This is not an ADB material. The views expressed in this document are the views of the author/s and/or their organizations and do not necessarily reflect the views or policies of the Asian Development Bank, or its Board of Governors, or the governments they represent. ADB does not guarantee the accuracy and/or completeness of the material's contents, and accepts no responsibility for any direct or indirect consequence of their use or reliance, whether wholly or partially. Please feel free to contact the authors directly should you have queries.

7th Annual Asia-Pacific Public Electronic Procurement Network Conference 17th November 2021

EBRD Client e-Procurement Portal (ECEPP) Complaint Portal

Richard Gargrave
Associate Director
Head of Innovation
Procurement Policy and Advisory Department
EBRD



EBRD Introduction



Established

1991

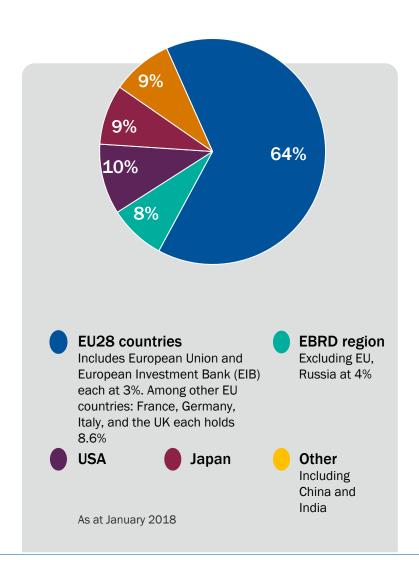
The EBRD is owned by

70 countries

from five continents, as well as the European Union and the European Investment Bank. These shareholders have each made a capital contribution, which forms our core funding.

