** public administration, including good governance and capacity building.



Support efforts in the region through anticorruption dialogue with the DMCs.

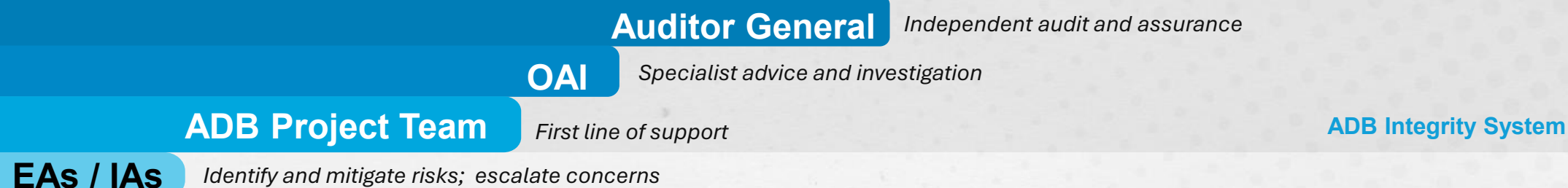


Ensure that ADB projects and staff adhere to the **highest ethical standards**.

Corruption is essentially about giving or receiving something of value to influence a decision in an improper way.

SECTION 1

How does this relate to your role?



EAs/IAs involved in ADB-supported activities

Conduct integrity due diligence, spot red flags, manage integrity risk, and escalate to OAI where necessary

ADB Project Team

First point of support for identifying and managing integrity issues on the ground

Office of Anticorruption and Integrity (OAI)

Conducts investigations, provides specialist support, and builds integrity capability

Auditor General

Independent audit and assurance of ADB's integrity risk management

SECTION 1

Your Role as Executing and Implementing Agencies

Your primary role is to protect project outcomes and public value by implementing the project fairly and with integrity.

To achieve this, you need to **conduct integrity due diligence, spot integrity red flags, manage integrity risk, and report integrity violations** to OAI for assessment and further investigation as warranted.

SECTION 1

Your Role as Executing and Implementing Agencies

This includes:



Trust, but verify—especially in areas prone to abuse (finance and administration, tax privileges, personal use of ADB resources)



Promote a strong integrity due diligence practices at your team level



Encourage and participate in conversations about integrity red flags and violations in your team



Support OAI as frontline partners to manage elevated integrity risks



Report suspected violations to OAI when found in ADB projects.



Cooperate with OAI investigations

SECTION 2

How confident do you feel about the expectations we have set? Arrange them from most to least confident.



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02

Integrity Risk, Prevention, and Due Diligence

SECTION 2

Integrity Risk, Prevention, and Due Diligence

This session will cover:

What does a systemic view of an integrity risk management look like.

1. What does a system of integrity risk management look like.
2. Reflection on your own integrity risk management approach.

SECTION 2

Integrity Violations

The following are integrity violations as defined by ADB:

MDB-Harmonized Definitions



Fraud



Corruption



Collusion



Coercion

} Harmonized definitions enable mutual enforcement of debarment decisions across multilateral development banks.



**Failure to disclose
and manage a
conflict of interest**



Obstruction



Abuse



**Retaliation Against
Whistleblowers
or Witnesses**



**Violation of
Debarment**



**Failure to Adhere to
the Highest Ethical
Standards**

SECTION 2

We need a strong system of integrity risk management

An integrity risk management system is everything your organization puts in place to manage integrity risks, from policies and procedures to leadership and culture.

Most integrity risks can be managed by a few repeatable actions.

SECTION 2

We need a strong system of integrity risk management



Integrity due diligence process

A systematic, repeatable integrity due diligence process that:

- Uses good data
- Maintains clear documentation
- Enables defensible decisions



Spotting red flags early

Continuous processes to monitor and identify red flags.

Escalating a red flag is good risk management, not an accusation.



Assessing and managing risk

Once a red flag is identified, it is important to:

- Understand what it may mean for the process or project
- Assess potential integrity risks
- Take proportionate risk-mitigation or preventive actions

SECTION 2

We need a strong system of integrity risk management



Escalation and reporting

Where there are allegations, suspected violations, or grounds for suspension, matters must be escalated and reported in line with organizational requirements (regardless of other risk management actions taken).

For ADB-supported projects, relevant cases should be escalated to OAI.



Investigations and enforcement action

There is a trusted capability to conduct investigations, document findings, and apply appropriate actions where violations are confirmed.

Consequences may include contractual remedies, corrective actions, or strengthened controls that reinforce accountability and deter future misconduct.

SECTION 2

How is integrity risk management applied in practice

1

There is a systematic, repeatable process

2

The tools to conduct integrity due diligence are accessible

3

Documentation is consistent and clear, and decisions are defensible

4

Identified integrity risks are actively mitigated

5

There is a clear red flag escalation process

6

Monitoring continues after approval or award and throughout implementation

SECTION 2

Reflect on your own organizational context



5 MIN

- What are some of the most common integrity risks you may encounter—or already encounter—in your context?
- What measures do you have in place to help manage those integrity risks? Do you have designated staff responsible for sanctions screening or due diligence?
- Where do you think your team or organization could further strengthen its approach?

