

THE INTEGRITY LEARNING SERIES

Anticorruption and Integrity Training

4 June 2026

Islamabad

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Welcome



Thank you for being here today! The workshop will begin shortly.

Please register by scanning this QR code before we begin!

WELCOME

Meet your facilitators



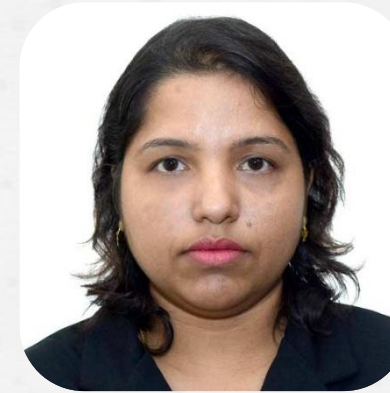
Chiawen Kiew

Advisor



Mark Rees

Principal Integrity Specialist



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Integrity Specialist

WELCOME

Some Housekeeping Rules

-  Be on time
-  Build relationships
-  Be optimistic we can make a better world
-  Share the stage
-  Use the tools
-  Raise confidential queries during breaks
-  Be present and focused
-  Be ready to be challenged and defer judgment

WELCOME

Agenda for the Day

Introduction to the ILs	9:00
Session 1 Integrity Foundations	9:15
Session 2 Integrity Risk, Prevention, and Due Diligence	9:15
Break	10:15
Session 3 Debarment and Suspension	10:30
Session 4 Prevention and Integrity Due Diligence in Practice	10:30
Lunch	12:00
Session 5 Spotting Red Flags	1:00
Session 6 Reporting, Investigations, and Enforcement	1:00
Break	3:00
Session 7 Building a Culture of Integrity	3:15
Session Wrap-Up	3:15

WELCOME

Introduction to this Integrity Learning Series Workshop

This session will cover:

How integrity risks arise in ADB-supported projects and the actions you can take when they occur.

1. Prevent common integrity risks in development projects
2. Recognize red flags early, before they become serious problems
3. Know when and how to raise concerns with confidence

WELCOME

Who is in the room today?

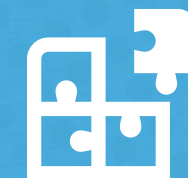


5 MINS

1. Let's all stand up and come to the front of the room.
2. We are going to show a statement on the next slide.
3. Based on what best describes you, move to a spot along the line we will form at the front of the room.

WELCOME

How long have you been collaborating on projects with ADB?



 5 MINS

New to the topic

Very experienced

WELCOME

How familiar are you with the topic of integrity violations on projects?



 5 MINS

New to the topic

Very experienced

WELCOME

We will be using Mentimeter today

We will be using **Mentimeter** today because we would like to:



Hear from everyone

A simple way for the whole group to contribute



Learn from each other

See how colleagues across roles are thinking



Stay engaged

Short activities and check-ins support to reflection

ABOUT USING MENTIMETER

1. Use your phone to scan the QR code on the screen
2. Key in your response
3. Responses are anonymous

WELCOME

Please choose the job description that best aligns with your current role

1. Senior Management
2. Middle Management
3. Technical Staff
4. Project/Program Staff
5. Administrative/Support Staff
6. Consultant/Contractor
7. Other



Scan the QR Code
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enter code 6625 6001

WELCOME

Scenario: Where is the line for integrity?

You find a wallet on the street containing a large sum of money and the owner's ID. Would you:

- A. Keep the money, justifying it as “finders keepers”?
- B. Report the wallet to the lost and found, hoping the owner claims it?
- C. Contact the owner directly using the ID, prioritizing the return of the money?



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enter code 6625 6001

WELCOME

Scenario: Where is the line for integrity?

There is a job vacancy in your department. Your friend, who is looking for a job, would be a great fit for the role. You want to help them. What steps will you take? (Select all that apply).

- A. Share the job advertisement with your friend and recommend that they apply.
- B. Review their application and suggest edits to their responses.
- C. Contribute to developing or refining the selection criteria for the role while your friend is applying.
- D. Let the selector know that your friend is applying and recommend that they be considered for the role.
- E. Offer advice on how selection panels usually assess candidates, based on your experience.
- F. Help them prepare for the interview by sharing additional information about the role.



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WELCOME

What does this tell us about Integrity?

Issues of integrity can cover a broad range of situations—from the very clear to the very complex.

Throughout this session, we will focus on building your capabilities in understanding, identifying, and mitigating integrity risks in ADB-financed projects.



WELCOME



Corruption is the **single greatest obstacle** to economic and social development around the world.


Featured Latest National News

NAB achieves record recovery of over Rs 4 billion in Kohistan Mega corruption case

2 Min Read

By Syeda Zamab · January 29, 2026 · Save It

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SHARE

Summary

- The recovery stems from the Kohistan mega corruption scandal, in which the central accused, Qaiser Iqbal, allegedly used his frontman, Mumtaz Khan, a dumper driver, to siphon funds.
- After depositing Rs 4.05 billion in cash, assets, and vehicles into the treasury, Mumtaz Khan was released.
- Qaiser Iqbal is accused of using Mumtaz Khan to open bank accounts under a fake company's name, facilitating the transfer of substantial sums from the national treasury.

AI GENERATED SUMMARY

01

Integrity Foundations



SECTION 1

Integrity, ADB, and Your Role

This session will cover:

Why integrity matters in development projects and what ADB expects from you.

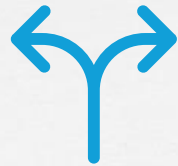
1. Why integrity risks matter in ADB-financed projects.
2. How integrity fits into ADB's way of working.
3. What is your role in preventing and managing integrity risks.

SECTION 1

Why do the highest standards of integrity matter on ADB projects?



It protects development impact



It supports better decision making



It strengthens trust



It protects you and the institution



It saves time and effort

SECTION 1

ADB's Anticorruption and Integrity Framework

Applies to executing and implementing agencies, contractors, consultants, suppliers, ADB staff, and anyone connected to an ADB-financed, -administered, or -supported activity.

Download your copy from www.adb.org/integrity



SECTION 1

ADB's Anticorruption and Integrity Framework



Promote **effective and transparent** public administration, including good governance and capacity building.



Support efforts in the region through anticorruption dialogue with the DMCs.



Ensure that ADB projects and staff adhere to the **highest ethical standards**.

Corruption is essentially about giving or receiving something of value to influence a decision in an improper way.

