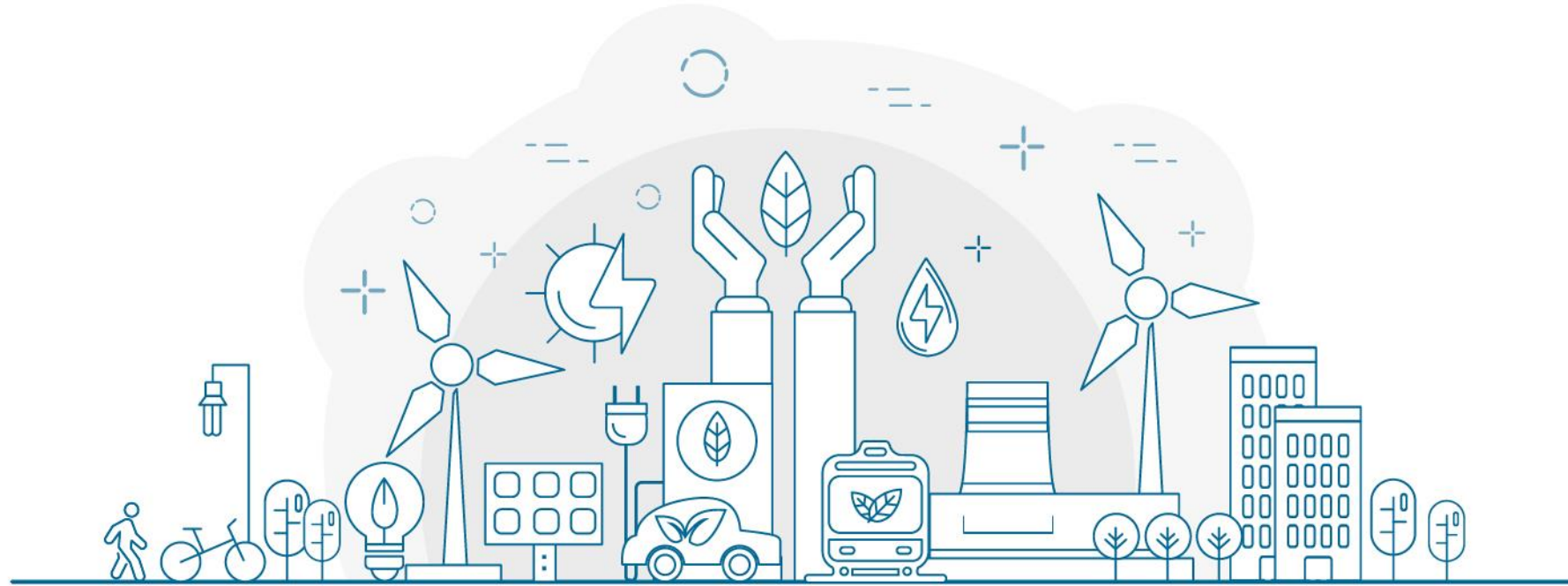


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PROJECT SPECIFIC DISCUSSION: Project Monitoring & Management in DMCs

SESSION 9:

ADB'S ANTICORRUPTION AND ACCOUNTABILITY FRAMEWORK



Mr. Steven Wong
Integrity Specialist
Office of Anticorruption and Integrity
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Ms. Frances Lynette V. Sayson
Senior Integrity Officer
Office of Anticorruption and Integrity
Asian Development Bank