03

Debarment and Suspension

SECTION 3

Debarment and Suspension

This session will cover:

How debarment and suspension work, what the registers are for, and how to use them in practice.

1. What debarment and suspension mean in ADB related projects.
2. How to access and use the ADB Debarment and Suspension Register.

SECTION 3

Your Role as Executing and Implementing Agencies

Key takeaway:

Sanctions screening is the first step to integrity due diligence.

SECTION 3

What is debarment and suspension?

Debarment

- A formal sanction where ADB prohibits a firm or individual from participating in ADB-related activities.
- Can be time-bound and reinstatement may be with conditions.

Suspension

- A temporary restriction while an integrity concern is being assessed or investigated.
- No final finding has been made yet.

WHY THIS MATTERS

Debarment and suspension are ADB's primary tools to enforce integrity requirements.

SECTION 3

What is Sanctions Screening?

Sanctions Screening

Checking whether bidders, suppliers, contractors, or consultants appear on ADB's Debarment and Suspension Registers and the UN Consolidated Sanctions List.

WHY THIS MATTERS

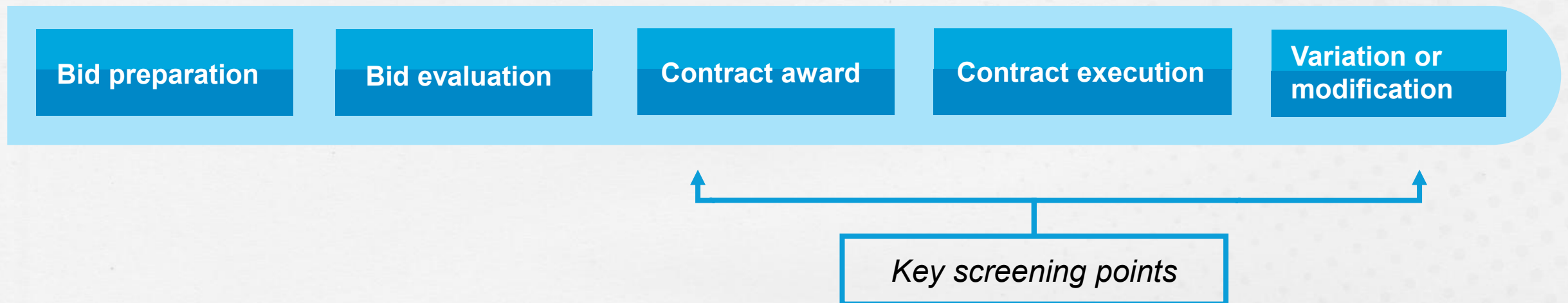
Sanctioned or suspended firms and individuals are **not eligible** to participate in ADB-related activities.

WHO IS RESPONSIBLE

Executing Agencies are required to apply sanctions screening at **all stages of the procurement and project delivery process.**