SECTION 1

How does this relate to your role?

Auditor General *Independent audit and assurance*

OAI *Specialist advice and investigation*

ADB Project Team *First line of support*

ADB Integrity System

EAs / IAs *Identify and mitigate risks; escalate concerns*

EAs/IAs involved in ADB-supported activities

Conduct integrity due diligence, spot red flags, manage integrity risk, and escalate to OAI where necessary

ADB Project Team

First point of support for identifying and managing integrity issues on the ground

Office of Anticorruption and Integrity (OAI)

Conducts investigations, provides specialist support, and builds integrity capability

Auditor General

Independent audit and assurance of ADB's integrity risk management

SECTION 1

Your Role as Executing and Implementing Agencies

Your primary role is to protect project outcomes and public value by implementing the project fairly and with integrity.

To achieve this, you need to **conduct integrity due diligence, spot integrity red flags, manage integrity risk, and report integrity violations** to OAI for assessment and further investigation as warranted.

SECTION 1

Your Role as Executing and Implementing Agencies

This includes:



Trust, but verify—especially in areas prone to abuse (finance and administration, tax privileges, personal use of ADB resources)



Support OAI as frontline partners to manage elevated integrity risks



Promote a strong integrity due diligence practices at your team level



Report suspected violations to OAI when found in ADB projects.



Encourage and participate in conversations about integrity red flags and violations in your team



Cooperate with OAI investigations

SECTION 2

How confident do you feel about the expectations we have set? Arrange them from most to least confident.



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02

Integrity Risk, Prevention, and Due Diligence



SECTION 2

Integrity Risk, Prevention, and Due Diligence

This session will cover:

What does a systemic view of an integrity risk management look like.

1. What does a system of integrity risk management look like.
2. Reflection on your own integrity risk management approach.

SECTION 2

Integrity Violations

The following are integrity violations as defined by ADB:

MDB-Harmonized Definitions



Harmonized definitions enable mutual enforcement of debarment decisions across multilateral development banks.



SECTION 2

We need a strong system of integrity risk management

An integrity risk management system is everything your organization puts in place to manage integrity risks, from policies and procedures to leadership and culture.

Most integrity risks can be managed by a few repeatable actions.

SECTION 2

We need a strong system of integrity risk management



Integrity due diligence process

A systematic, repeatable integrity due diligence process that:

- Uses good data
- Maintains clear documentation
- Enables defensible decisions



Spotting red flags early

Continuous processes to monitor and identify red flags.

Escalating a red flag is good risk management, not an accusation.



Assessing and managing risk

Once a red flag is identified, it is important to:

- Understand what it may mean for the process or project
- Assess potential integrity risks
- Take proportionate risk-mitigation or preventive actions

SECTION 2

We need a strong system of integrity risk management



Escalation and reporting

Where there are allegations, suspected violations, or grounds for suspension, matters must be escalated and reported in line with organizational requirements (regardless of other risk management actions taken).

For ADB-supported projects, relevant cases should be escalated to OAI.



Investigations and enforcement action

There is a trusted capability to conduct investigations, document findings, and apply appropriate actions where violations are confirmed.

Consequences may include contractual remedies, corrective actions, or strengthened controls that reinforce accountability and deter future misconduct.

SECTION 2

How is integrity risk management applied in practice

1

There is a systematic, repeatable process

2

The tools to conduct integrity due diligence are accessible

3

Documentation is consistent and clear, and decisions are defensible

4

Identified integrity risks are actively mitigated

5

There is a clear red flag escalation process

6

Monitoring continues after approval or award and throughout implementation

SECTION 2

Reflect on your own organizational context



5 MIN

- What are some of the most common integrity risks you may encounter—or already encounter—in your context?
- What measures do you have in place to help manage those integrity risks? Do you have designated staff responsible for sanctions screening or due diligence?
- Where do you think your team or organization could further strengthen its approach?

03

Debarment and Suspension



SECTION 3

Debarment and Suspension

This session will cover:

How debarment and suspension work, what the registers are for, and how to use them in practice.

1. What debarment and suspension mean in ADB related projects.
2. How to access and use the ADB Debarment and Suspension Register.

SECTION 3

Your Role as Executing and Implementing Agencies

Key takeaway:

Sanctions screening is the first step to integrity due diligence.

SECTION 3

What is debarment and suspension?

Debarment

- A formal sanction where ADB prohibits a firm or individual from participating in ADB-related activities.
- Can be time-bound and reinstatement may be with conditions.

Suspension

- A temporary restriction while an integrity concern is being assessed or investigated.
- No final finding has been made yet.

WHY THIS MATTERS

Debarment and suspension are ADB's primary tools to enforce integrity requirements.

SECTION 3

What is Sanctions Screening?

Sanctions Screening

Checking whether bidders, suppliers, contractors, or consultants appear on ADB's Debarment and Suspension Registers and the UN Consolidated Sanctions List.

WHY THIS MATTERS

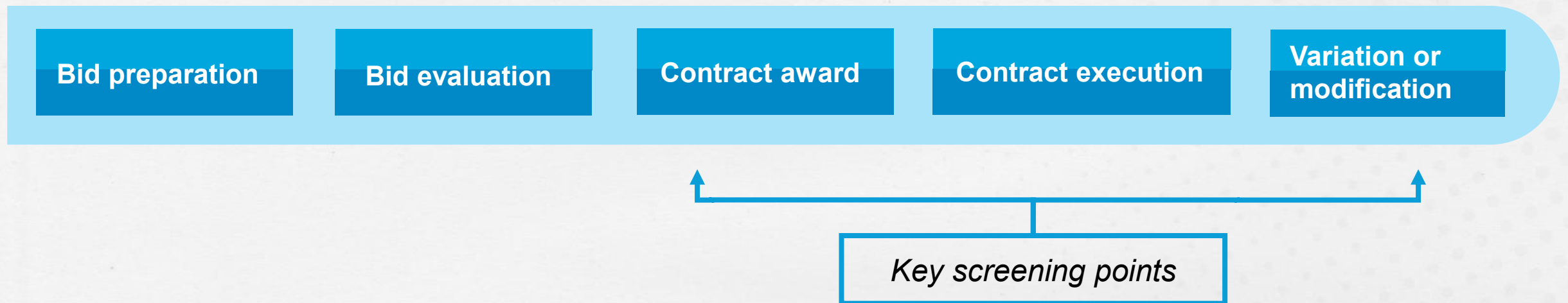
Sanctioned or suspended firms and individuals are **not eligible** to participate in ADB-related activities.

