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# ADB's 2009 Safeguard Policy Statement

## An Overview of the Environmental and Social Safeguard Requirements for ADB-financed Projects

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# Why do we need a Safeguard Policy?



# Why do we need a Safeguard Policy?

*Development projects are intended to benefit large numbers of people!*

## Issue/Challenge:

- Unless well managed, infrastructure projects can pose severe economic, social and environmental risks
- poor and vulnerable groups can be affected disproportionately



# Key Message – Safeguards are important!

- ADB's SPS is a cornerstone of our support to inclusive economic growth and environmentally sustainable growth
- ADB is committed to the full implementation of the safeguard policy.
- We need to work with our borrowers and other stakeholders to put the policy principles and requirements into practice



# 2009 Safeguard Policy Statement

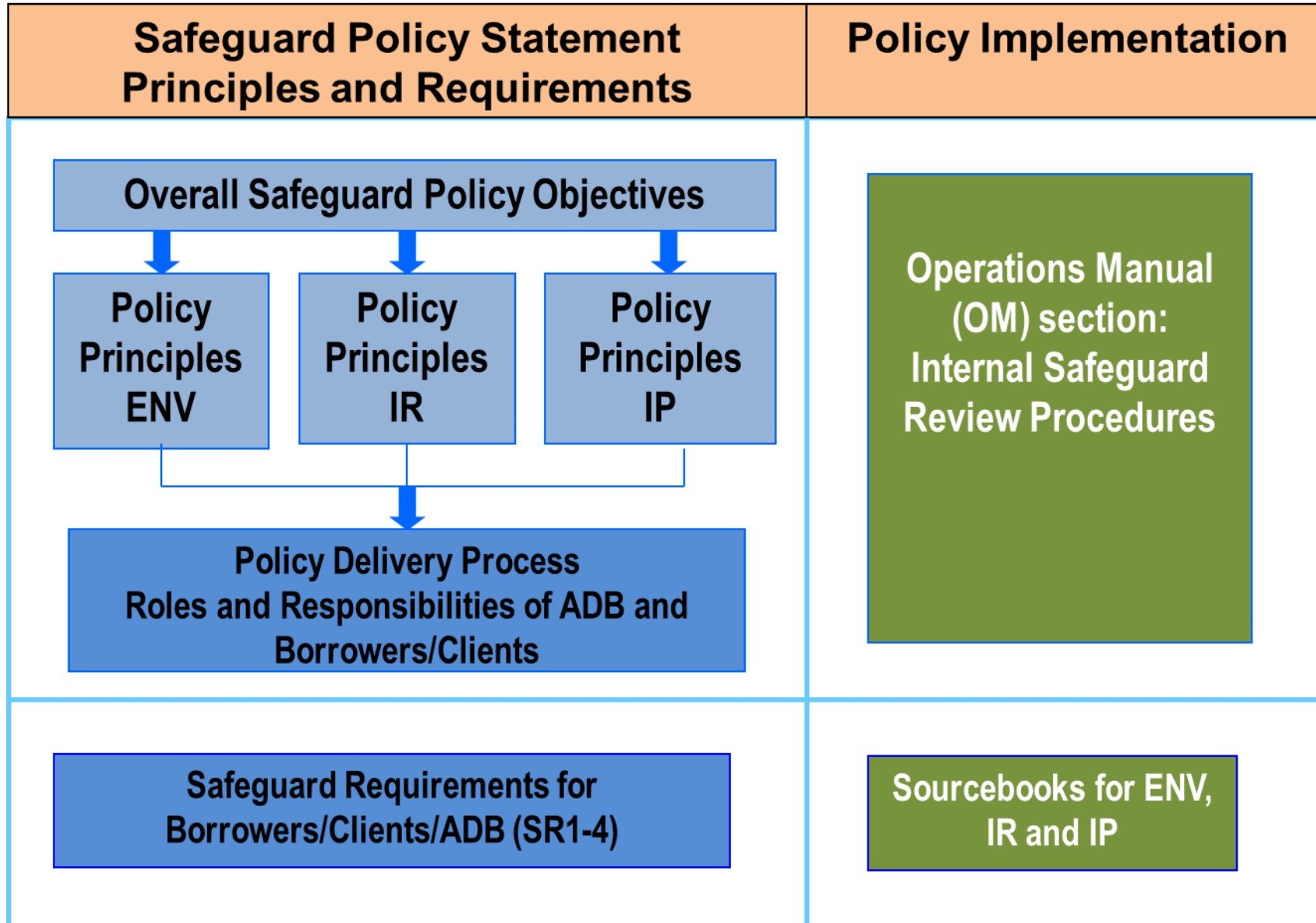
## Overarching Objectives

- **Avoid** adverse impacts of projects on the environment and affected people, where possible;
- **Minimize, mitigate, and/or compensate** for impacts when avoidance is not possible; and
- Help borrowers/clients to **strengthen systems and capacity** to manage environmental and social risks.

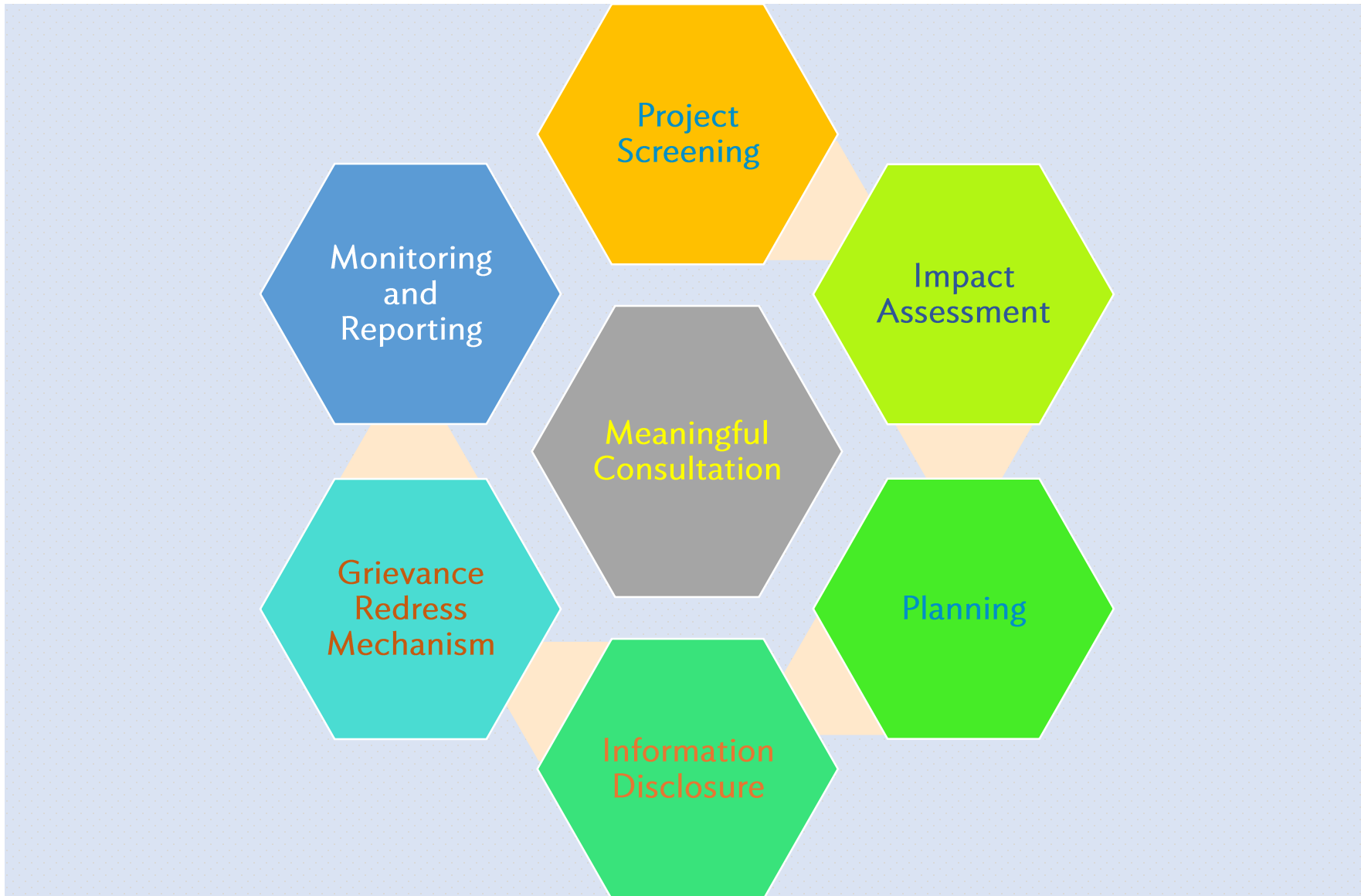
Applies to all ADB-financed and/or ADB-administered sovereign and non-sovereign projects.