SECTION 3

Executing Agencies are Responsible for Sanctions Screening



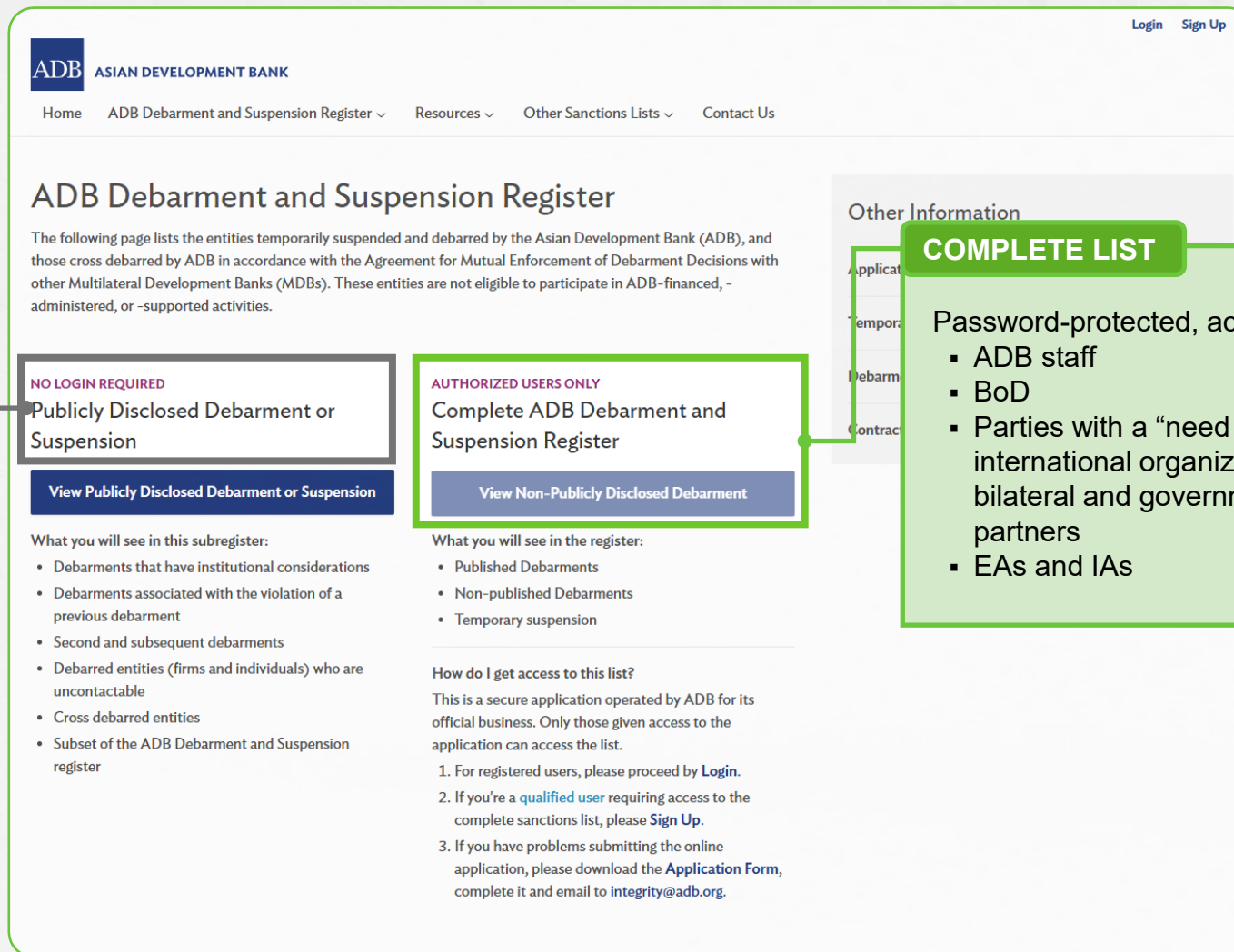
SECTION 3

Debarment Checks

 <http://sanctions.adb.org>

PUBLISHED LIST

Accessible to the public



The screenshot shows the ADB Debarment and Suspension Register website. The header includes the ADB logo and navigation links: Home, ADB Debarment and Suspension Register, Resources, Other Sanctions Lists, and Contact Us. The main heading is "ADB Debarment and Suspension Register". Below it, a paragraph explains that the page lists entities temporarily suspended and debarred by the ADB, and those cross-debarred by ADB in accordance with the Agreement for Mutual Enforcement of Debarment Decisions with other Multilateral Development Banks (MDBs). These entities are not eligible to participate in ADB-financed, -administered, or -supported activities.

Two main sections are highlighted with green boxes:

- NO LOGIN REQUIRED**: Publicly Disclosed Debarment or Suspension. A button labeled "View Publicly Disclosed Debarment or Suspension" is shown. Below it, a list of what users will see in this subregister is provided.
- AUTHORIZED USERS ONLY**: Complete ADB Debarment and Suspension Register. A button labeled "View Non-Publicly Disclosed Debarment" is shown. Below it, a list of what users will see in the register is provided.

On the right side, a green box labeled "COMPLETE LIST" contains the text: "Password-protected, accessible to:" followed by a list of authorized users.

At the bottom right, a section titled "How do I get access to this list?" provides instructions for registered users, qualified users, and those with submission problems.

SECTION 3

Registration to the ADB Debarment and Suspension Register

Please scan the QR code and follow the step-by-step instructions on the next slides.



Scan the **QR Code** to
begin registration

Debarment Checks



SECTION 3

Debarment Checks

ADB

ASIAN DEVELOPMENT BANK

Home

ADB Debarment and Suspension Register

Resources

Other Sanctions Lists

Contact Us

Login

Sign Up

Application Form

Apply for access to Asian Development Bank full sanctions list:

Your Name*

First name

Middle name

Last name

Email Address*

Designation*

i.e. Deputy Secretary / Proj Officer

Organization / Agency*

i.e. Organization, Ministry, Employer, etc.

Address*

Country

Select a country

Telephone Number*

In connection with this application, I confirm that: I am involved in an ADB-financed, administered or supported activity in my capacity as:

Designation for this project*

i.e. Project Director etc.

Project(s) *

ADB Loan number(s) *

Project is being executed/implemented by:

Organization / Agency*

ADB Project Officer's contact information:

Project Officer*

Project Officer's ADB Email*

Information on why access is required

If granted access, I shall use the information in ADB Debarment and Suspension Register exclusively in connection with the project identified above. I shall protect the confidentiality of the information and use it only for the purpose for which the access has been granted.