WHO IS RESPONSIBLE

Executing Agencies are required to apply sanctions screening at **all stages of the procurement and project delivery process.**

SECTION 3

Executing Agencies are Responsible for Sanctions Screening



SECTION 3

Debarment Checks

<http://sanctions.adb.org>

PUBLISHED LIST

Accessible to the public

The screenshot shows the ADB Debarment and Suspension Register website. The page title is "ADB Debarment and Suspension Register". Below the title, there is a paragraph explaining that the page lists entities temporarily suspended and debarred by the Asian Development Bank (ADB), and those cross debarred by ADB in accordance with the Agreement for Mutual Enforcement of Debarment Decisions with other Multilateral Development Banks (MDBs). The page is divided into two main sections: "Publicly Disclosed Debarment or Suspension" and "Complete ADB Debarment and Suspension Register".

Publicly Disclosed Debarment or Suspension (NO LOGIN REQUIRED):

- View Publicly Disclosed Debarment or Suspension
- What you will see in this subregister:
 - Debarments that have institutional considerations
 - Debarments associated with the violation of a previous debarment
 - Second and subsequent debarments
 - Debarred entities (firms and individuals) who are uncontactable
 - Cross debarred entities
 - Subset of the ADB Debarment and Suspension register

Complete ADB Debarment and Suspension Register (AUTHORIZED USERS ONLY):

- View Non-Publicly Disclosed Debarment
- What you will see in the register:
 - Published Debarments
 - Non-published Debarments
 - Temporary suspension
- How do I get access to this list?

This is a secure application operated by ADB for its official business. Only those given access to the application can access the list.

 1. For registered users, please proceed by **Login**.
 2. If you're a **qualified user** requiring access to the complete sanctions list, please **Sign Up**.
 3. If you have problems submitting the online application, please download the **Application Form**, complete it and email to integrity@adb.org.

COMPLETE LIST (Password-protected, accessible to):

- ADB staff
- BoD
- Parties with a "need to know": international organizations, bilateral and government partners
- EAs and IAs

SECTION 3

Registration to the ADB Debarment and Suspension Register

Please scan the QR code and follow the step-by-step instructions on the next slides.




Scan the QR Code to begin registration

SECTION 3

Debarment Checks

<http://sanctions.adb.org>


ASIAN DEVELOPMENT BANK
Login Sign Up

Home ADB Debarment and Suspension Register Resources Other Sanctions Lists Contact Us

ADB Debarment and Suspension Register

The following page lists the entities temporarily suspended and debarred by the Asian Development Bank (ADB), and those cross debarred by ADB in accordance with the Agreement for Mutual Enforcement of Debarment Decisions with other Multilateral Development Banks (MDBs). These entities are not eligible to participate in ADB-financed, -administered, or -supported activities.

NO LOGIN REQUIRED
Publicly Disclosed Debarment or Suspension

[View Publicly Disclosed Debarment or Suspension](#)

What you will see in this subregister:

- Debarments that have institutional considerations
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Other Information

- Application
- Temporary Suspension
- Debarment Period
- Contract Variations

Sign up here

Manual form available

SECTION 3

Debarment Checks

Application Form

Apply for access to Asian Development Bank full sanctions list:

Your Name*

First name Middle name Last name

Email Address*

Designation* Organization / Agency*

i.e. Deputy Secretary / Proj Officer i.e. Organization, Ministry, Employer, etc.

Address* Country

Select a country

Telephone Number*

In connection with this application, I confirm that: I am involved in an ADB-financed, administered or supported activity in my capacity as:

Designation for this project*

i.e. Project Director etc.

Project(s)*

ADB Loan number(s)*

Project is being executed/implemented by:

Organization / Agency*

ADB Project Officer's contact information:

Project Officer* Project Officer's ADB Email*

Information on why access is required

If granted access, I shall use the information in ADB Debarment and Suspension Register exclusively in connection with the project identified above. I shall protect the confidentiality of the information and use it only for the purpose for which the access has been granted.

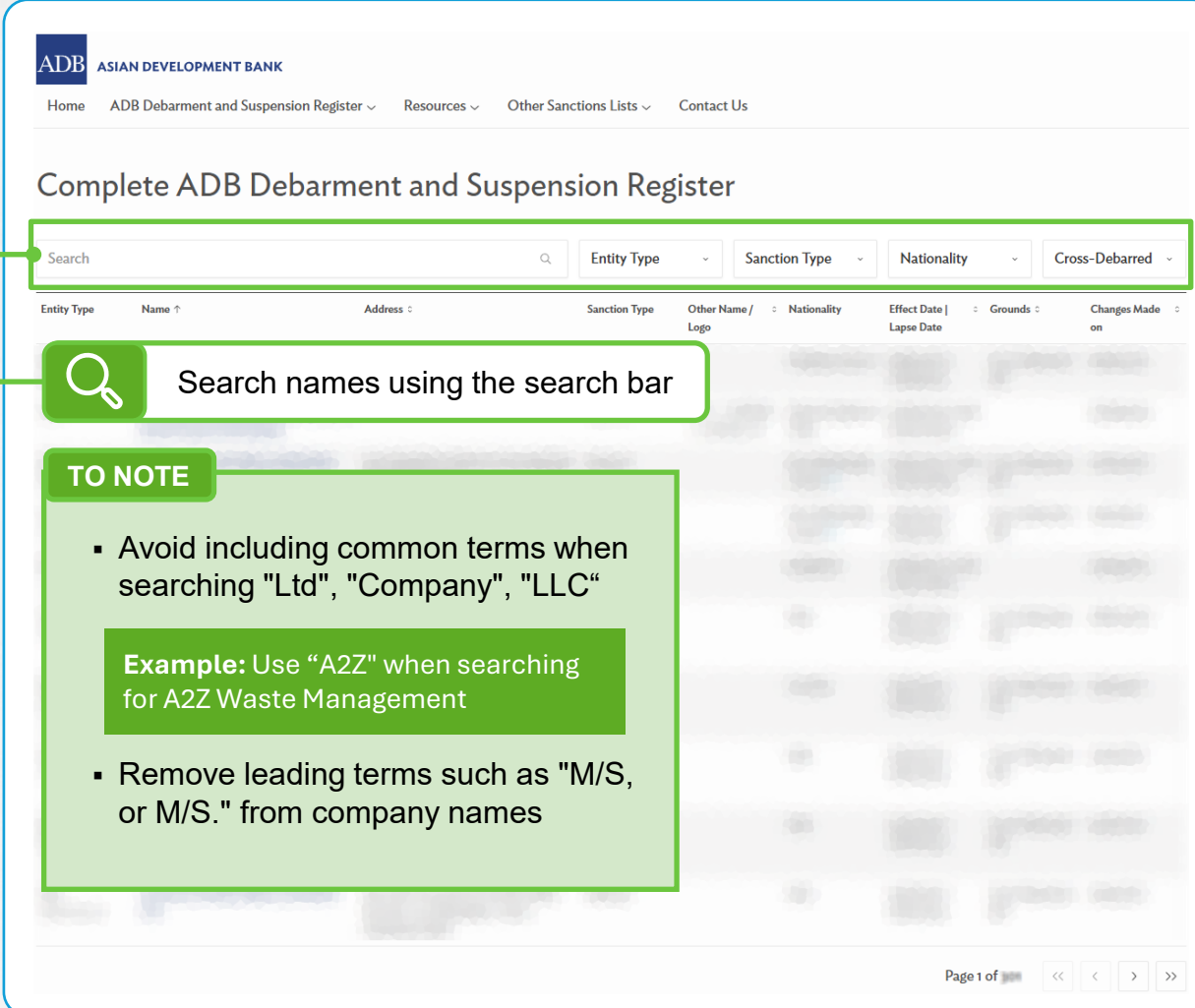
The information will be granted without prejudice to the privileges and immunities conferred on ADB and its officers and employees by the Agreement Establishing the Asian Development Bank and other applicable sources of law. ADB reserves the right to invoke its privileges and immunities at any time with respect to the information provided and in any administrative or other proceedings.

