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ADB's Accountability Mechanism

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**Chair, Compliance Review Panel & concurrently
Head, Office of Compliance Review Panel**

19-23 August 2024 | ADB Headquarters, Philippines

The ADB logo consists of the letters 'ADB' in a white, serif font, centered within a dark blue square. The square is positioned in the bottom-left corner of the slide.

ADB



Accountability Mechanism Policy

- ✓ Respond to concerns/issues of people affected by ADB-assisted projects
- ✓ Ensure compliance with ADB's policies and procedures



Increase ADB's development effectiveness

Requirements for admissibility

Criteria:

- Filed by at least 2 directly, materially adversely affected people or their duly authorized representative

Exclusions:

- Actions not related to ADB
- Matters already considered by the AM
- Filed beyond the 2-year period from project closing
- Frivolous
- About adequacy of ADB policies
- Issues related to other ADB process/ departments: procurement, fraud and corruption (OAI), staff issues (appeals committee/tribunal; finance and administration)
- **Complainants have not made good faith efforts to resolve issues with OD**

Overview of the Special Project Facilitator

- Independent and neutral
- Fact-based
- Facilitation/mediation
- Shuttle diplomacy
- Consensus-based
- Quick and cost efficient



Overview of the Compliance Review Panel

- Independent fact-finding body
- Investigates alleged ADB's noncompliance with operational policies and procedures which cause or likely to cause harm (not the Borrower)
- Formal 10-step process divided into 3 major stages:
 1. Eligibility Determination,
 2. Compliance Review, and
 3. Monitoring



CRP Process

Eligibility Determination & Compliance Review

❖ Due Diligence and review during project preparation

- Comprehensive socio-economic & environmental baseline including stakeholder mapping
- Adequacy of the environmental and social assessment commensurate to the level of project risk
- Meaningful consultation and access to information

❖ Monitoring and supervision during project implementation

- Ensure that mitigation measures in the EMP are actually implemented
- That a functioning GRM is in place
- Possible gaps/ inadequacies in project preparation are addressed

Key Lessons



Meaningful and continuing information dissemination and consultation with affected persons



Key Lessons

Comprehensive stakeholder identification with particular attention to impacts on vulnerable groups

ADB



Lessons

Comprehensive stakeholder identification with particular attention to impacts on vulnerable groups

ADB



Key Lessons

**Prompt and regular
assessment and
monitoring of project
implementation**



=

*Feedback on project
implementation/ADB's
performance*



Role of the Borrower (Government) in the Accountability Mechanism Process

General

- Ensure compliance with ADB operational policies & procedures in ADB-assisted projects
- Prevent and solve problems at the project level

During AM Process

- Partner in the problem-solving process
- Fully cooperate during fact-finding site visits of OCRP & OSPF
- Partner with ADB in the implementation of remedial actions

Role of ADB's Operations Departments

- **General:** ensure compliance with ADB's operational policies and procedures
- However, if something was missed, or if project-affected people suffer harm, the ODs would have to address these issues. **If they are successful, then no complaint would reach the AM.**

If a complaint does reach the AM, the ODs still play a crucial role in the AM Process

- work with the borrower (DMC or private sector client) to address concerns and/or prepare the remedial action plan
- collaborate with borrower in formulating and implementing solutions (OSPF) or remedial actions (OCRCP)

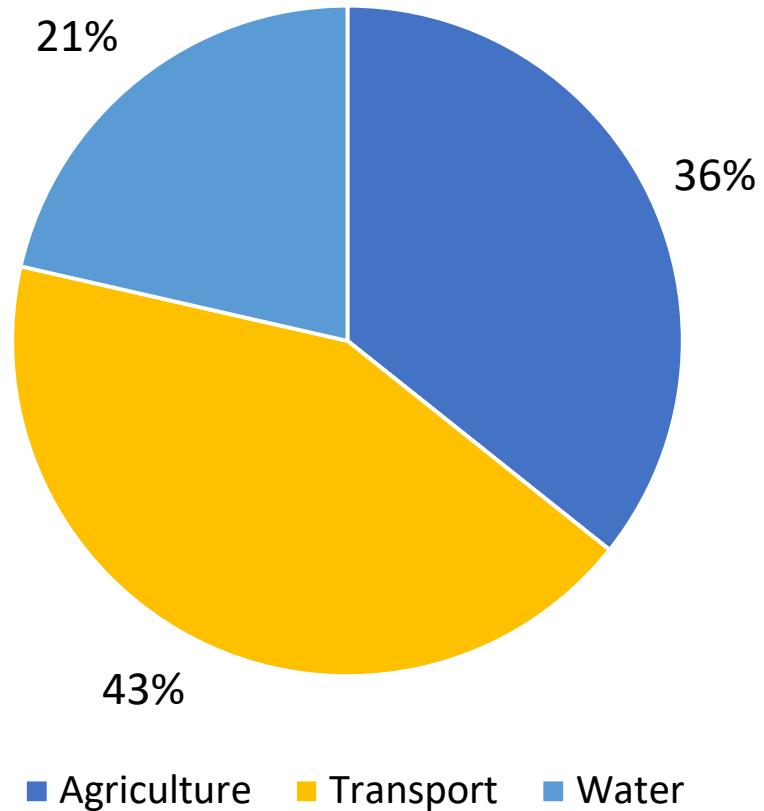
AM-Related Complaints Received from Pakistan

