

An abstract graphic on the left side of the slide. It features a solid blue hand at the bottom, from which several thick, wavy, semi-transparent lines in shades of blue, yellow, and orange flow upwards and outwards, resembling smoke or energy. The lines are layered and overlap, creating a sense of movement and complexity.

The Integrity Learning Series

Marshall Islands: Youth Skills Development and Employment Project

Eduard Avetisyan
Integrity Specialist

13 May 2024

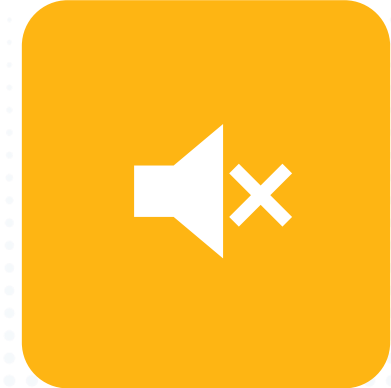
Reminders



The session is
being recorded



Type in your questions
through Q&A at any time



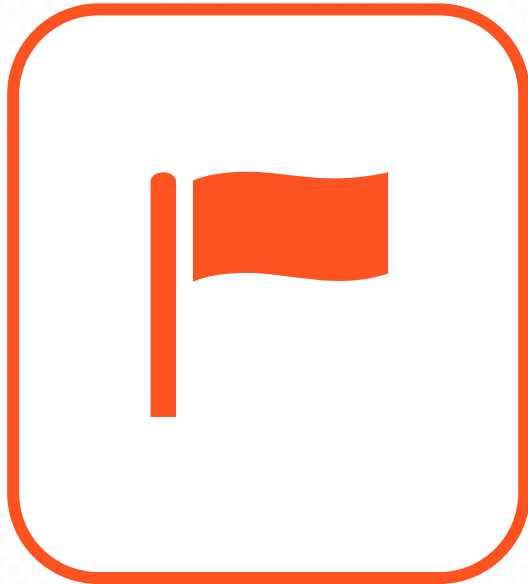
Please remain on
mute if not speaking

Recognizing and Reducing Risk

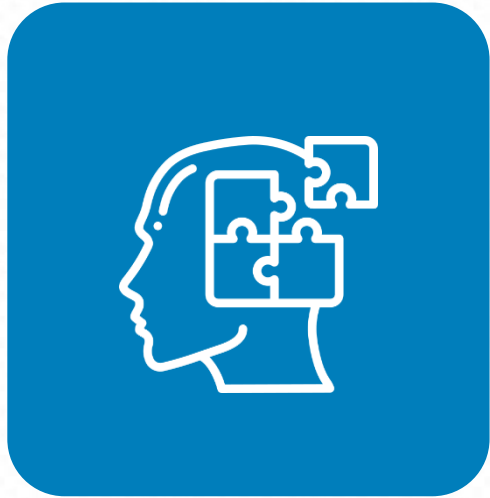
ADB's Anticorruption Framework



Applies to **executing** and **implementing agencies, contractors, consultants, suppliers, ADB staff, and anyone** connected to an ADB-financed, administered, or supported activity.



Be alert to the
red flags of
integrity risks.



WHY?



WHO?