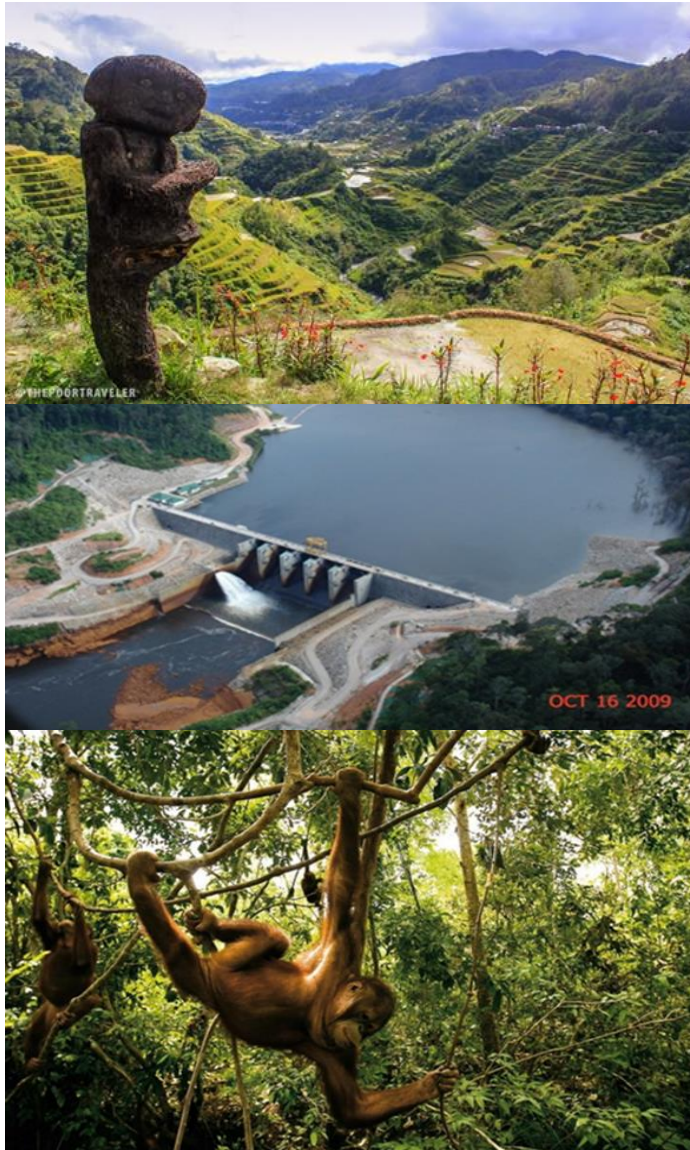
# SPS Structure



# Common Policy Requirements



# Environmental Safeguard



## Policy Objectives

- Ensure the environmental soundness and sustainability of projects.
- Support the integration of environmental considerations into the project decision-making process.





