# Health security and public goods

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Azusa Sato 20 Feb 2017



# Contents Objectives

**Definition of** | Discuss multiple dimensions, and how they **health security** have come about

History Paint a picture of how events since 14<sup>th</sup> century have shaped institutions related to health security

**Theory of** Understand some economic theory, public goods giving examples and criticism

# and regulations

Governance | Show how governance is central to health security, explain strategies and case study of IHR

Donor Partners

Describe the global functions of ODA and funding, other DP initiatives and how ADB's GMS HS project aligns with theory

# Definition of health security



HUMAN SECURITY

HEALTH AND SECURITY-

INDIVIDUAL
HEALTH SECURITY\_

GLOBAL PUBLIC HEALTH SECURITY—

11

# There is no universally agreed definition.

Widespread but inconsistent use of the term by global public health stakeholders with widely divergent perceptions, priorities and agendas has created confusion and mistrust.



	1994 UNDP	Human Development Report identified health as one of seven threats to <b>human security</b>			
	2001 Ministers	A meeting of ministers of Canada, France, Germany, Italy, Japan, UK, US and Mexico, <b>as</b>			
		direct response to 9/11, referring to 'health AND security'			
	2002	Health security had turned into singular, inter- changeable concept: policymakers saw harm whether intentional or not			
	2007 WHO	Public health security is defined as:			

the activities required, both proactive and reactive, to minimise vulnerability to acute public health events that endanger the collective health of populations living across geographical regions and international boundaries.

# Quiz!

How many SARS infections are there on average per year?

- 1) > 1 billion
- 2) 100 million -1 billion
- 3) 1 million 100 million
- 4) 50,000 1 million
- 5) < 50,000

# Quiz 2!

How many TB infections are there on average per year?

- 1) > 1 billion
- 2) 100 million -1 billion
- 3) 1 million 100 million
- 4) 50,000 1 million
- 5) < 50,000

# New(er) dimensions of health security

### N C D

'threats' to health security/human security (with warfare like language, such as 'timebombs' or 'impending crises', and often globalisation is seen as the root cause)

# 3 contemporary challenges to disease containment:

# 1: Emerging/new diseases

rate of one per year for the past 30 years eg Ebola

# 2: Re-emerging diseases

Especially due to AMR, eg TB

### 3: Bioterrorism

Deliberate release of virus, bacteria or germs to cause illness and death eg Sarin in Tokyo 1995, anthrax letters post 9/11

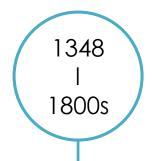
Common theme in all definitions:

'protection from threats to health' (individual and collective)

The lack of comprehension and commonality sets the stage for breakdown in global cooperation

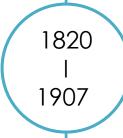
# History

# Historic overview of health security-linked events



**Black Death** killed 30-50% of population of W Europe, spread by traders

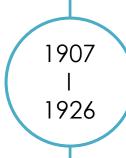
- catalyst for first major attempts to control movement of infected travellers and ships
- Practice of isolating potentially infected travellers for 40 days →
   'quarantine' (Latin and Italian words for 40)
- 1403 Venice has quarantine stations at major marine entry points
- 1527 requirement of bill of health
- 1665 bills of health internationally recognised
- 19<sup>th</sup> Century most states had developed quarantine systems



Health councils established in middle east (Alexandria,

Constantinople, Tangiers), triggered **10 international health conferences** in latter half of 19<sup>th</sup> century, first one attended by **12 states** 

- First major global international health agreement, International Sanitary Regulations (ISR), in 1903
- First major global health institution, Office International d'Hygiene
   Publique (OIHP) 1907, tasked with monitoring and revising ISR



# International Sanitary Regulations (ISR)

- to prevent spread of disease from developing to industrialized countries, and prevent national quarantine controls by non-industrialised states from impeding trade
- Regulations required states report disease outbreaks and treat infected travellers in accordance with specific standards
- Only referred to cholera, plague, then later yellow fever
- By 1926, 3 others added relapsing fever, typhus, smallpox
- predecessor to International Health Regulation (IHR)

# Meanwhile...

Late 19<sup>th</sup> early 20<sup>th</sup> centuries

# Significant improvement in health infra

sewage disposal, clean water

# Major improvements in science

- vaccines and medicines (microscopes)
- 'the start of modern medicine'