The information will be granted without prejudice to the privileges and immunities conferred on ADB and its officers and employees by the Agreement Establishing the Asian Development Bank and other applicable sources of law. ADB reserves the right to invoke its privileges and immunities at any time with respect to the information provided and in any administrative or other proceedings.

☐ Accept Term

Make sure you fill in the name and email address of an ADB project officer who can verify your involvement in the project

SECTION 3

Debarment Checks

The screenshot shows the ADB Debarment and Suspension Register website. The header includes the ADB logo and navigation links: Home, ADB Debarment and Suspension Register, Resources, Other Sanctions Lists, and Contact Us. The main heading is "Complete ADB Debarment and Suspension Register". Below this is a search bar with a magnifying glass icon and a search button. To the right of the search bar are dropdown menus for Entity Type, Sanction Type, Nationality, and Cross-Debarred. Below the search bar is a table with columns: Entity Type, Name, Address, Sanction Type, Other Name / Logo, Nationality, Effect Date / Lapse Date, Grounds, and Changes Made on. A green callout box with a magnifying glass icon contains the text "Search names using the search bar". Below this is a green box labeled "TO NOTE" containing two bullet points: "Avoid including common terms when searching 'Ltd', 'Company', 'LLC'" and "Remove leading terms such as 'M/S, or M/S.'" from company names. An example is provided: "Example: Use 'A2Z' when searching for A2Z Waste Management". The page footer shows "Page 1 of 1" and navigation arrows.

ADB ASIAN DEVELOPMENT BANK

Home ADB Debarment and Suspension Register Resources Other Sanctions Lists Contact Us

Complete ADB Debarment and Suspension Register

Search

Entity Type Sanction Type Nationality Cross-Debarred

Entity Type	Name	Address	Sanction Type	Other Name / Logo	Nationality	Effect Date / Lapse Date	Grounds	Changes Made on
-------------	------	---------	---------------	-------------------	-------------	--------------------------	---------	-----------------

Search names using the search bar

TO NOTE

- Avoid including common terms when searching "Ltd", "Company", "LLC"

Example: Use "A2Z" when searching for A2Z Waste Management

- Remove leading terms such as "M/S, or M/S." from company names

Page 1 of 1

SECTION 3

United Nations Security Council Sanctions: Obligations

This list is downloadable and searchable





United Nations

Security Council

EN X SEARCH

Search

FORCES DEMOCRATIQUES DE LIBERATION DU RWANDA

United Nations Security Council Consolidated List

- Composition of the List
- Identifiers and acronyms in the List
- Individuals
- Entities
- Mailing list Subscription
- Search UN Security Council Consolidated Lists

United Nations Security Council Consolidated List

List in alphabetical order

PDF

Xml

Html

List by Permanent Reference Number

Pdf

Xml

Html

The Consolidated List includes all individuals and entities subject to measures imposed by the Security Council. The inclusion of all names on one Consolidated List is to facilitate the implementation of the measures, and neither implies that all names are listed under one regime, nor that the criteria for listing specific names are the same. For each instance where the Security Council has decided to impose measures in response to a threat, a Security Council Committee manages the sanctions regime. Each sanctions committee established by the United Nations Security Council therefore publishes the names of individuals and entities listed in relation to that committee as well as information concerning the specific measures that apply to each listed name.

The current version of the Consolidated List is provided in .xml, .html and .pdf formats. Member States are obliged to implement the measures specific to each listed name as specified on the websites of the related sanctions committee.

For all comments and questions concerning all sanctions lists, including the United Nations Consolidated List, kindly contact the Secretariat via the email address: sc-sanctionslists@un.org.

SECTION 3

What would help ensure that the right colleagues are designated to register for and regularly use ADB's Debarment and Suspension Register?



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04

Prevention and Integrity Due Diligence in Practice



SECTION 4

Prevention and Integrity Due Diligence in Practice

This session will cover:

How to prevent and identify integrity risks and carry out basic due diligence.

1. Why are prevention and integrity due diligence important.
2. What is a clear process for identifying and managing integrity risk.
3. How to carry out integrity due diligence searches and analysis.

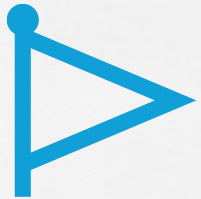
SECTION 4

Defining Integrity Due Diligence

The process of **checking the accuracy of information** of a business or individual prior to, during, and after engaging in a contract and informs risk mitigation