(as of 15 August 2024)

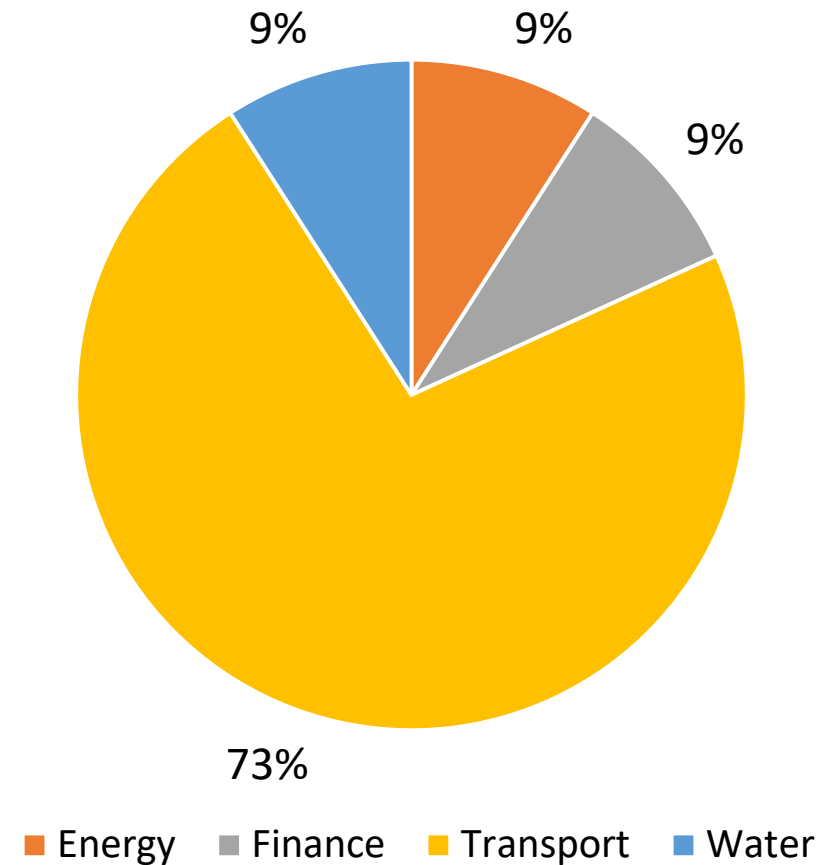
Year	Received by OSPF (For Problem-solving)			Received by CRP (For Compliance Review)		
	Eligible	Ineligible	Withdrawn	Eligible	Ineligible	Withdrawn
2023	2	11	1	0	0	0
2024	1	10	0	0	2	1
TOTAL	25			3		

AM-Related Complaints Received from Pakistan (as of 15 August 2024)

2023 Sector Distribution



2024 Sector Distribution



Distribution of AM-Related Complaints by ADB Operations Departments (2012-2024)

As of 30 July 2024



Central and West Asia: 110 (49%)

South Asia: 68 (30%)

Southeast Asia: 21 (9%)

East Asia: 10 (4%)

Private Sector: 10 (4%)

Pacific: 4 (2%)

AM: Two Functions Two Offices

Special Project Facilitator



Imrana Jalal
Special Project Facilitator

Compliance Review Panel



Elisea Gozun
Chair



Vaideeswaran Sankaran
Member



Inbal Djalovski
Member

Office of Special Project Facilitator

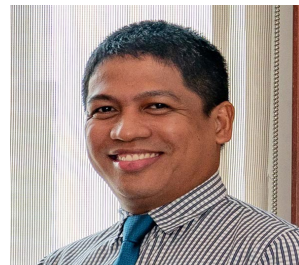


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Ramasubramanian**

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Facilitation
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Office of the Compliance Review Panel



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