

CAPACITY DEVELOPMENT WORKSHOPS AND ASSESSMENTS

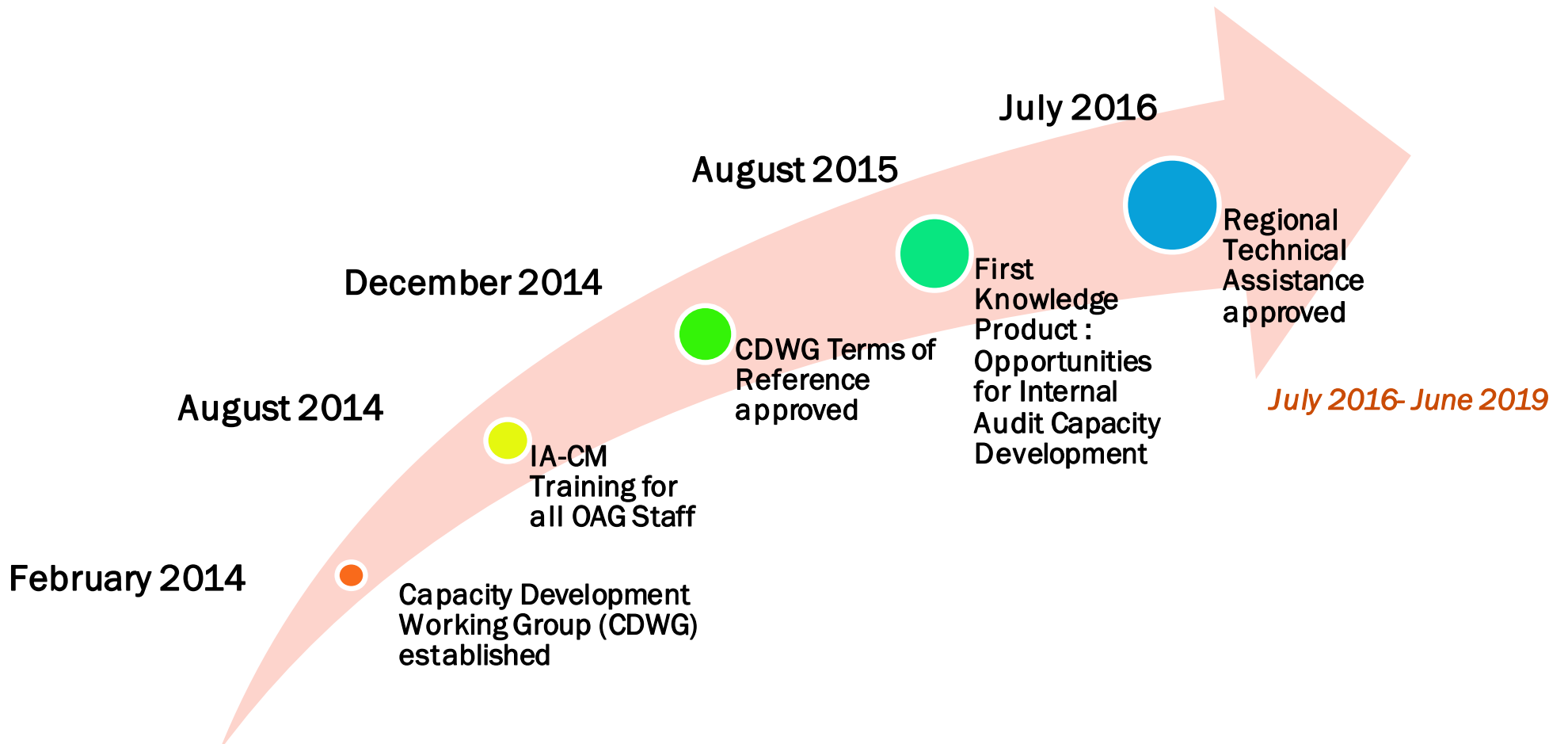
PANEL OF EXPERTS MEETING
7 March 2017

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OUR PURPOSE

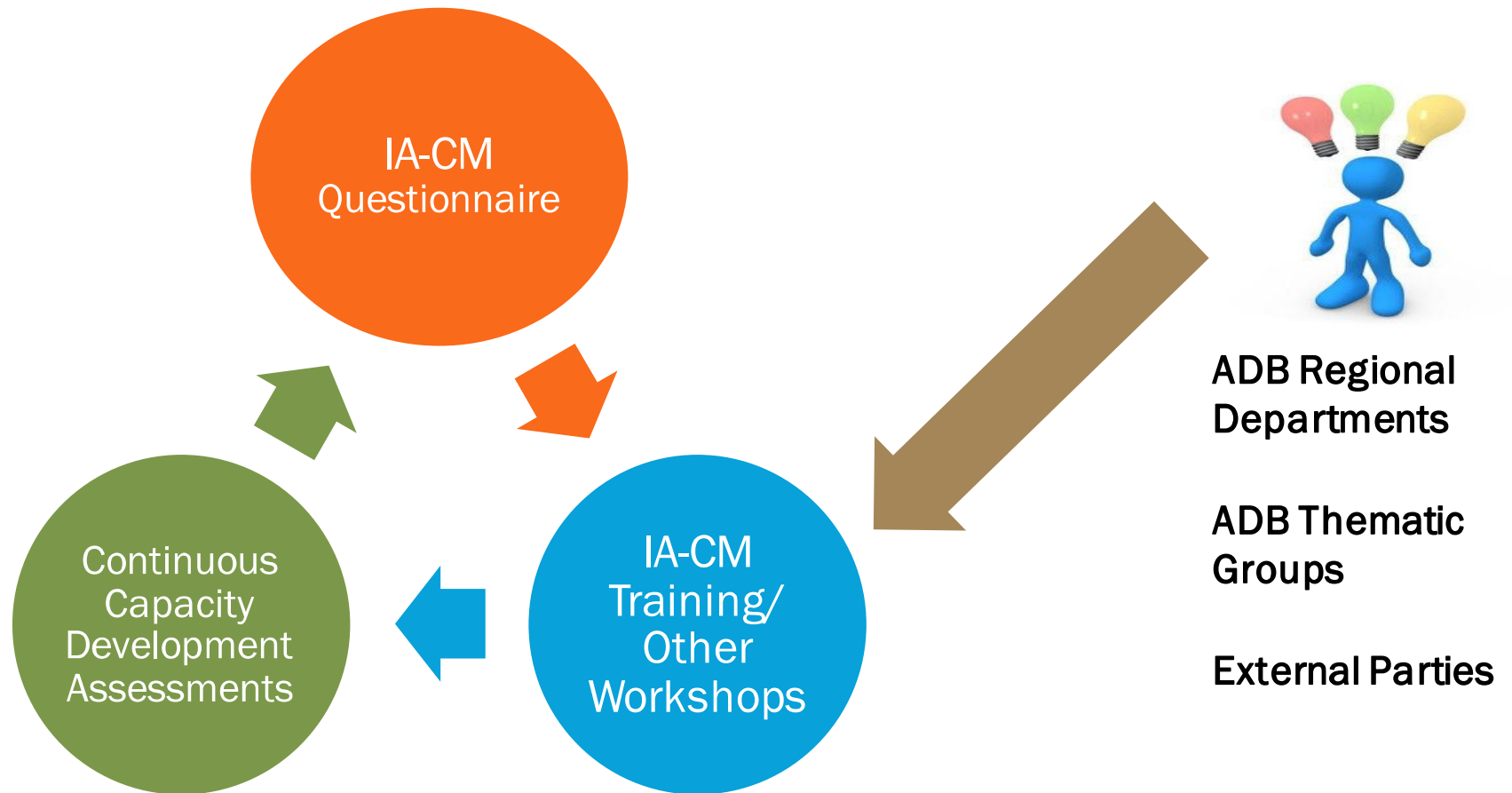
To share knowledge and tools that can assist the public sector internal audit institutions in building and strengthening governance in their countries.

CAPACITY DEVELOPMENT PROGRAM SUPPORT



Developing Capacity of Public Sector Internal Audit Institutions -
Regional Small Scale Capacity Development Technical Assistance
(TA 9136-REG)

LEARNING AND DEVELOPMENT PROCESS



The IA-CM is a capability maturity model developed by the Institute of Internal Auditors Research Foundation (2009) for the public sector.

CAPACITY DEVELOPMENT ACTIVITIES IN ADB DEVELOPING MEMBER COUNTRIES

Workshops

- Training on IA-CM Model for the public sector
- Internal audit topics based on the IA-CM questionnaire
- Other workshops identified through regional departments

Capacity Development Assessments

- Continuous assessment of participating internal audit offices
- Benchmark: 41 KPAs of IA-CM
- suitable to the needs of each participating internal audit office and staff

Knowledge Briefs

- Two-page information materials on selected internal audit topics
- Published in k-Learn



TARGET (by June 2019)

- 3 Capacity Development workshops in selected DMCs
- 3 Capacity Development Assessments

WHAT WE HAVE ACCOMPLISHED

- Association of Government Internal Auditors (AGIA) Workshops (**Philippines**)
 - Heads of Government Internal Audit Services Forum (*August 2014*)
 - Capacity Development Workshop for Department of National Defense (*December 2015*)
 - AGIA Leadership Workshop (*January 2017*)