SECTION 4

Stages of Integrity Due Diligence



Systems and
processes in place to
spot red flags



Conduct integrity
due diligence



Identify the
integrity risk



Mitigate or manage
the risk

SECTION 4

What information is assessed in IDD



Sanctions and
debarment status



Beneficial ownership



Financial background
and payment of contract



Competency of
third party



Public records resources:
History of corruption and
adverse news



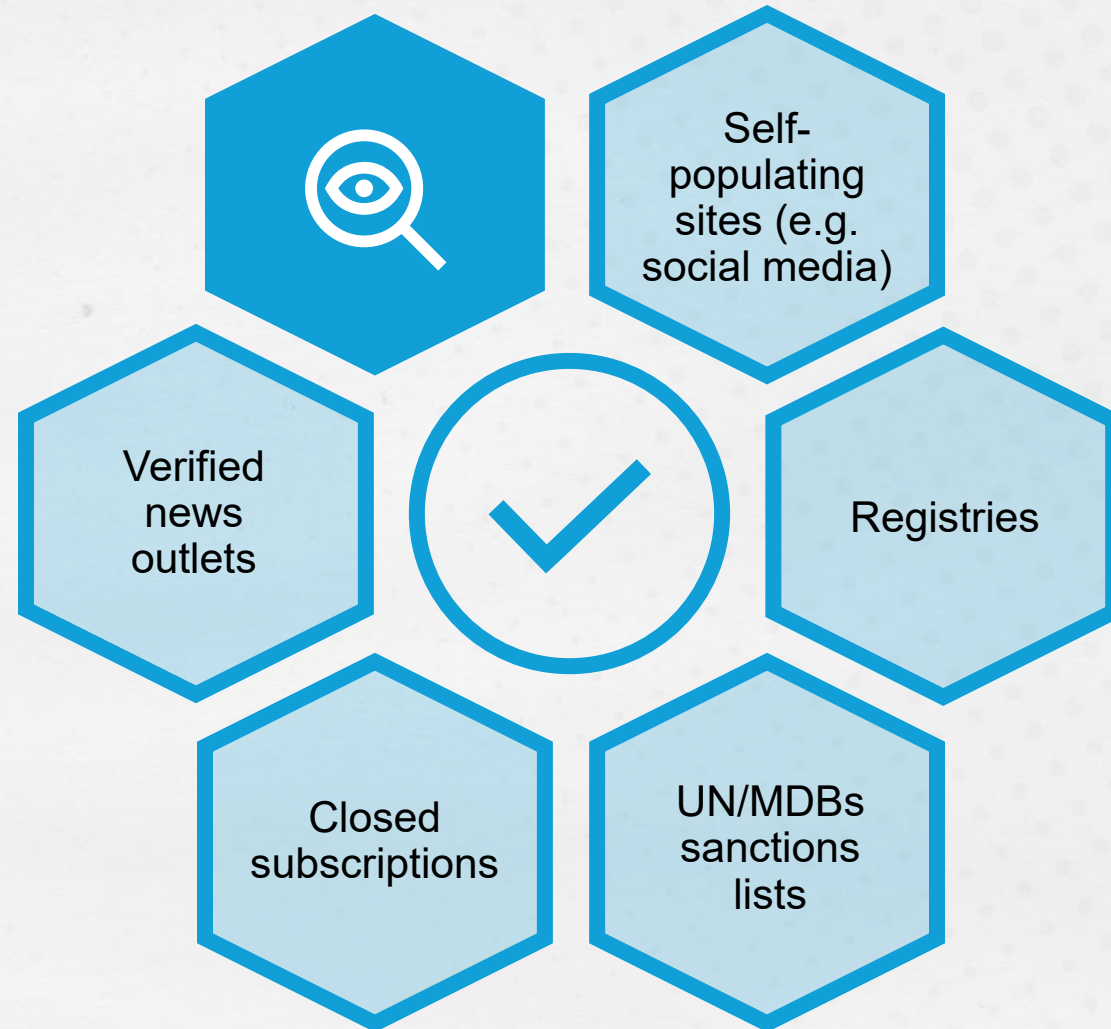
Reputation:
Commercial references



Approach to ethics and
compliance

SECTION 4

Sources of Integrity Due Diligence Information



SECTION 4

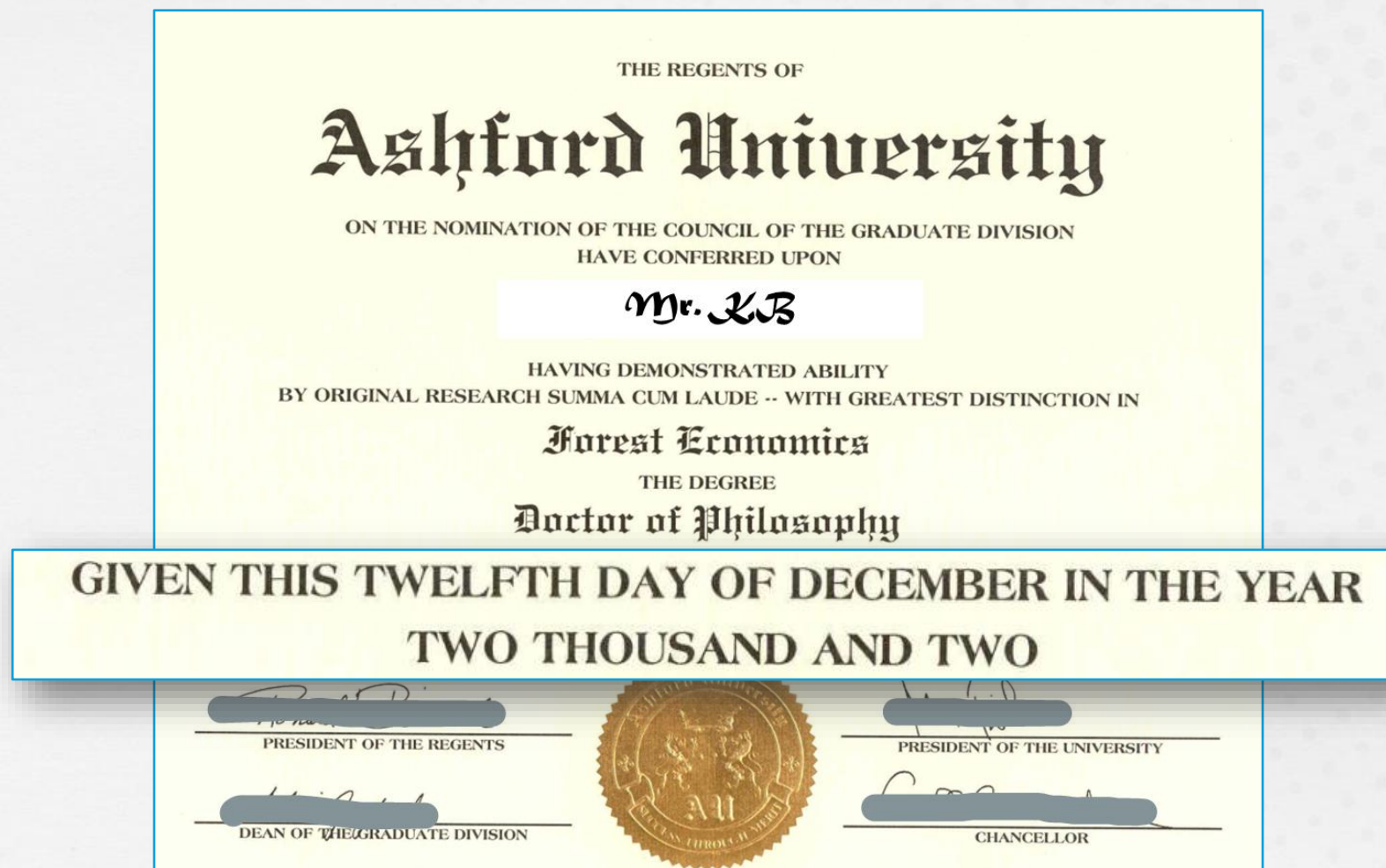
What is one strategy or tactic you and your team have employed to conduct integrity due diligence?



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enter code 2368 7156

SECTION 4

Case Study



SECTION 4

Case Study



OUR MISSION

HISTORYACCREDITATIONS AND
ASSOCIATIONS

ASSESSMENT

UNIVERSITY DATA

LEADERSHIP

BOARD OF TRUSTEES

ASHFORD ON TV

INSTITUTIONAL REVIEW
BOARD

CONTACT US

STUDENT CONSUMER
INFORMATION

Our History

2005

Bridgepoint Education, Inc., a higher education organization, acquired the institution in 2005, and its name was changed to Ashford University®.



◀ — 1960s — 1979 — 1981 — 1998 — 2002 — **2005** — 2009 — 2010 — 2 ▶

Ashford University began in 2005, and any degrees issued prior to that year are not actually degrees.

SECTION 4