Up to this point, more soldiers were killed by diseases than actual warfare



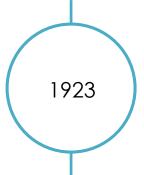
## Huge progress in medical research

malaria, pertussis, tetanus, TB

### **Boom in travel**

Planes, trains and automobiles





# League of Nations Health Organization (LNHO) created

- USA was not a member
- focused on gathering and sharing info on diseases in nonindustralized countries, and provided some TA

1948

### **WHO** created

- as successor to OIHP and LNHO
- led to revision of ISR in 1951 and again in 1969, then retitled IHR



## More medical progress in following years: 'drug for every bug'

1980s

# Dominated by HIV/AIDS

long way to go to eradicate infectious diseases



# Theory of public goods

# Why is health security a public good?

- Intuitively:
  - health security isn't something you pursue as an individual, but necessary for the good of the public
  - Shared gain from shared good
- Individuals receive the benefits of health security without contributing to the payment of the costs of producing those benefits
- Collective action is required

# Private vs Public goods

### 'good'

physical commodities, services, technologies, information

### 'private good'

consumption can be withheld until payment is made, and once consumed cannot be consumed again. Eg cake.

- excludable (if you can't pay, you can't consume)
- rival (once consumed, others can't have it)

#### 'public good'

**once provided**, no one can be excluded from consumption and one's consumption does not prevent anyone else from consuming them. Eg lighthouse, roads

- non-excludable (available to all)
- non-rival (consumption does not limit consumption by others)





**BUT, excludability and rivalry are relative** concepts...between the extremes of public and private goods lie a range of **private goods with externality** ('third party', not direct consumer or producer) effects and **public goods with private benefits**. Classifications are theoretical; usually more appropriate to discuss the **degree** to which goods may be excludable or rivalrous

# Non-excludability

Some public goods require 'club goods/access goods' (eg. Telecoms)

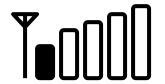
Shaped by...

Geography

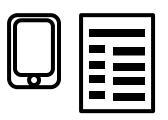


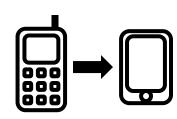
Administrative control

Changes in technology









Poor mobile signal

Cost of a phone

Mobile subscription

From old to new phone

Non-excludability means prices cannot be enforced/charged, leading to **free-riding** (benefits without payment)

MARKET FAILURE

# Non rivalry



**Degree of rivalry** is determined by capacity (eg roads) **Externalities→** market failure

Non rival nature of public goods means...

in a free market, producers have less incentive to supply, leading to **undersupply** and loss of welfare for society



Need for access goods restricts the benefits of public goods, and can lead to perverse targeting. Access goods could be supplied, or demand for them stimulated (eg provide vaccines or info about them)...

...though this also creates its own access problem (education and info themselves require access goods).

In some cases,

it is **cheaper/most beneficial** to just supply freely or subsidize, esp. in the case of vaccines for infectious disease control.

# Examples of (mostly) public goods



# Health per se

One person's health status is a private good (he/she is the primary beneficiary), even though there may be externalities (benefits to others) resulting from it

The goods and services necessary to provide and sustain health are also often rival and excludable



# **Prevention/containment**

communicable disease (non-rival, but requires access goods)

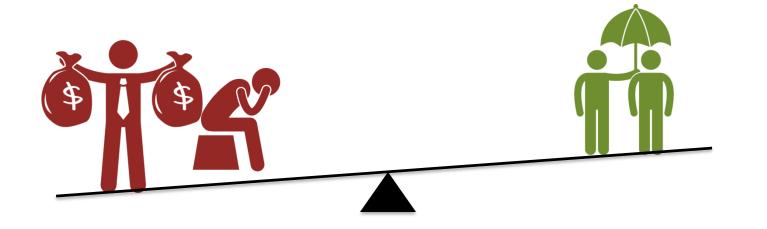
# **Disease eradication**

once eradicated globally, benefits are neither excludable nor rivalrous

# Global Public Goods

- climate change (emission and ozone depletion)
- peace and security
- financial stability

# Criticism of public goods



### Based on welfarism

- aims to maximize utility
- does not have much concern for equality or distribution (of power, wealth, health)
- concept of caring is missed, focusing on the individual self only

# Is **communitarianism** a better alternative?

- place people and community at centre of value systems
- emphasize value in the community per se, and value in being part of the community
- Allows for altruism while recognizing differences across communities.

# Governance Regulations

# Who provides public goods?

In a free market

#### lack of incentive

to produce public goods



undersupply

Exacerbated by
lack of coherent and strong
'global government'

(or 'governance') to regulate or enforce production



lack of communication and information about each participant's actions and lack of enforcement mechanisms impede cooperation

# Governance vs. government

Both refer to purposive behaviour, to goal- oriented activities, to systems of rule; but...