- Workshop on Strengthening Internal Audit Function in the **Government of India** – in collaboration with ADB South Asia Regional Department (*September 2016*)

- Knowledge Products:
 - *Opportunities for Internal Audit Capacity Development (August 2015)*
 - *Training for Internal Auditors in the Public Sector: An Alternative Approach for State Internal Auditors (August 2016)*

- <http://k-learn.adb.org/materials/20150801/opportunities-internal-audit-capacity-development>
- <http://k-learn.adb.org/materials/20160801/training-internal-auditors-public-sector-alternative-approach-state-internal>

WHAT'S IN THE PIPELINE

CAPACITY DEVELOPMENT ASSESSMENTS

- **Myanmar** – Central Bank of Myanmar needs assessment of internal audit function
- **Cambodia** – ongoing coordination with Southeast Asia RD and Cambodia Resident Mission

WORKSHOPS

- **Sri Lanka** – possible collaboration with South Asia RD
- **Indonesia and Lao** – initial discussions with ADB resident missions



OTHERS:

- **Malaysia** – Bank Negara Malaysia (CB) will send two internal audit staff for an attachment for two weeks in May 2017 to study Internal audit best practices in ADB
- **Viet Nam** – indication of interest from Viet Nam International Bank Head of Audit (with State Bank of Viet Nam)

SHARING OF IDEAS

- Given that OAG CDWG does not have a direct line to the governments, how can we encourage our regional departments to enlist our advisory services on capacity development to the public sector internal audit offices?
- How can we prioritize our workshops, assessments and other knowledge-sharing activities considering resource limitation?
- Other than IA-CM, what internal audit topics do you think would be of interest and value to our public sector internal auditors?
- What other knowledge briefs would spark interest to the public sector internal auditors?





THANK
YOU