Scenario discussion: What do you do next?



20 minutes

Instructions:

At your table, work through the scenarios together. Use the questions below to guide your discussion:

1. What integrity risks could this indicate?
2. Could there be a reasonable explanation for this signal? If so, what might it be?
3. Can this risk be mitigated? If yes, how?
4. What should your next step be?

Be prepared to share one key insight or decision with the group.

SECTION 4

Scenario discussion: What do you do next?

Scenario

A bidder or consultant appears on ADB's Debarment and Suspension Register or the UN Security Council Sanctions List.

What does this mean?

The firm or individual is not eligible to participate in an ADB-financed activity.

RESPONSE

The bid must be rejected due to the bidder's debarment status, and OAI should be notified if an ADB-debarred entity attempted to participate.

SECTION 4

Scenario discussion: What do you do next?

Scenario

Use of agents or intermediaries with unclear roles, fees, or value-add.

What does this mean?

Increased risk of kickbacks, facilitation payments, or hidden beneficiaries.

RESPONSE

Clarify roles of agents, scrutinize payments, and do more integrity due diligence on third parties

SECTION 4

Scenario discussion: What do you do next?

Scenario

A contractor is linked in adverse media to allegations of fraud, corruption, or collusion in a previous project, but is not listed on any sanctions lists.