What we do

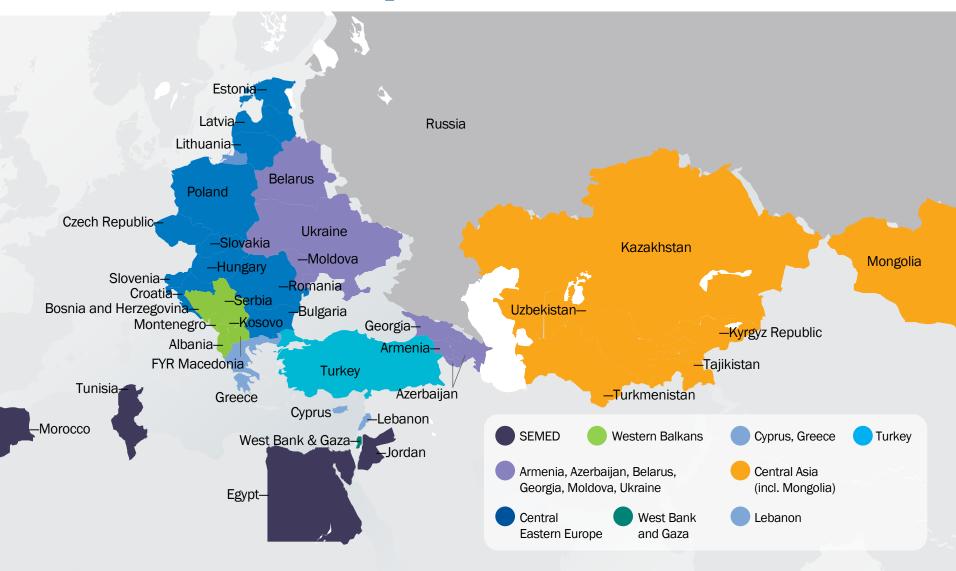
https://www.ebrd.com/what-we-do.html



6 December, 2021

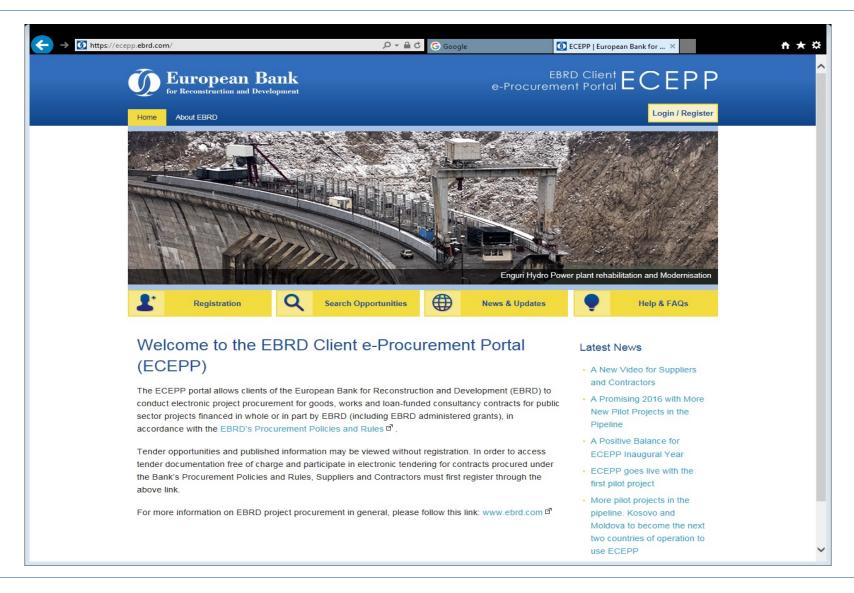


EBRD Countries of Operation



EBRD Client E-Procurement Portal - ECEPP ecepp.ebrd.com





EBRD E-Procurement Portal (ECEPP)



- EBRD Client e-procurement portal used for EBRD public sector clients for loan and grant funded procurement under EBRD Procurement Policies and Rules. Fully compliant with IFI e-tendering standards. Cloud-based system allowing use in any Bank member country using any internet browser.
- EBRD Client e-Procurement Portal (ECEPP) has been operating since 2015.
 Mandatory for all new projects from 2020.
- EBRD is the only IFI to offer all its public sector clients a full-cycle e-procurement portal to conduct procurement according to its rules and procedures.
- EBRD project procurement is predominantly for large infrastructure projects and associated consultancy approximately 300 – 350 contracts signed per annum worth around EUR2-3bn

ECEPP – Latest statistics



ECEPP Cumulative Statistics as at end September 2021

Active projects – 286 (total value EUR25bn)

Used in Countries of operation – 27

Signed contracts procured — 402 (EUR 2.9bn)

Tenders in process -- 211 (EUR 7.08bn)

Tenders set up but not yet started -- 352 (EUR 5.2bn)

ECEPP use now mandatory and has more third party users than any other Bank system;

- Bank Client users 900;
- Suppliers/consultants/contractors users 17,000 from c130 countries
- Bank users -140

ECEPP – Complaint Statistics 2016 - 2021



Contract type	2016	2017	2018	2019	2020
Works	8	8	9	6	6
Goods	6	9	3	2	6
Supply and Instal	10	7	2	1	N/A
Consultancy	2	2	1	2	2
Total	26	26	15	11	14

Year		average number of compaints /number of contracts		
2016	222	11.7		
2017	275	9.5		
2018	353	4.2		
2019	307	8.5		
2020	294	4.8		

Number of projects with complaints	Number of complaints
1	6
1	
4	4
4	3
11	5 4 3 2
Nationality of complainants	
Ukraine	13
Turkey	11
Slovakia	7
Poland	6
Czech	5
Austria	5 5 4 4
France	4
germany	4
Greece	4
Georgia	4
	Number of companies
Companies with more than one complaint	6

$ECEPP-Complaint\ Process$



Time	Complainant (Tender Participant)	Responsible	Action
Before final (priced) tender opening		Client	All information including notices, documents and any clarifications and amendments on the tender is available free of charge through ECEPP
	Raise issue with client via ECEPP	Client	Clarification/ Delay/Amendment using ECEPP
	If not satisfied, request Bank Review through complaint portal	Bank/Client	Review available information in ECEPP and provide advice to Client to resolve. In extreme cases may call for a re-tender and revised procurement plan
After final (priced) tender opening		Client	ECEPP provides opening minutes and client sends email advice to all participants individually on the tender outcome using ECEPP
	If not satisfied, seek debriefing	Client	Client either issues detailed briefing memo via ECEPP or arranges a meeting and keeps records.
	If still not satisfied, raise a complaint with Bank using complaint portal	Bank	Bank reviews the complaint and rejects or upholds it. Bank can withhold or reduce financing for the contract if complaint upheld. Bank's decision is final.