SESSION 9: **ADB's Anticorruption and Accountability Framework**

Steven Wong, Integrity Specialist
Frances Sayson, Senior Integrity Officer

Objectives



Increase awareness of potential integrity risks



Refresh your knowledge of ADB's integrity framework



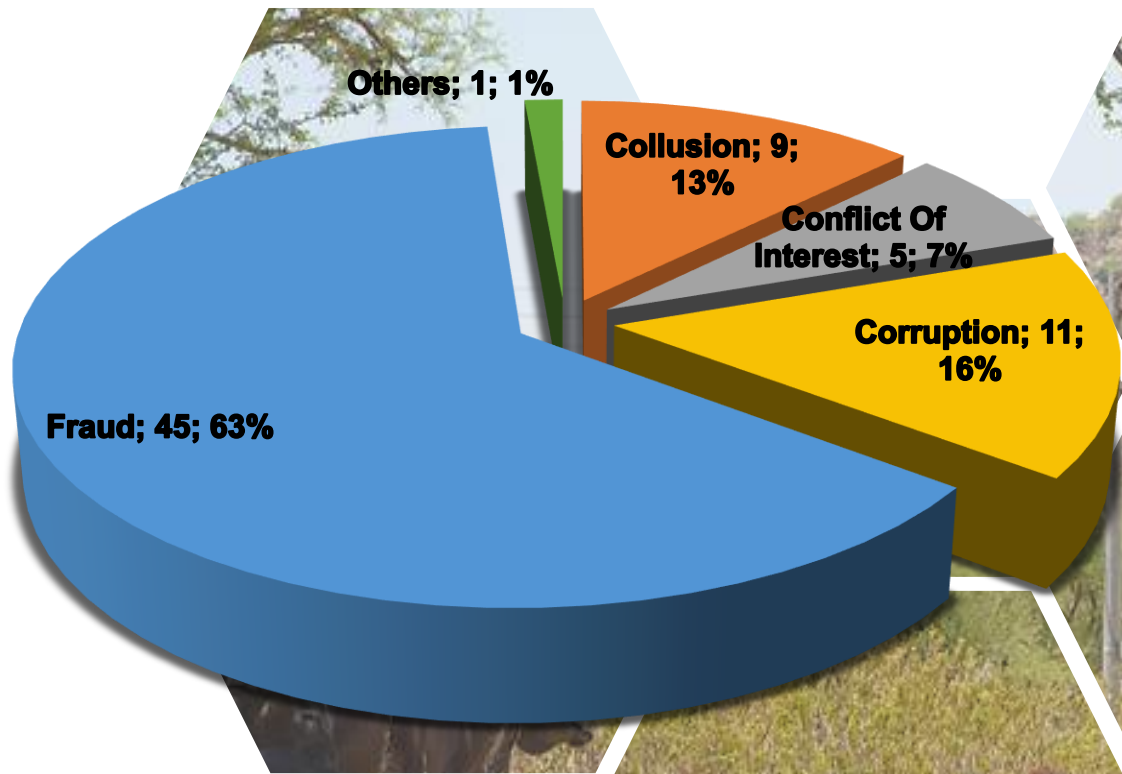
Enhance skills in integrity risk detection and mitigation