What does this mean?

There may be elevated integrity risk, even if no formal sanction exists.

RESPONSE

Document the concern, assess credibility, and apply enhanced due diligence and monitoring measures.

SECTION 4

Scenario discussion: What do you do next?

Scenario

A project staff member has undisclosed personal, family, or business links to a bidding firm.

What does this mean?

Decisions may not be impartial, creating integrity and reputational risk.

RESPONSE

Require disclosure, manage the conflict appropriately, and adjust roles and supervision.

05

Spotting Red Flags

SECTION 5

Spotting Red Flags

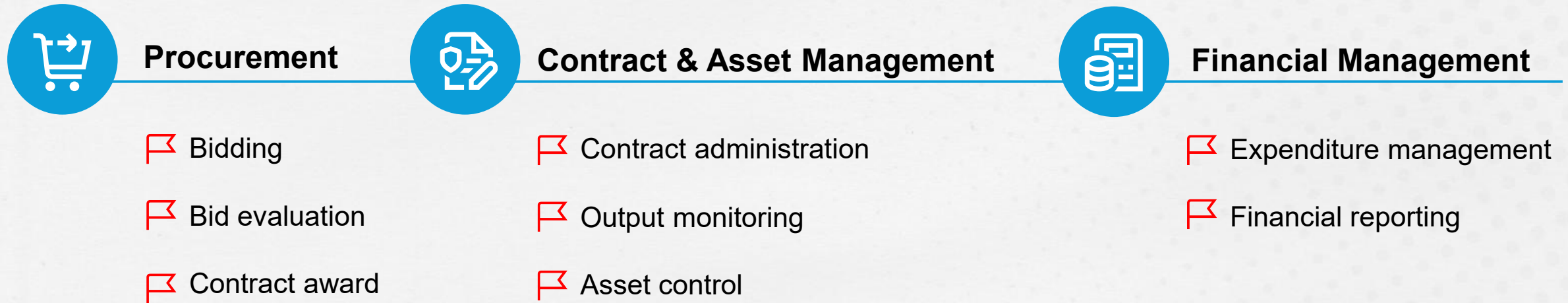
This session will cover:

What red flags look like in practice and how to notice them in everyday project work.

1. Where and when red flags commonly appear.
2. How to identify red flags linked to different types of integrity risk.
3. Understand how red flags may show up in your own work.

SECTION 5

Project Implementation Processes



These sub-processes reflect those assessed by OAI and do not necessarily reflect all sub-processes that exist within each process.

SECTION 5

Procurement



The following are illustrative examples only. Red flags are not definitive indicators of wrongdoing and should be assessed in context.

Bidding

Only one bid received

May indicate bid-rigging, pre-selection, or barriers that competition suppressed.

Identical or near-identical bid prices

Classic indicator of collusion—bidders may have agreed in advance who will win.

Specifications too vague or too narrow

Overly broad specs increase discretion; overly narrow ones may be tailored to favor one firm.

Bid Evaluation

Evaluation criteria changed after bids open

Post-submission changes undermine the integrity of the competition and may favor a particular bidder.

Winning bidder's qualifications unverifiable

Credential misrepresentation is common. Always cross-check CVs and company records independently.

Evaluator has undisclosed links to a bidder

Conflicts of interest must be declared. Recusal is the standard response; concealment is a red flag.

Contract Award

Scope expands significantly after award

May indicate the original bid was deliberately underpriced to win, with inflation planned for later.

Awarded firm on ADB Debarment Register

Automatic disqualifier. Debarment checks must be completed before award.

Award overrides panel recommendation

Not automatically wrong but must be clearly documented. Undocumented overrides are a red flag.

SECTION 5

Contract and Asset Management



The following are illustrative examples only. Red flags are not definitive indicators of wrongdoing and should be assessed in context.

Contract Administration

-  **Repeated contract variations**
 Frequent or large variations without documented justification may indicate deliberate underpricing at bid stage.
-  **Changes approved without oversight**
 Modifications to live contracts should go through the same rigor as the original award.
-  **Sanctioned firm still active on contract**
 If a contractor becomes sanctioned during implementation, all contract modifications require OAI endorsement.

Output Monitoring

-  **Deliverables reported complete; site says otherwise**
 Payment for undelivered work is one of the most common forms of project fraud. Independent site verification is essential.
-  **Monitoring reports copy-pasted across periods**
 Verbatim repetition across reporting periods might suggest monitoring isn't actually happening.
-  **Contractor blocks site access**
 Restricting monitoring access is itself a red flag and should be escalated.

Asset Control

-  **Assets missing from inventory**
 Unaccounted-for project assets may indicate misappropriation. Regular physical checks are the primary control.
-  **Assets reassigned without authorisation**
 Disposal or transfer of project assets should follow documented approval processes.
-  **No asset register maintained**
 Absence of an asset register makes accountability impossible and increases misappropriation risk.