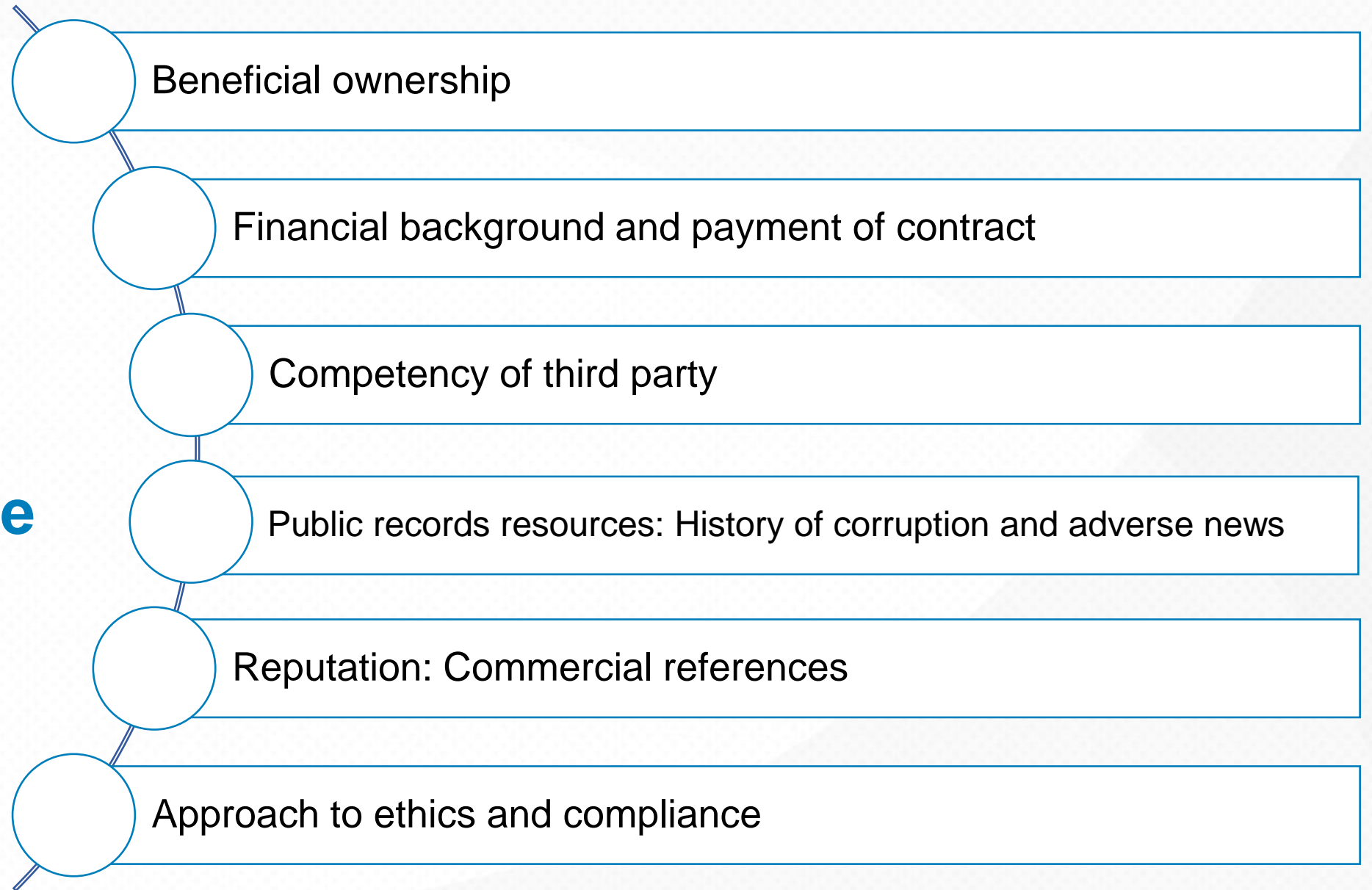


WHEN?



WHAT?

Factors in Conducting Due Diligence





ADB Irrigation and
Water Project

**BIDDING IS
NOW OPEN**

Conflict of Interest

Letter of Bid

NOTE

The bidder must

Bid Submission Sheet

NOTES

1. The bidder must accomplish the Bid Submission Sheet and address.
2. To be used for Single-Stage Bidding, the bidder must provide the bidder's complete name

To: [insert complete name of the bidder]

Date:

International Competitive Bidding (ICB) No.:

Invitation for Bid (IFB) No.:

Alternative No.:

[Insert identification no. if this is an alternative bid;
if alternative bid is not permitted, delete this field]

We, the undersigned, hereby certify that we have no conflict of interest with participating firms.

(a) We have no conflict of interest with participating firms.

Review bid/proposals to identify potential or actual COI. BEC/CSC members should also ensure that they have no conflict with participating firms.

Bidder's Qualification

Form ELI – 1: Bidder's Information Sheet

Bidder's Information	
Bidder's legal name	
In case of a Joint Venture, legal name of each partner	
Bidder's address and country of constitution	

Information on incorporation documents, shareholders, owners and managers, authorized representative, JV partners, legal address and country of constitution should be in order

Evaluation and Qualification Criteria

Form LIT – 1: Pending Litigation and Arbitration

Each Bidder must fill out this form if so required under Criterion 2.1.2 of Section 3 (Evaluation and Qualification Criteria) to describe any pending litigation or arbitration formally commenced against it.

In case of a Joint Venture, provide the name of the Partner's name below:
Joint Venture

Form FIN – 2: Size of Operation (Average Annual Turnover)

Each Bidder must fill out this form.

The information supplied should be the Annual Turnover of the Bidder or each member of the amounts billed to the client or the amount of the Bidder's revenue from the exchange at the end of the year.