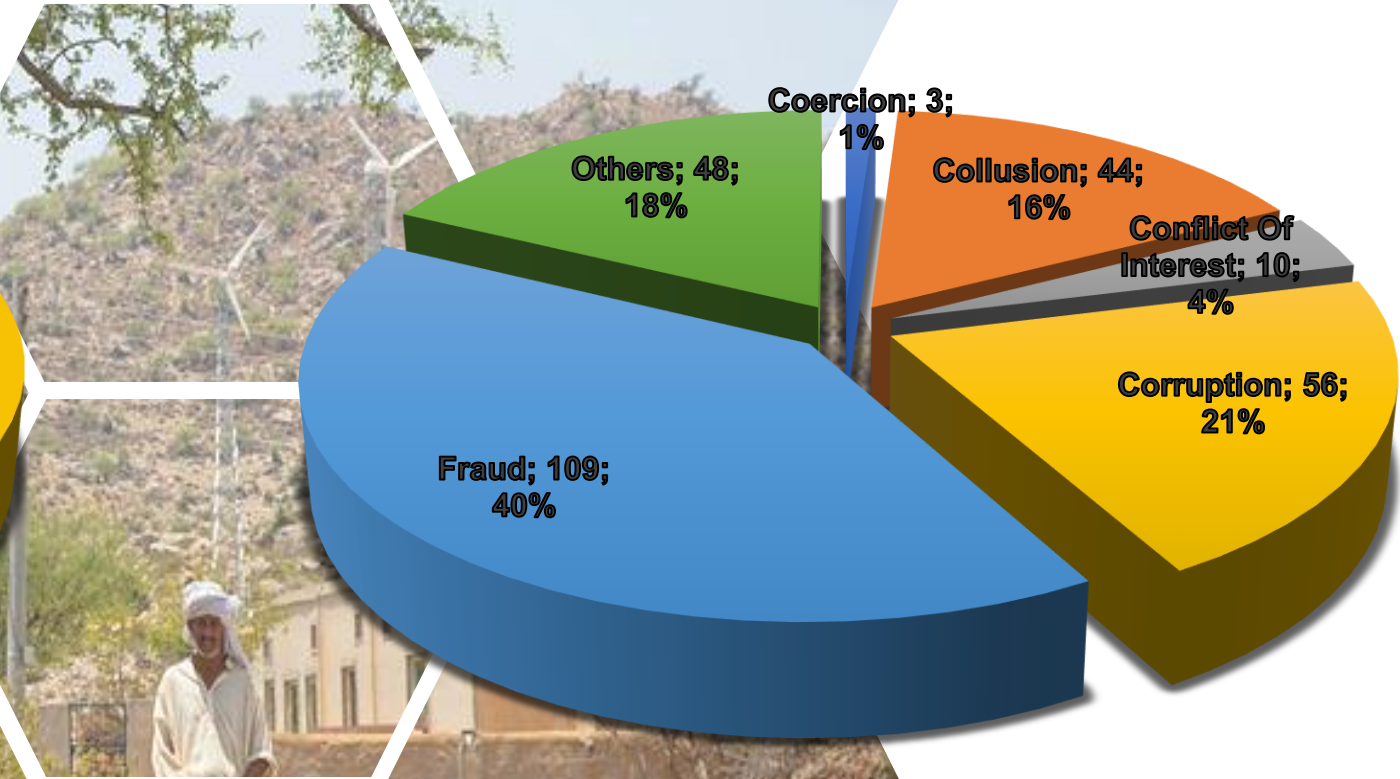
ADB'S anticorruption framework



Projects in the Central and West Asia region



Ongoing cases

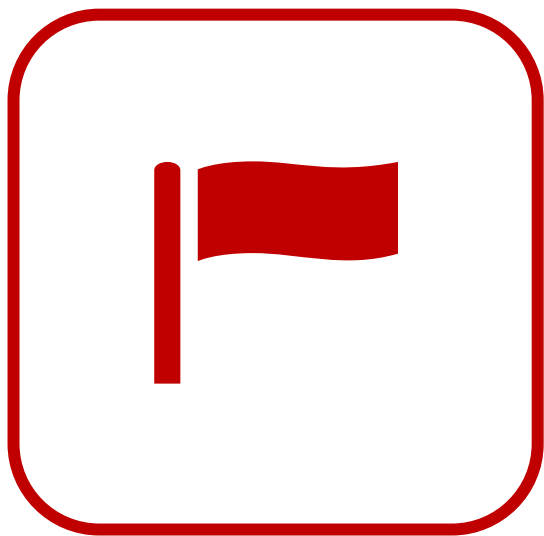


Closed cases



Red flags and integrity due diligence

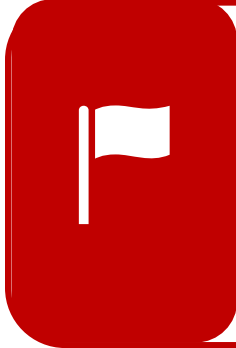
Be alert to the **red flags** of integrity risks.



Process of checking the accuracy of information of a business or individual prior to, during, and after engaging in a contract and informs risk mitigation

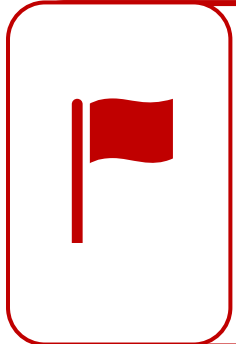


Red flag examples



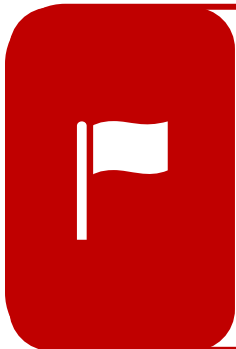
An expert, having previously worked closely with the executing agency, assisted in preparing the request for proposal for a consultant selection.

The expert was one of the nominated experts of the winning consulting firm in the selection.



The work experience in a bid contained amounts which appeared unreasonably large in comparison to the nature of works.

It appears that one digit was added to the contracted price. The supporting documents in the bid were a photocopy, and the numbering was not aligned in a straight line.



The bid included a set of photocopied bidder's invoices as evidence to support the bidder's work experience.

No official receipts or certification of works completion was provided in the bid.



Sanction checks



EA/IA obligations

Sanctions List

The following page lists the entities sanctioned by the Asian Development Bank (ADB) and also those cross-debarred by ADB from other Multilateral Development Banks (MDBs). These entities are not eligible to participate in ADB-financed, -administered, or -supported activities for violating the specified Anticorruption Policy.

PUBLISHED LIST
Accessible to the public

Published List *(No Login Required)*

- What you will see in the list:
- Sanctions violation while ineligible
 - Second and subsequent violations
 - Debarred entities who are uncontactable
 - Cross debarred entities
 - Subset of the complete list

[VIEW PUBLISHED LIST](#)

Complete List *(For authorized users only*)*

- What you will see in the list:
- Published List
 - First violations
- This is a secure application operated by ADB for its official business. Only those given access to the application can access the list.*

[VIEW COMPLETE LIST](#)

COMPLETE LIST
Password-protected,
accessible to EAs and IAs



Case study

<https://www.menti.com/al1ee2xgrnq6>



Case study

Three companies submitted bids for an ADB-financed contract.

Upon review of the three bids, the following were noted:

1. The “Letter of Bid” submitted by the three bidders had the same misalignment error.
2. Two out of the three bidders failed to submit a bid security.
3. The three bidders submitted the same balance sheets with the same format and figures.
4. The same format and font for the Technical Specifications section of their bids.
5. The three bidders had the same work implementation schedule regarding form, contents, font, and color.



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Report integrity violations :

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