SECTION 5

Financial Management



The following are illustrative examples only. Red flags are not definitive indicators of wrongdoing and should be assessed in context.

Expenditure Management

Payment requested before delivery

Advance payments without contractual basis or delivery confirmation are a common fraud vector. Verify delivery independently before approving.

Invoices from unverifiable firms

Firms with no physical address, employees, or track record may be shell entities created to siphon funds.

Payments split below approval thresholds

Deliberate structuring to stay under oversight limits is a red flag. Look for patterns of near-identical amounts.

Unexplained urgent payment requests

Pressure to approve payments quickly, bypassing normal review, may indicate an attempt to avoid scrutiny.

Financial Reporting

Discrepancies between reports and field data

The gap between what is reported and what is observable on the ground is where misuse often hides.

Audit findings repeated but unaddressed

Persistent unresolved audit findings may suggest either incapacity or deliberate non-compliance.

Access to financial records restricted

Refusal to provide documentation to authorized reviewers is an immediate red flag.

Project accounts commingled with other funds

Mixing project funds with other accounts makes tracking impossible and may indicate intentional obfuscation.

SECTION 5

Conflict of Interest

Letter of Bid

NOTE

The bidder must

NOTES

1. The bidder must accomplish the Bid Submission Sheet on its letterhead clearly showing the bidder's complete name and address.

2. To be used for Single-Stage: One-Envelope Bidding Procedure.

To: [insert complete name and address of the bidder]

We, the undersigned, hereby certify that the bidder has not been debarred or is not currently under suspension or disqualification from bidding for ADB-financed projects.

(a) We have not entered into any arrangement with the bidder to influence the bidder's bid.

Date:

International Competitive Bidding (ICB) No.:

Invitation for Bid (IFB) No.:

Alternative No.:

[Insert identification no. if this is an alternative bid; if alternative bid is not permitted, delete this field]

SECTION 5

Bidder's Qualification

Form ELI – 1: Bidder's Information Sheet

Bidder's Information	
Bidder's legal name	
Bidder's country of constitution	
Bidder's year of constitution	

Information on incorporation documents, shareholders, owners and managers, authorized representatives, JV partners, legal address, and country of constitution should be in order

SECTION 5

Evaluation and Qualification Criteria

Form LIT – 1: Pending Litigation and Arbitration

Each Bidder must fill out this form if so required under Criterion 2.1.2 of Section 3 (Evaluation and Qualification Criteria) to describe any pending litigation or arbitration formally commenced against it.

In case of Partner's
Joint Ven

Form FIN – 2: Size of Operation (Average Annual Turnover)

Each Bidder must fill out this form.

The information supplied should be the Annual Turnover of the Bidder or each member of a Joint Venture in terms of the amount billed to clients for each year for work in progress or completed, converted to US dollars at the rate of exchange

Form FIN – 1: Historical Financial Performance

In case of Partner's
Joint Ven

Each Bidder must fill out this form.

Should meet the bid qualification requirements

Joint Venture Pa

Fill out one (1) form per contract.

Contractual Experience	
Contract No. of	Contract Identification

Form EXP – 2: Technical Experience

Fill out one (1) form per contract.

Technical Experience
Name of Product:

SECTION 5

Bill of Quantities or List of Goods and Related Services

1. List of Goods and Related Services

The purpose of the List of Goods and Related Services (LGRS) is to briefly describe and specify the quantity of each of the Goods and Related Services that the Purchaser requires the Bidder to include in its Bid. As a part of the Schedule of Supply, the LGRS constitutes a Contract document and, therefore, it is a part of the Contract. The Purchaser must prepare the LGRS and include it as a part of the Schedule of Supply.

BOQ/LGRS and bidding documents should be authentic

submit Bids for individual lots or not. For example,

[Alternative 1. Insert: “The Goods and Related Services are grouped in lots. Bids on individual lots are not permitted. Only Bids for all of the lots will be accepted.”]

[Alternative 2. Insert: “The Goods and Related Services are grouped in lots. Bidders shall have the option of submitting Bids for one, any combination, or all of the lots. Lots shall not be further subdivided for the purpose of bidding.”]

Session Wrap-Up

SESSION WRAP-UP

What is one thing you will do differently after this training?



Scan the QR Code
Go to menti.com and
enter code 2368 7156

SESSION WRAP-UP

Recap



Know your partner



Leverage technology
for due diligence



Identify and mitigate risks,
support your partner in mitigating
risks



EAs/IAs to:

- Sign up for access to ADB's complete Debarment and Suspension Register
- Do the sanctions checks

SESSION WRAP-UP

References



SESSION WRAP-UP

Evaluation

Your feedback would help the Office of Anticorruption and Integrity in providing knowledge products and services relevant to you.

Answers will be kept confidential and data will only be assessed in the aggregate.

SCAN THE QR CODE



SESSION WRAP-UP

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Together, we make a difference.