ECEPP – Complaint Portal Making a complaint



Complaints are made via an online form accessible from ECEPP. The form captures key information on the complainant and the nature of the complaint. The Complaint is linked to the tender in ECEPP enabling a report to be generated with all required information required for Bank records

Participant enters ECEPP ID number. The following fields will prepopulate:

- Project Name:
- EBRD Project ID:
- Country:
- Client Name:
- ECEPP ID:
- Procurement Exercise Name:
- Procurement Method:
- Contract Type
- Business Sector:
- Date:

The following fields then open for Participants to enter data

- Review type: [BANK REVIEW/COMPLAINT]
- Legal Name of Participant:
- Address:
- Country:
- Description of Complaint
- Supporting document uploads

ECEPP – Complaint Portal Request for Bank Review



REQUEST FOR BANK REVIEW

Designed to enable early intervention and stop formal complaints.

Initial review that would have taken weeks awaiting information from clients can now be investigated by Procurement Department in minutes. Examples:

- Allegations of not following Bank procedure ECEPP system only allows actions following a Bank no-objection for all major steps so risks are mitigated and easily investigated.
- Claims not to receive documents/clarifications ECEPP system allows Bank to check what has been received by participants
- Claims system did not allow submission of tenders ECEPP keeps detailed activity log of all actions by users with date stamps.
- Claims documentation or specifications incorrect or favourable to other participants easily investigated and tender clarifications and associated tender closing delays can be issued in minutes.
- Claims of system faults easily identified by the Bank ECEPP team and mitigation or resolution put in place

ECEPP – Complaint Portal 2



FORMAL COMPLAINTS

Formal complaints have to be submitted for decision by EBRD Complaint Committee.

Reports are generated using ECEPP and Complaint Form data for consideration by the Committee. The complaints raised by unsuccessful entities in 2020 varied in nature. The following main issues were raised:

- Challenging the Client's decision to disqualify a tenderer on technical grounds. ECEPP enables review of offers, clarifications and the evaluation report.
- Challenging the qualification of the lowest priced tenderer ditto
- Allegation that the winning tenderer did not fulfil the requirements of the tender documents
- Client's mishandling of the tender opening. This was on paper processes. In ECEPP tender opening and minutes is automatic and late bids cannot be submitted.

Only two complaints out of fourteen were upheld by the Bank. One was withdrawn.

ECEPP – Complaint Portal 2



DISBARMENT - HOW MANAGED

EBRD only disbars firms from award of contact NOT participation in tenders.

- ECEPP prompts clients to check the ebrd.com disbarment list and includes a link.
 Client must confirm that they have reviewed this list prior to submission of the evaluation report
- ECEPP does not allow the Bank to issue a no-objection to a final evaluation report, leading to award of contract, until they have conducted a 'World-Check' integrity check which includes automatic review of disbarments from all major entities.
- EBRD checks that awarded companies are not subject to debarment or other official sanction and must upload a copy of the world-check report as part of the no-objection process before system will allow award notifications to be sent.

ECEPP – OCCO – Fraud and Corruption Investigation Unit



ECEPP BENEFITS

- Access to any project can be granted to EBRD investigators within 5 minutes
- Transparent reduce fraud and corruption risk
- Instant access to ALL documentation not reliant on requesting documentation from client/participants/contractors
- Ability to review all offers helps with investigation of collusion allegations able to easily and quickly compare similar documents in different offers
- Ability to review messages enables patterns of communication to be assessed
- Ability to review all activity of every user to detect unusual patterns or ascertain time stamps
- Vastly speeds up initial assessment period. Enables quick determination of spurious allegations to enable procurement process to proceed.

Contacts



Richard Gargrave

Associate Director Procurement Policy and Advisory Department

Email: gargravr@ebrd.com

EBRD, One Exchange Square London, EC2A 2JN **United Kingdom** www.ebrd.com

Find us on social media