Accept Term

Make sure you fill in the name and email address of an ADB project officer who can verify your involvement in the project

SECTION 3

Debarment Checks



The screenshot shows the ADB Debarment and Suspension Register interface. At the top, the ADB logo and navigation menu are visible. Below the navigation, the title "Complete ADB Debarment and Suspension Register" is displayed. A search bar is highlighted with a green box, and a callout box points to it with the text "Search names using the search bar". Below the search bar, a table of debarment records is shown, with columns for Entity Type, Name, Address, Sanction Type, Other Name / Logo, Nationality, Effect Date / Lapse Date, Grounds, and Changes Made on. A "TO NOTE" box is overlaid on the table, providing search tips.

TO NOTE

- Avoid including common terms when searching "Ltd", "Company", "LLC"

Example: Use "A2Z" when searching for A2Z Waste Management

- Remove leading terms such as "M/S, or M/S." from company names

Page 1 of 1

SECTION 3

United Nations Security Council Sanctions: Obligations

This list is downloadable and searchable



A screenshot of the United Nations Security Council Consolidated List website. The page features a search bar at the top with the text "FORCES DEMOCRATIQUES DE LIBERATION DU RWANDA" entered. Below the search bar, the title "United Nations Security Council Consolidated List" is displayed. A list of links is provided, including "Composition of the List", "Identifiers and acronyms in the List", "Individuals", "Entities", "Mailing list Subscription", and "Search UN Security Council Consolidated Lists". Under the heading "United Nations Security Council Consolidated List", there are two sections: "List in alphabetical order" with a "PDF" button and "List by Permanent Reference Number" with buttons for "Pdf", "Xml", and "Html". A paragraph of text explains the scope of the list, and another paragraph provides information on the current version and contact details for the Secretariat.

SECTION 3

What would help ensure that the right colleagues are designated to register for and regularly use ADB's Debarment and Suspension Register?



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04

Prevention and Integrity Due Diligence in Practice



SECTION 4

Prevention and Integrity Due Diligence in Practice

This session will cover:

How to prevent and identify integrity risks and carry out basic due diligence.

1. Why are prevention and integrity due diligence important.
2. What is a clear process for identifying and managing integrity risk.
3. How to carry out integrity due diligence searches and analysis.

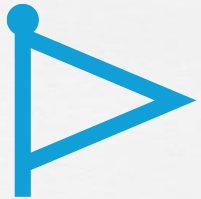
SECTION 4

Defining Integrity Due Diligence

The process of **checking the accuracy of information** of a business or individual prior to, during, and after engaging in a contract and informs risk mitigation