#### Governance

- backed by shared goals that may or may not derive from legal and formally prescribed responsibilities that do not necessarily rely on police powers for compliance
- governance can be carried out by any range of actors, not just government
- Informal agreements and networks



- backed by formal authority
- Contracts, assurances

# Health governance has got increasingly sophisticated

Pre 1990s

Main vehicle for disease reporting was **Weekly Epidemiological Record** (WER), by OIHP

1993

Program for Monitoring Emerging Diseases (PROMED): an electronically linked network of health professionals in the world (internet based disease reporting)

1997

Canadian gvt – Global Public Health Intelligence Network (GPHIN) with WHO – monitor **media sources** for info on outbreaks and threats

US DoD links **armies and navies** throughout the world: Global Emerging Infections Surveillance and Response System (GEIS)

R. H. 38.

Genève, le 17 décembre 1926.

SECTION D'HYGIÈNE DU SECRÉTARIAT DE LA SOCIÉTÉ DES NATIONS HEALTH SECTION OF THE SECRETARIAT OF THE LEAGUE OF NATIONS

ELEVE HEBDOMADAIRE N° 38 des rapports concernant la peste, le cholóra, la flèvre jaune, le typhus exanthématique et la variole reçus par la Section d'hyglène pendant la semaine se terminant le 16 dé-

WEEKLY RECORD No. 38 of Reports regarding the Prevalence of Plague, Cholera, Yellow Fever, Typhus and Smallpox received by the Health Section during the Week ended December 16th, 1926.

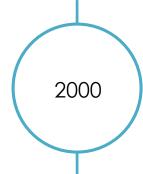
A. Rapport télégraphique № 90 reçu du BUREAU D'ORIENT DE SINGAPOUR pour la semaine finissant a minuit le sanedi 11 décembre 1926.

A. Telegraphic Report No. 90 from the EASTERN BUREAU AT SINGAPORE FOR WEER ENGLISHED AND MIDNIGHT, SATURDAY, DECEMBER 11th, 1926.

VILLES MARITIMES	Peste – Plague		CHOLÉRA		VARIOLE SMALLPOX		2016
	Cas Cases	Décés Deaths	Cas Cases	Décès Deaths	Cas Cases	Décês Deaths	MARITIME TOWNS
Indes Britanniques : Bombay	_	0	_	0	5	4	British India: Bombay.







# Global Outbreak Alert and Response Network (GOARN) set up by WHO

- collaboration of institutions and networks, constantly alert and ready to respond
- pools human and technical resources for rapid identification, confirmation and response to outbreaks

http://video.who.int/streaming/eprfilms/Global\_Alert\_Global\_Response.wmv



# Regulations to counter market failure

Agreements 'to help the international community prevent and respond to acute public health risks that have the potential to cross borders and threaten people worldwide'

# **IHR 1998**

Change from seeking to control the spread of diseases at **point** of entry to reducing the incidence of diseases within bounded territories (labs, treatment, use of tech)

Change from specifically named diseases, to **syndromic** (eg. acute haemorrhagic fever, acute respiratory, neurological, other notifiable diseases)

# **IHR 2005**

#### 3 main functions:

- Alert/notification function national focal points
- Coordination of international actors for control
- Restrain over-reaction at quarantine or trade barriers

Expand focus from "quarantinable" diseases to **any emergency** with international repercussions for health

Increasingly health centric (not trade) and proactive

### **Sovereignty problem:**

nations are guided by international regulations which may transcend nations'

# Disagreement on rules:

some countries want stricter rules, others want less strict

# **Technical capacity:**

in measuring data and handling information

### Noncompliance:

Not treated as legally binding, often saw IHR as 'enhancing the probability of compliance with the rules'

# No real enforcement mechanism:

weak incentive for countries to notify WHO of outbreaks for fear of embargos or isolation

Indonesia avian flu 2007 - virus samples had been sent without permission to a <u>national security lab</u> (not public health lab) in USA; Indonesia accused US of trying to develop a biological weapon. Also concerned about commercial exploitation (being charged high prices for any future vaccines or cures developed) 'viral sovereignty'

regulations

work?

# Donor Partners

# DPs' collective action for health security

#### 'Global functions' of health ODA

Provision of global public goods (R&D for diseases of poverty)

Management of externalities (eg pandemic preparedness)

**Fostering leadership and stewardship** (eg global priority setting, rule setting).

Distinct from country specific functions such as direct ODA for infectious disease control or HSS.