In case of a Joint Venture, provide the name of the Partner's name below:

Form FIN – 1: Historical Financial Performance

Each Bidder must fill out this form.

In case of a Joint Venture, provide the name of the Partner's name below:

Joint Venture

Form EXP – 1: Contractual Experience

Fill out one (1) form per contract.

Contractual Experience

Contract

Form EXP – 2: Technical Experience

Fill out one (1) form per contract.

Technical Experience

Name of Product:

Should meet the bid qualification requirements

Bill of Quantities/List of Goods and Related Services

1. List of Goods and Related Services

The purpose of the List of Goods and Related Services (LGRS) is to briefly describe and identify the quantity of each of the Goods and Related Services that the Purchaser requires the Bidder to supply. As a part of the Schedule of Supply, the LGRS constitutes a Contract document and is a part of the Contract. The Purchaser must prepare the LGRS and include it as a part of the Schedule of Supply.

If the Goods and Related Services are grouped in lots, the Purchaser must state whether Bidders are permitted to submit Bids for individual lots or not.

[Alternative 1. Insert: “The Goods and Related Services are grouped in lots. Bids on individual lots are not permitted. Only Bids for the entire lot can be accepted.”]

[Alternative 2. Insert: “The Goods and Related Services are grouped in lots. Bidders shall have the option of submitting Bids for one, any combination, or all of the lots. Lots shall not be further subdivided for the purpose of bidding.”]

BOQ/LGRS and bidding documents should be authentic

Proposed Personnel/Experts

FORM TECH-6B

[Note to Consultant: Each Curriculum Vitae (CV) should have a maximum of five pages]

CURRICULUM VITAE (CV) FOR INTERNATIONAL OR NATIONAL EXPERT

1. I understand that any willful misstatement or omission herein may lead to my disqualification or dismissal, if engaged.

2.

Date: _____

Expert or authorized representative of the firm]⁹

Day/Month/Year

Full name of authorized representative

Proposed personnel/experts should be aware and available

Invitation For Bids

Contract No. and Title:
ICB No-XA/EA/1A/C2

Bidding Announcement



We hope you all had a nice holiday season and a big congrats to all of our people that returned to work. Thank you all for your hard work and a lot of effort to be a part of the staff. We are pleased to have you all back with some exciting great projects.

New Decentral Feature
We have some exciting updates that we wanted to let you know about. The first one will go over to the new and highly anticipated decentralized. You can only download your own data and upload their data on

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Executing agencies (EAs) are responsible for sanction screening

Goods and Works

Prequalification

Technical
BER

Financial
BER

Contract
Award

Withdrawal
Applications

Contract Variations/
Modifications

Consulting Services

Shortlisting

Technical
Proposals

Financial
Proposals

Contract
Award

Withdrawal
Applications

Contract Variations/
Modifications

Conducting Due Diligence: Sanctions Checks

<http://sanctions.adb.org>

Sanctions List

The following page lists the entities sanctioned by the Asian Development Bank (ADB) and also those cross-debarred by other Multilateral Development Banks (MDBs). These entities are not eligible to participate in ADB-financed, -advised, or -supported activities for violating the specified Anticorruption Policy.

PUBLISHED LIST
Accessible to the public

Published List (No Login Required)

What you will see in the list:

- Sanctions violation while ineligible
- Second and subsequent violations
- Debarred entities who are uncontactable
- Cross debarred entities
- Subset of the complete list

[VIEW PUBLISHED LIST](#)

Complete List (For authorized users only)

What you will see in the list:

- Published List
- First violations

This is a secure application operated by ADB for its office given access to the application can access the list.

[VIEW COMPLETE LIST](#)

COMPLETE LIST

Password-protected, accessible to:

- ADB Staff
- BoD
- Parties with a “need to know”: international organizations, bilateral and government partners
- EAs and IAs

Requesting Access

Sanctions List

The following page lists the entities sanctioned by the Asian Development Bank (ADB) and also those cross-debarred by ADB from other Multilateral Development Banks (MDBs). These entities are not eligible to participate in ADB-financed, -administered, or -supported activities for violating the specified Anticorruption Policy.

Published List *(No Login Required)*

What you will see in the list:

- Sanctions violation while ineligible
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- Cross debarred entities
- Subset of the complete list

[VIEW PUBLISHED LIST](#)

Complete List *(For authorized users only*)*

What you will see in the list:

- Published List
- First violations

This is a secure application operated by ADB for its official business. Only those given access to the application can access the list.

Are you a registered user?