SECTION 4

Stages of Integrity Due Diligence



Systems and processes in place to spot red flags



Conduct integrity due diligence



Identify the integrity risk



Mitigate or manage the risk

SECTION 4

What information is assessed in IDD



Sanctions and debarment status



Beneficial ownership



Financial background and payment of contract



Competency of third party



Public records resources:
History of corruption and adverse news



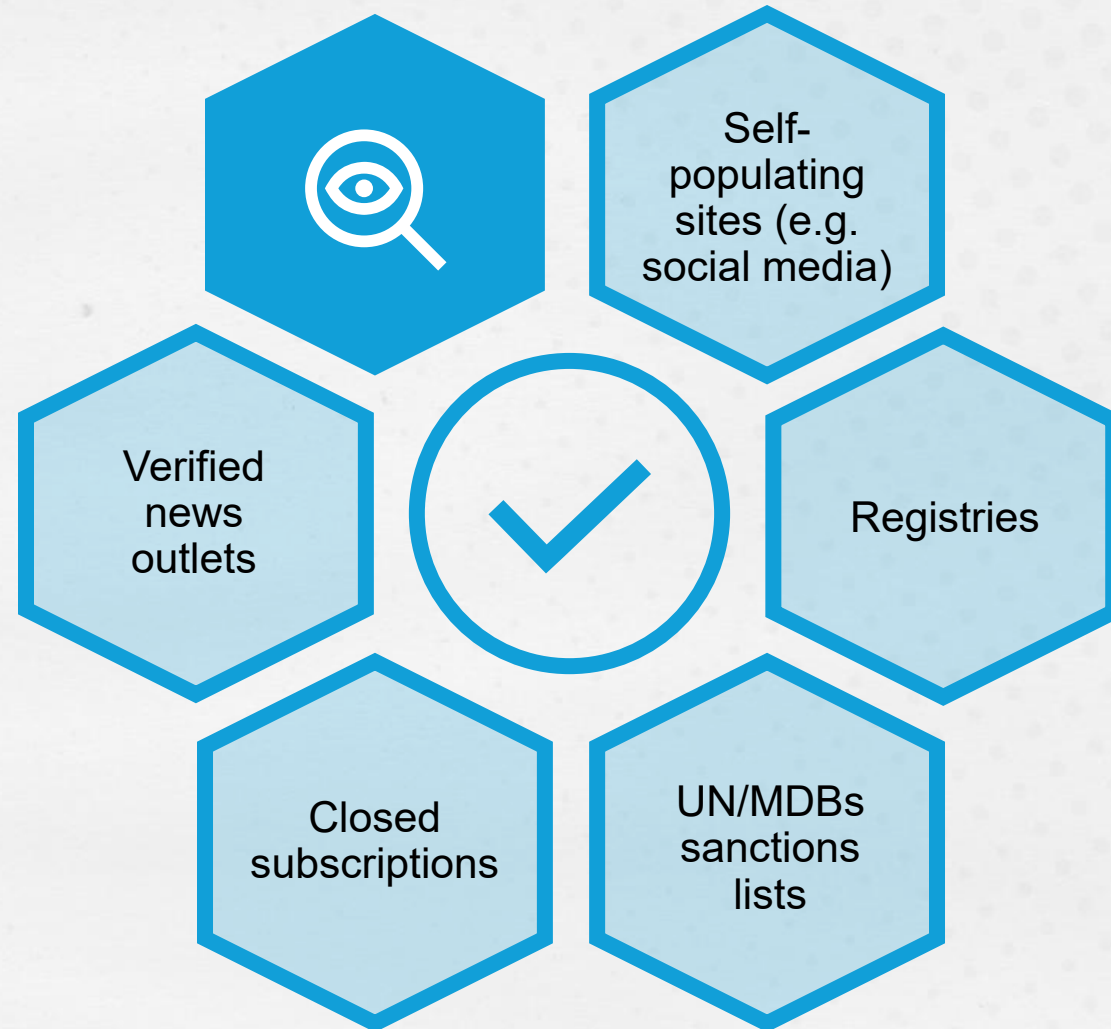
Reputation:
Commercial references



Approach to ethics and compliance

SECTION 4

Sources of Integrity Due Diligence Information



SECTION 4

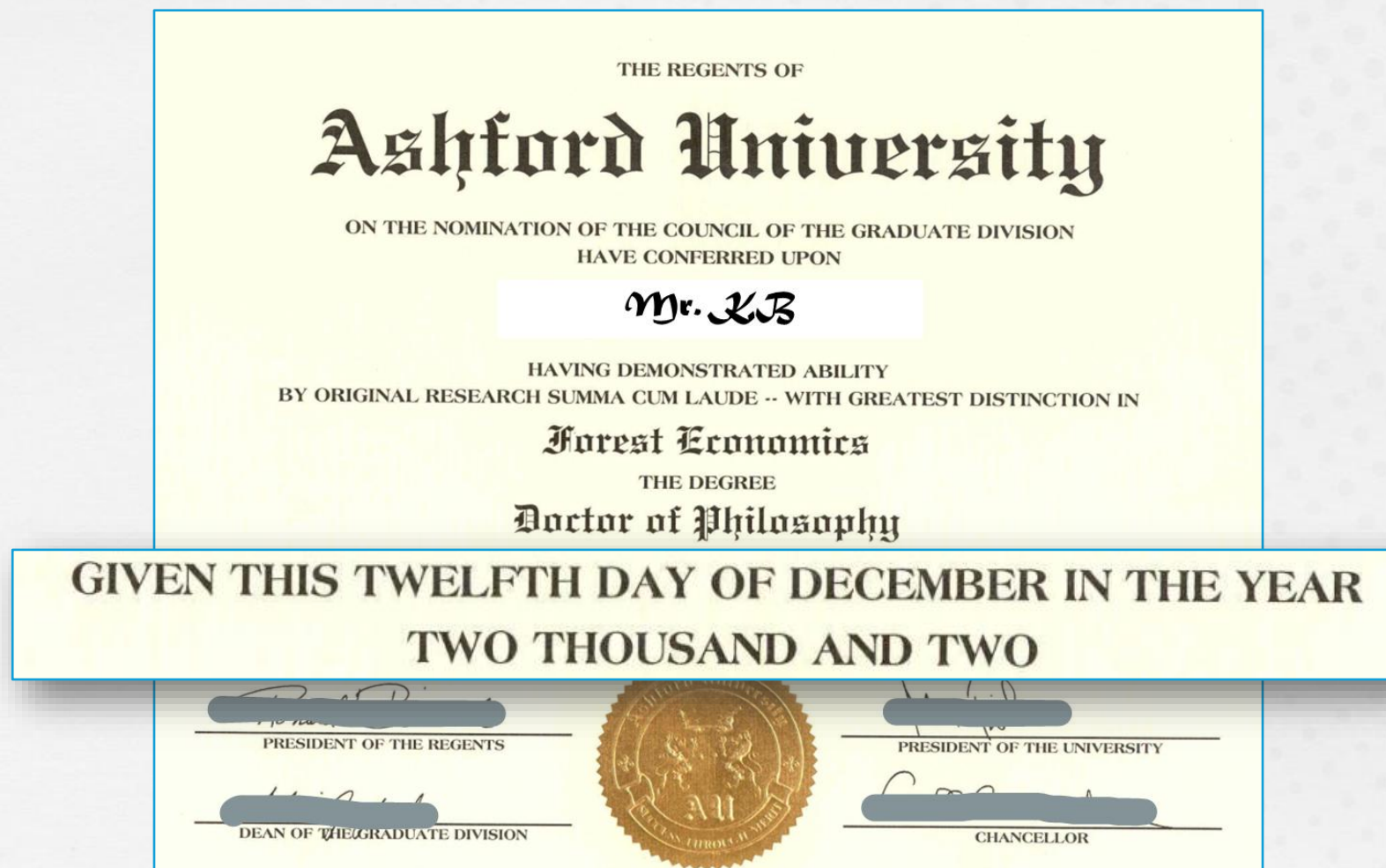
What is one strategy or tactic you and your team have employed to conduct integrity due diligence?