### Funding is shrinking

only 21%

of G7 health ODA is spent on **global functions** (\$3.6 of \$17.6 billion)

shrunk by half

WHO's core budget for outbreak response between 2012-2013 and 2014-2015



\$6 billion annually

to support R&D for neglected diseases

# Selected Health Security Initiatives



Pandemic Emergency Facility

Post outbreak fund
 Health Security TA



Global Health Security Agenda (CDC/USAID)

 Multilateral/-sectoral approach to strengthen global capacity and nations' capacity to prevent, detect, and respond



Coalition for Epidemic Preparedness Innovations

 \$500 million for development of vaccines against Lassa fever, Nipah virus and MERS and improving the latest DNA and RNA vaccine technology



2018 theme: 'Making the World Safe from the Threats of Emerging Infectious Diseases' (zoonosis and AMR)

# ADB - GMS health security

# Community-based approaches using health systems strengthening framework

### Component 1:

#### Regional cooperation and CDC in borders

- Information sharing
- Regional capacity for CDC
- CDC services in borders and for MMP

#### Component 2:

#### Disease S&R systems strengthened

- Community level reporting and preparedness
- Disease surveillance systems (clinical, lab, quarantine, web based)
- Equipment

#### Component 3:

#### Lab services and infection prevention and control

- Biosafety
- Staff training, Standard Operating Procedures
- Quality assurance and audit



Governance (RCU/RSC)





Communities







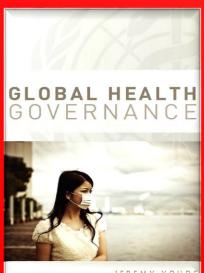
National health systems

# Key takeaways

- 1. 'Health security' comes from many different sources and evolves over time
- 2. Historic events shape how institutions support health security today
- 3. Economic theory on public goods helps to understand key issues in health security provision
- 4. Effective governance / regulation goes halfway in solving the collective action problem
- DPs play a critical role in fulfilling global functions of ODA

# Selected references





ublic Policy

Global health security: the wider lessons from the west African Ebola virus disease epidemic

Derek Yach, Sania Nichtar, Alex Kalache, Piero I, Olliaro, Peter Horby, Fls Torreele, Lawrence O Gostin, Managerth Ndomondo-Sigonda nter, Simon Rushton, Louis Lillywhite, Bhimsen Devkota, Khalid Koser, Rob Yates, Ranu S Dhillon, Ravi P Rannan-Eliy

#### Protecting human security: proposals for the G7 Ise-Shima Summit in Japan



In today's highly globalised world, protecting human security is a core challenge for political leaders who are towards (1873-1856) immulaneously dealing with terrorism, refugee and migration crises, discess epidemics, and climate change. Sententiation of the process of the control of the core of the control of the core of the c untries at their meeting in Japan in May, 2016, to protect human security around the world: restructuring of the obal health architecture so that it enables preparedness and responses to health emergencies; development of latforms to share best practices and harness shared learning about the resilience and sustainability of health systems; nd strengthening of coordination and financing for research and development and system innovations for global Busines ks. Toigo 113 0133 ealth security. Rather than creating new funding or organisations, global leaders should reorganise current financing subspace at the security. The security of the security o will improve their capacity to monitor, track, review, and assess health system performance and accountability, and thereby be better prepared for future global health shocks.

#### Health security as a public health concept: a critical analysis

William Aldis

#### Accepted

18 June 2008

There is growing acceptance of the concept of health security. However, there are various and incompatible definitions, incomplete elaboration of the concept of health security in public health operational terms, and insufficient reconciliation of the health security concept with community-based primary health care. More important, there are major differences in understanding and use of the concept in different settings. Policymakers in industrialized countries emphasize protection of their populations especially against external threats, for example terrorism and pandemics; while health workers and policymakers in developing countries and within the United Nations system understand the term in a broader public health context. Indeed, the concept is used inconsistently within the UN agencies themselves, for example the World Health Organization's restrictive use of the term 'global health security'. Divergent understandings of 'health security' by WHO's member states, coupled with fears of hidden national security agendas, are leading to a breakdown of mechanisms for global cooperation such as the International Health Regulations. Some developing countries are beginning to doubt that internationally shared health surveillance data is used in their best interests. Resolution of these incompatible understandings is a global priority.

Health security, human security, bio-terrorism, World Health Organization,

International Health Regulations, communicable disease control

# Thank you!