[LOGIN](#)

Are you a qualified (non-ADB staff) user who needs access to the complete sanctions list?

[SIGN-UP](#)

Qualified users:

- Officially involved in an ADB-financed, -administered or -supported activity (e.g. Project Director, Deputy Project Director)
- Favorably endorsed by ADB Project Officer

If you have problems submitting the online application, please download the [Application Form](#), complete it and email to integrity@adb.org

Sign up here

Manual form available

<http://sanctions.adb.org>

Requesting Access

Apply for access to Asian Development Bank full sanctions list:

* - required fields

Your Name *	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<i>First Name</i>	<i>Middle Name</i>	<i>Last Name</i>
User Name / Password *	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<i>User Name</i>	<i>Password</i>	<i>Confirm Password</i>
Designation * <i>(i.e. Deputy Secretary / Proj Officer)</i>	<input type="text"/>		
Organization / Agency * <i>(i.e. name of Organization, Ministry, Employer, etc)</i>	<input type="text"/>		
Address *	<input type="text"/>		
Country *	<input type="text"/>		
Telephone Number *	<input type="text"/>		
Fax Number	<input type="text"/>		
Email Address *	<input type="text"/>		

In connection with this application, I confirm that:

I am involved in an ADB-financed, administered or supported activity in my capacity as:

Designation * <i>(i.e. Project Director etc)</i>	<input type="text"/>
Project(s) *	<input type="text"/>
ADB Loan number(s) *	<input type="text"/>

Project is being executed/implemented by:

Organization / Agency *	<input type="text"/>
-------------------------	----------------------

ADB Project Officer's contact information:

Project Officer *	<input type="text"/>
Officer's ADB Email *	<input type="text" value="@adb.org"/>
Information on why access is required	<input type="text"/>

Make sure you fill in the name of an ADB project officer and email address who can verify your involvement in the project

If granted access, I shall use the information in ADB Sanctions List exclusively in connection with the project identified above. I shall protect the confidentiality of the information and use it only for the purpose for which the access has been granted.

The information will be granted without prejudice to the privileges and immunities conferred on ADB and its officers and

Search Functionality

Complete Sanctions List

Search:

TIP: Use the * for better search results. Type *example* to list all entities containing the text 'example' anywhere in the line.


Category:

View by:

Filter by:

 Search names using the search bar

 Avoid including common terms when searching

 Remove leading terms such as "M/S, or M/S." from company names

"Ltd", "Company", "LLC"
Example: Use "A2Z" when searching for A2Z Waste Management

United Nations Security Council Sanctions: Obligations



United Nations Security Council Sanctions

Home Welcome to the United Nations

عربي 中文 English Français Русский Español

About the Council

Sanctions

Members

Meetings

Documents

News

Home » About the Council » United Nations Security Council Consolidated List

United Nations Security Council Consolidated List

- [Technical actions](#)
- [Composition of the List](#)
- [Identifiers and acronyms in the List](#)
- [Individuals](#)
- [Entities](#)
- Mailing list Subscription

• [Search UN Security Council Consolidated Lists](#)

United Nations Security Council Consolidated List

[Link to United Nations Security Council List](#)