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enter code 6625 6001

SECTION 4

Case Study



SECTION 4

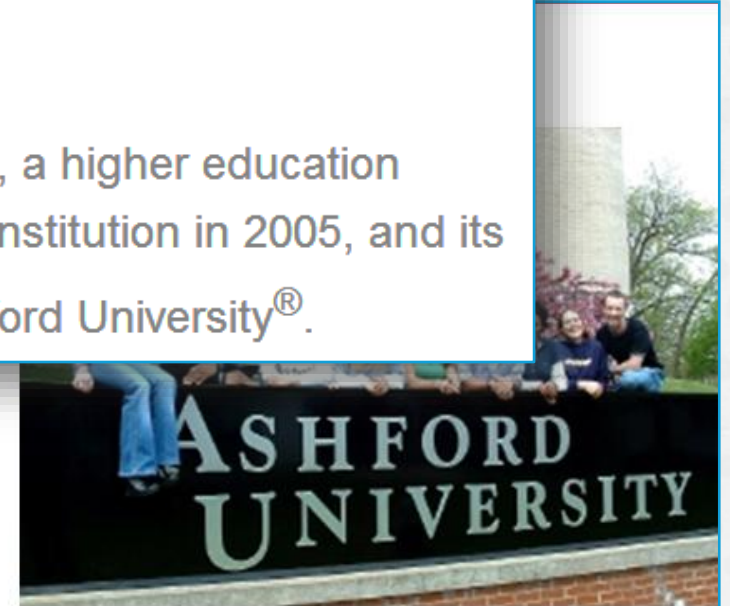
Case Study

- OUR MISSION
- HISTORY**
- ACCREDITATIONS AND ASSOCIATIONS
- ASSESSMENT
- UNIVERSITY DATA
- LEADERSHIP
- BOARD OF TRUSTEES
- ASHFORD ON TV
- INSTITUTIONAL REVIEW BOARD
- CONTACT US
- STUDENT CONSUMER INFORMATION

Our History

2005

Bridgepoint Education, Inc., a higher education organization, acquired the institution in 2005, and its name was changed to Ashford University®.



Ashford University began in 2005, and any degrees issued prior to that year are not actually degrees.



SECTION 4

Scenario discussion: What do you do next?



20 minutes

Instructions:

At your table, work through the scenarios together. Use the questions below to guide your discussion:

1. What integrity risks could this indicate?
2. Could there be a reasonable explanation for this signal? If so, what might it be?
3. Can this risk be mitigated? If yes, how?
4. What should your next step be?

Be prepared to share one key insight or decision with the group.

SECTION 4

Scenario discussion: What do you do next?

Scenario

A bidder or consultant appears on ADB's Debarment and Suspension Register or the UN Security Council Sanctions List.

What does this mean?

The firm or individual is not eligible to participate in an ADB-financed activity.

RESPONSE

The bid must be rejected due to the bidder's debarment status, and OAI should be notified if an ADB-debarred entity attempted to participate.

SECTION 4

Scenario discussion: What do you do next?

Scenario

Use of agents or intermediaries with unclear roles, fees, or value-add.

What does this mean?

Increased risk of kickbacks, facilitation payments, or hidden beneficiaries.

RESPONSE

Clarify roles of agents, scrutinize payments, and do more integrity due diligence on third parties

SECTION 4

Scenario discussion: What do you do next?

Scenario

A contractor is linked in adverse media to allegations of fraud, corruption, or collusion in a previous project, but is not listed on any sanctions lists.

What does this mean?

There may be elevated integrity risk, even if no formal sanction exists.

RESPONSE

Document the concern, assess credibility, and apply enhanced due diligence and monitoring measures.

SECTION 4

Scenario discussion: What do you do next?

Scenario

A project staff member has undisclosed personal, family, or business links to a bidding firm.

What does this mean?

Decisions may not be impartial, creating integrity and reputational risk.

RESPONSE

Require disclosure, manage the conflict appropriately, and adjust roles and supervision.

05

Spotting Red Flags



SECTION 5

Spotting Red Flags

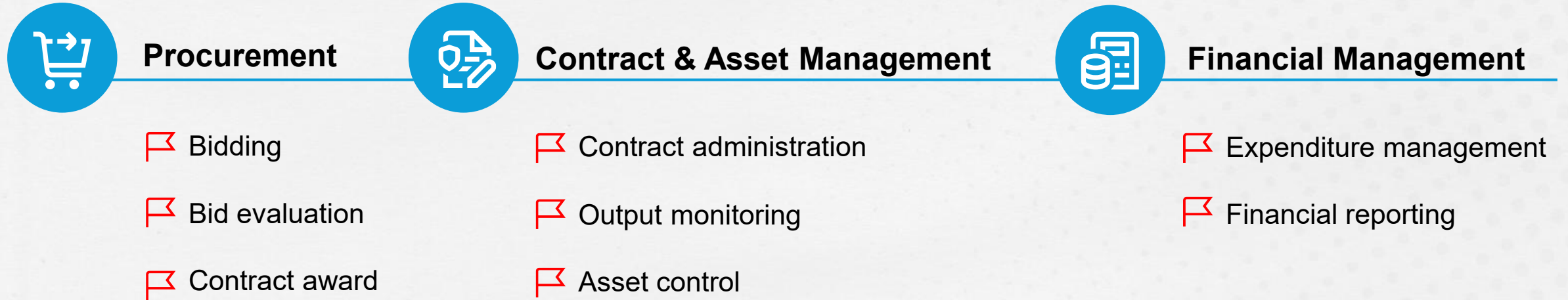
This session will cover:

What red flags look like in practice and how to notice them in everyday project work.

1. Where and when red flags commonly appear.
2. How to identify red flags linked to different types of integrity risk.
3. Understand how red flags may show up in your own work.

SECTION 5

Project Implementation Processes



These sub-processes reflect those assessed by OAI and do not necessarily reflect all sub-processes that exist within each process.

SECTION 5

Procurement



The following are illustrative examples only. Red flags are not definitive indicators of wrongdoing and should be assessed in context.

Bidding

Only one bid received

May indicate bid-rigging, pre-selection, or barriers that competition suppressed.

Identical or near-identical bid prices

Classic indicator of collusion—bidders may have agreed in advance who will win.

Specifications too vague or too narrow

Overly broad specs increase discretion; overly narrow ones may be tailored to favor one firm.

Bid Evaluation

Evaluation criteria changed after bids open

Post-submission changes undermine the integrity of the competition and may favor a particular bidder.

Winning bidder's qualifications unverifiable

Credential misrepresentation is common. Always cross-check CVs and company records independently.

Evaluator has undisclosed links to a bidder

Conflicts of interest must be declared. Recusal is the standard response; concealment is a red flag.

Contract Award

Scope expands significantly after award

May indicate the original bid was deliberately underpriced to win, with inflation planned for later.

Awarded firm on ADB Debarment Register

Automatic disqualifier. Debarment checks must be completed before award.