# Environmental Safeguard Policy Principles

1. Project screening and categorization
2. Environmental assessment
3. Alternatives examination
4. Environmental management plan
5. Consultation and grievance redress mechanism
6. Information disclosure
7. Monitoring and reporting
8. Biodiversity protection and natural resources management
9. Pollution prevention and abatement
10. Occupational and community health and safety
11. Physical cultural resources



# Involuntary Resettlement



## Policy objectives

- Avoid IR, wherever possible,
- Minimize IR by exploring project and design alternatives,
- Enhance, or at least restore DP's livelihood, in real terms,
- Improve standards of living of poor/vulnerable DP.



# Involuntary Resettlement Policy Principles

1. Project screening and categorization
2. Meaningful consultation and grievance redress
3. Measures to restore or improve livelihood
4. Relocation assistance
5. Measures for vulnerable groups
6. Negotiated settlement
7. Informal settlers
8. Resettlement plan
9. Information disclosure
10. Cost of involuntary resettlement
11. Timing of compensation
12. Monitoring and reporting



# IR Scope & Triggers

## Physical displacement

- relocation, loss of residential land, or loss of shelter;

## Economic displacement

- loss of land, assets, access to assets, income sources, or means of livelihoods

*....as a result of*

- (i) involuntary acquisition of land, or
- (ii) involuntary restrictions on land use or access to legally designated parks and protected areas

....impacts may be

- Full or partial
- Permanent or temporary



# Types of Displaced Persons

- Owners of land/assets with titles
- Land users with customary and/or legally recognizable claims to land
- Occupants without titles or customary claims



# Indigenous Peoples



## Policy objectives

- Projects foster full respect for IP identity, dignity, human rights, livelihood systems & cultural uniqueness, so IP:
  - Receive culturally- appropriate social and economic benefits,
  - Do not suffer adverse impacts from projects,
  - Participate actively in projects that affect them.



# Indigenous Peoples Safeguard Policy Principles

1. Project screening and categorization
2. Social impact assessment
3. Meaningful consultation and grievance redress
4. Consent of affected IP communities
5. Physical displacement and IP in protected areas
6. Indigenous Peoples planning
7. Information disclosure
8. Customary rights to traditional land
9. Monitoring and reporting





# IP Scope and Triggers

## Distinctiveness

- Self Identification & Recognition by Others
- Collective Attachment to Land or Territories
- Distinct Customary Social or Cultural Practices or Institutions
- Distinct Language

## Vulnerability

- Historical, Social, Cultural, Geographical, or As a Result of the Project

## Impacts on Community/ies of IP

- Positive or Negative
- Direct and Indirect





# Specific Indigenous Peoples issues

3 specific activities where Indigenous Peoples' vulnerability should be seriously considered:

- Displacement from land "used", "occupied, or "claimed" as traditional or ancestral
- Commercial development/use of indigenous knowledge/cultural resources
- Commercial development/use of natural resources in traditional or customary lands

*Need for seeking consent demonstrated through broad community support*



# For more information:

- <http://www.adb.org/site/safeguards/main>

Check out our free eLearning Courses @ <https://elearn.adb.org>



## ENVIRONMENT

### ADB's Environment Safeguard Requirements

This course aims to provide ADB staff an overview of the 2009 Safeguard Policy Statement, with specific focus on environment safeguard requirements. Learners will be guided on procedural and substantive requirements for environmental assessment and management planning. Links to useful resources and templates will also be provided.



## ENVIRONMENT

### ADB's Involuntary Resettlement Safeguard Requirements

This course aims to introduce ADB staff to ADB's 2009 Safeguard Policy Statement (SPS), with specific focus on involuntary resettlement safeguard requirements. It will guide learners to involuntary resettlement principles, objectives scopes and triggers and ensure that projects ADB finances are responsive to SPS requirements.



## ENVIRONMENT

### ADB's Indigenous Peoples Safeguard Requirements

This course aims to give ADB staff an overview of the principles, objectives, scope and triggers of ADB's Indigenous Peoples safeguards. It will help learners determine when and what circumstances would ADB's Indigenous Peoples safeguards be applied and the key requirements expected from borrowers/ client in designing, implementing and monitorin...