Search function



ADB Debarments and Temporary Suspensions

Integrity Violations





Integrity Violations

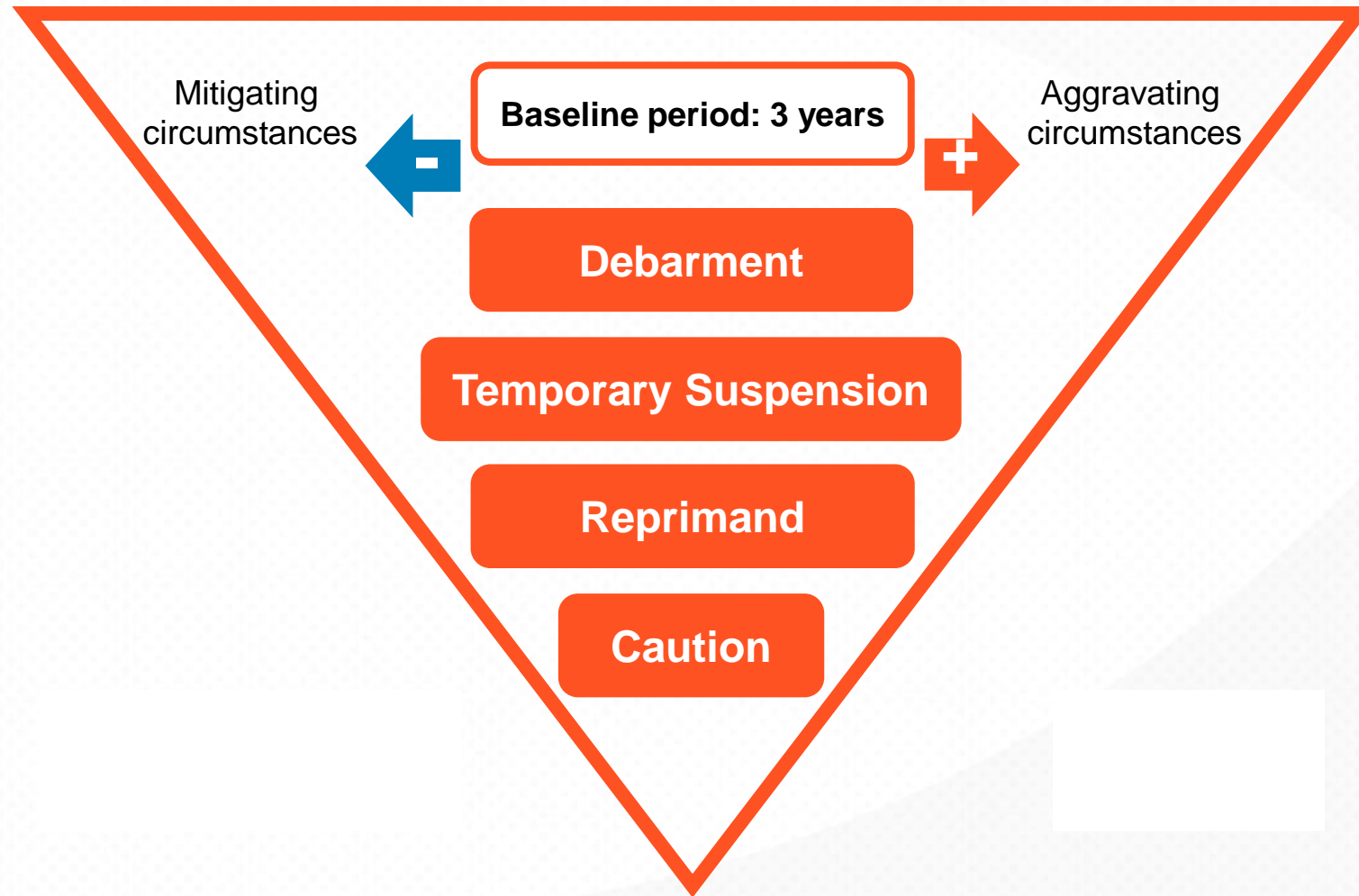
Assess and Investigate

Remedial Actions

Appeal Process

Cross Debarment

Remedial Actions



Impact While Under Sanction

Current contacts



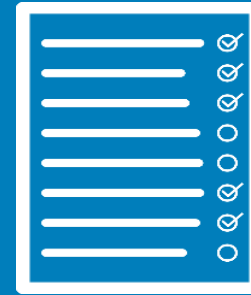
**MAY
CONTINUE**

Contract variations



**OAI
APPROVAL**

Future tenders



NOT PERMITTED

Reinstatement



NOT AUTOMATIC

Consequences of Integrity Violations in ADB-financed Projects



Sanctions of firms or individuals

Suspension of the loan or grant allocations

Termination of loan or grant allocations

Return of misused loan or grant resources back to ADB

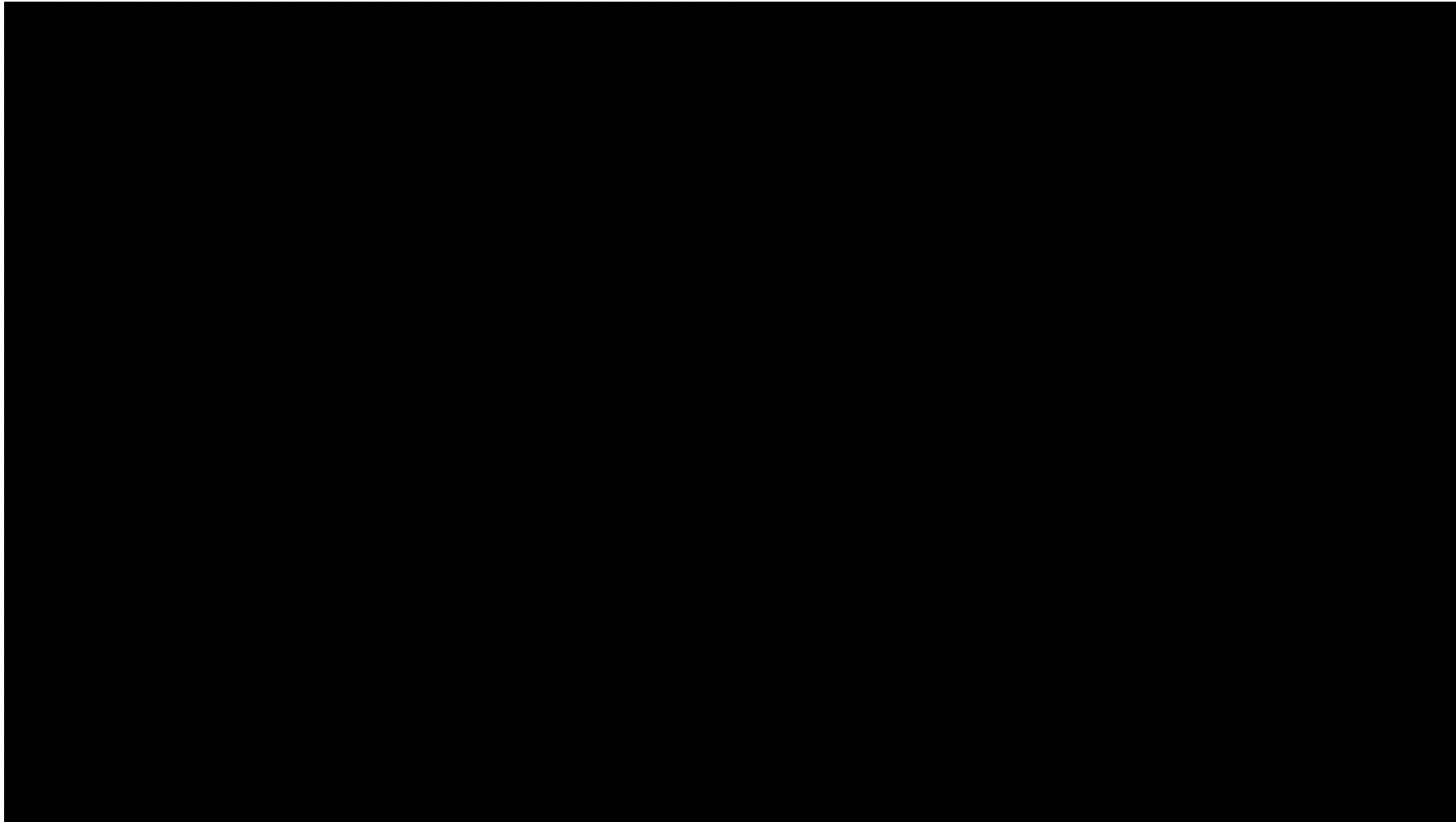
Changes of administrative arrangements

Request to investigate or change government staff

Cross debarment



Integrity is Everybody's Business



Integrity Risk Management is everyone's responsibility.



Do not assume that someone else is doing it.

Recap



Know your partner



Leverage technology for due diligence



Identify and mitigate risks, support your partner to mitigate risks



EAs/IAs to:
i. sign up for access to ADB's complete Sanctions List and
ii. do the sanctions checks



Report integrity violations to OAI

Other Resources

Frequently Asked Questions on ADB Sanctions

Frequently Asked Questions on ADB Sanctions

Scan the QR code to read

Office of Anti-Corruption and Integrity
Asian Development Bank, 6 ADB Avenue, Singapore City
Tel: +65 6321 6700 | Fax: +65 6321 6701
www.adb.org/integrity

Integrity Risks and Red Flags Series

Scan the QR code or visit bit.ly/ADBintegritylessons

ADB eLEARN

Detecting and Preventing Integrity Violations in ADB Projects

Take the course now!

Scan the QR code or visit: bit.ly/ADB-DPIV

Evaluation



Scan

Your feedback would help the Office of Anticorruption and Integrity in providing knowledge products and services relevant to you.

Answers will be kept confidential and data will only be assessed in the aggregate.

Office of Anticorruption and Integrity



www.adb.org/integrity



@anticorruptionandintegrity



anticorruption@adb.org
integrity@adb.org



@adb_oai



+63-2-8632 5004



ADB Anticorruption and Integrity



Report integrity violations :

<https://www.adb.org/integrity/report-violations>