Award overrides panel recommendation

Not automatically wrong but must be clearly documented. Undocumented overrides are a red flag.

SECTION 5

Contract and Asset Management



The following are illustrative examples only. Red flags are not definitive indicators of wrongdoing and should be assessed in context.

Contract Administration

Repeated contract variations

Frequent or large variations without documented justification may indicate deliberate underpricing at bid stage.

Changes approved without oversight

Modifications to live contracts should go through the same rigor as the original award.

Sanctioned firm still active on contract

If a contractor becomes sanctioned during implementation, all contract modifications require OAI endorsement.

Output Monitoring

Deliverables reported complete; site says otherwise

Payment for undelivered work is one of the most common forms of project fraud. Independent site verification is essential.

Monitoring reports copy-pasted across periods

Verbatim repetition across reporting periods might suggest monitoring isn't actually happening.

Contractor blocks site access

Restricting monitoring access is itself a red flag and should be escalated.

Asset Control

Assets missing from inventory

Unaccounted-for project assets may indicate misappropriation. Regular physical checks are the primary control.

Assets reassigned without authorisation

Disposal or transfer of project assets should follow documented approval processes.

No asset register maintained

Absence of an asset register makes accountability impossible and increases misappropriation risk.

SECTION 5

Financial Management



The following are illustrative examples only. Red flags are not definitive indicators of wrongdoing and should be assessed in context.

Expenditure Management

Payment requested before delivery

Advance payments without contractual basis or delivery confirmation are a common fraud vector. Verify delivery independently before approving.

Invoices from unverifiable firms

Firms with no physical address, employees, or track record may be shell entities created to siphon funds.

Payments split below approval thresholds

Deliberate structuring to stay under oversight limits is a red flag. Look for patterns of near-identical amounts.

Unexplained urgent payment requests

Pressure to approve payments quickly, bypassing normal review, may indicate an attempt to avoid scrutiny.

Financial Reporting

Discrepancies between reports and field data

The gap between what is reported and what is observable on the ground is where misuse often hides.

Audit findings repeated but unaddressed

Persistent unresolved audit findings may suggest either incapacity or deliberate non-compliance.

Access to financial records restricted

Refusal to provide documentation to authorized reviewers is an immediate red flag.

Project accounts commingled with other funds

Mixing project funds with other accounts makes tracking impossible and may indicate intentional obfuscation.

SECTION 5

Conflict of Interest

Letter of Bid

NOTE

The bidder must

Bid Submission Sheet

NOTES

1. *The bidder must accomplish the Bid Submission Sheet on its letterhead clearly showing the bidder's complete name and address.*
2. *To be used for Single-Stage: One-Envelope Bidding Procedure.*

To: [insert complete name and address]

Date:

International Competitive Bidding (ICB) No.:

Invitation for Bid (IFB) No.:

Alternative No.:

[Insert identification no. if this is an alternative bid; if alternative bid is not permitted, delete this field]

SECTION 5

Bidder's Qualification

Form ELI – 1: Bidder's Information Sheet

Bidder's Information	
Bidder's legal name	
Bidder's country of constitution	
Bidder's year of constitution	

Information on incorporation documents, shareholders, owners and managers, authorized representatives, JV partners, legal address, and country of constitution should be in order

SECTION 5

Evaluation and Qualification Criteria

Form LIT - 1: Pending Litigation and Arbitration
 Each Bidder must fill out this form if so required under Criterion 2.1.2 of Section 3 (Evaluation and Qualification Criteria) to describe any pending litigation or arbitration formally commenced against it.

In case of Partner's Joint Ven
Form FIN - 2: Size of Operation (Average Annual Turnover)
 Each Bidder must fill out this form.
 The information supplied should be the Annual Turnover of the Bidder or each member of a Joint Venture in terms of the amounts billed to clients for each year for work in progress or completed, converted to US dollars at the rate of exchange

Form FIN - 1: Historical Financial Performance
 In case of Partner's Each Bidder must fill out this form.

Should meet the bid qualification requirements

Joint Venture Pa
 Fill out one (1) form per contract.

Contractual Experience	
Contract No of	Contract Identification

Form EXP - 2: Technical Experience
 Fill out one (1) form per contract.

Technical Experience
Name of Product:

SECTION 5

Bill of Quantities or List of Goods and Related Services

1. List of Goods and Related Services

The purpose of the List of Goods and Related Services (LGRS) is to briefly describe and specify the quantity of each of the Goods and Related Services that the Purchaser requires the Bidder to include in its Bid. As a part of the Schedule of Supply, the LGRS constitutes a Contract document and, therefore, it is a part of the Contract. The Purchaser must prepare the LGRS and include it as a part of the Schedule of Supply.

BOQ/LGRS and bidding documents should be authentic

submit bids for individual lots or not. For example,

[*Alternative 1. Insert:* “The Goods and Related Services are grouped in lots. Bids on individual lots are not permitted. Only Bids for all of the lots will be accepted.”]

[*Alternative 2. Insert:* “The Goods and Related Services are grouped in lots. Bidders shall have the option of submitting Bids for one, any combination, or all of the lots. Lots shall not be further subdivided for the purpose of bidding.”]

SECTION 5

Case Study | The Two CVs of Mr. X



20 MIN



Your agency is recruiting a consultant for an IT-related assignment funded by ADB. During the recruitment process, an application is received from Mr. X, who submits CV 1 for this role.

During routine due diligence checks, you discover:

- Mr. X is already engaged intermittently under another ongoing project. For that assignment, he previously submitted CV 2.

An online verification check reveals additional information about his academic qualifications that does not appear consistently across both CVs.

Your task is to assess these documents and determine whether the differences raise integrity, eligibility, or misrepresentation concerns.

SECTION 5

Case Study | The Two CVs of Mr. X



Instructions

1. Review the documents provided and reflect individually (5 mins)
2. Discuss your observations on your table (10 mins)
3. Discuss your next steps as a team (5 mins)

06

Reporting, Investigations, and Enforcement



SECTION 6

Reporting, Investigations, and Enforcement

This session will cover:

What happens after an integrity concern is raised, how investigations work, and what your responsibilities are.

1. When and how to raise an integrity concern.
2. How ADB investigations work.
3. When an entity is debarred or suspended.

SECTION 6

Role of the External Investigations Team (EIT)



Investigates integrity violations



Uses specialist investigative support



Initiates integrity enforcement processes



Supports remediation and accountability



Builds integrity capability through training and support



Supports reinstatement and compliance

SECTION 6

How does an investigation process begin?

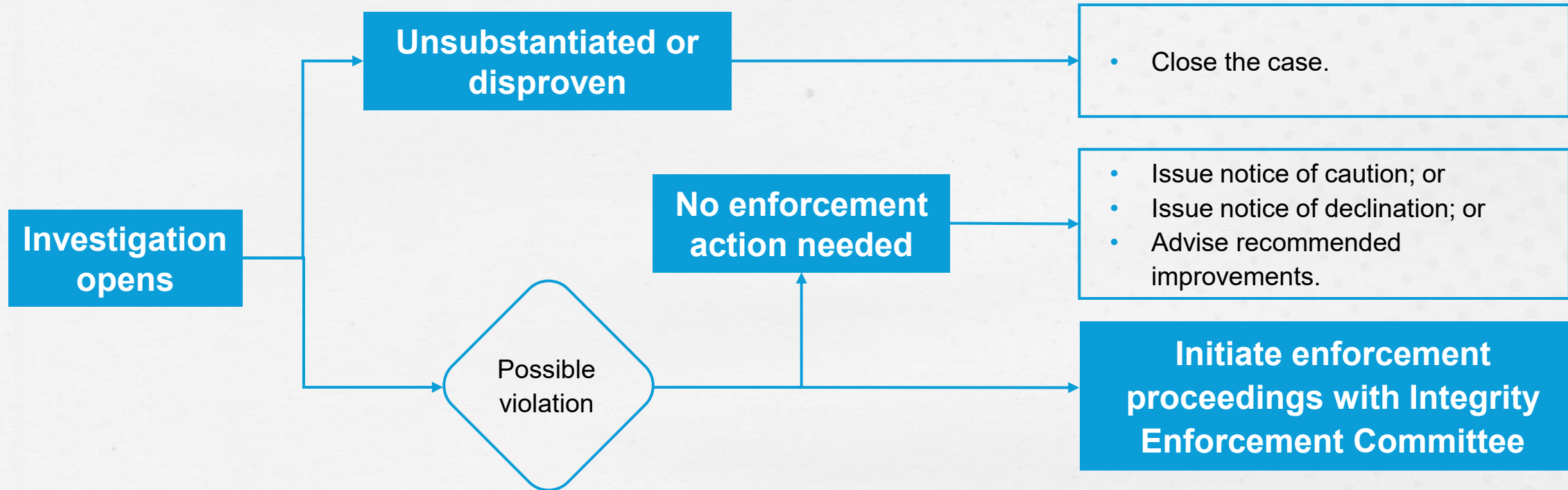
OAI receives a complaint and assesses whether it meets the criteria for investigation. If the criteria are met, an investigation is opened.

Reporting concerns is encouraged and part of good risk management

OAI maintains confidentiality but cannot guarantee protection of individuals involved.

SECTION 6

External Investigation Process



SECTION 6

External Investigation Process | Outcomes

Let us focus on what happens when a possible violation is identified.

Possible violation

- No enforcement action needed, or a lapse not amounting to an integrity violation

- Show Cause Letter for subject of interest
- May enter a proposed resolution agreement with the subject of interest

Initiate enforcement proceedings with Integrity Enforcement Committee

- Includes processes for response and appeals
- Temporary suspension may be imposed

- Debarment
- Cross debarment

SECTION 6

Impact While Under Debarment

Current contacts



**MAY
CONTINUE**

**Contract
variations**



**REQUIRE OAI
ENDORSEMENT**

Future tenders



NOT PERMITTED

Reinstatement



NOT AUTOMATIC

SECTION 6

Consequences of Integrity Violations in ADB-financed Projects



Debarment of firms or individuals



Suspension of the loan or grant allocations



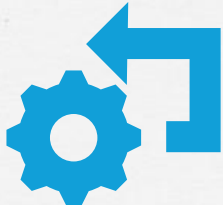
Termination of loan or grant allocations



Cross debarment



Return of misused loan or grant resources back to ADB



Changes of administrative arrangements



Request to **investigate or change** government staff

INSTITUTIONS



WORLD BANK GROUP



07

Building a Culture of Integrity



SECTION 7

Building a Culture of Integrity

This session will cover:

How leadership behavior at all levels and systems influence integrity outcomes.

1. Small actions that support integrity in your team.
2. Reflection on how systems and processes shape integrity risk management.

SECTION 7

Group activity



15 MIN

Amigo works in a department that has partnered with the same contractor for over 25 years. During routine work, Amigo notices several inconsistencies that don't quite add up.

Despite the long-standing relationship, Amigo decides to raise the issue with their manager.

Instructions

Discuss the following questions at your table:

1. What do you think made Amigo feel comfortable enough to report this?
2. What organizational systems, processes, or signals likely enabled Amigo to act?
3. Where do these conditions exist (or not) in your own context?

Session Wrap-Up

SESSION WRAP-UP

What is one thing you will do differently after this training?



Scan the QR Code
Go to [menti.com](https://www.menti.com) and
enter code 6625 6001

SESSION WRAP-UP

Recap



Know your partner



Leverage technology
for due diligence



Identify and mitigate risks,
support your partner in
mitigating risks



EAs/IAs to:

- Sign up for access to ADB's complete Debarment and Suspension Register
- Do the sanctions checks

SESSION WRAP-UP

References



OUR FRAMEWORK Policies and Strategies

ADB

ANTICORRUPTION

Asian Development Bank



INVESTIGATION AND ENFORCEMENT FRAMEWORK

OCTOBER 2024

ASIAN DEVELOPMENT BANK

ADB



Scan the QR code or visit bit.ly/ief-adb



Integrity Risks and Red Flags Series



Scan the QR code or visit bit.ly/ADBintegritylessons

SESSION WRAP-UP

Evaluation

Your feedback would help the Office of Anticorruption and Integrity in providing knowledge products and services relevant to you.

Answers will be kept confidential and data will only be assessed in the aggregate.

SCAN THE QR CODE



SESSION WRAP-UP

Connect with OAI.



www.adb.org/integrity



@anticorruptionandintegrity



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ADB Anticorruption and Integrity



Report integrity violations:

<https://www.adb.org/integrity/report-violations>



If you registered your email address, you may receive a copy of this presentation and related resources from OAI.

Integrity is your responsibility, do not assume that someone else is doing it. Thank you for your commitment to integrity.

Together, we make a difference.