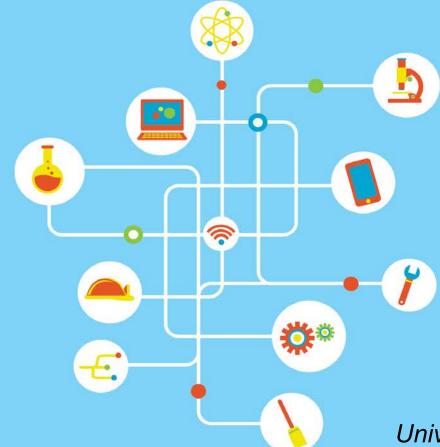
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### 2016 ADB International Skills Forum Innovative Practices in Skills Development

19-21 September 2016 • ADB Headquarters, Manila, Philippines

University Industry Linkages-Experiences@IITK B V Phani 20<sup>th</sup> September, 2016

# Dr. Phani BV

### Professor: Finance, Innovation and Entrepreneurship

University Industry Linkages Issues and Challenges:experiences@IITKanpur [Session 4]



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### Summary and conclusions

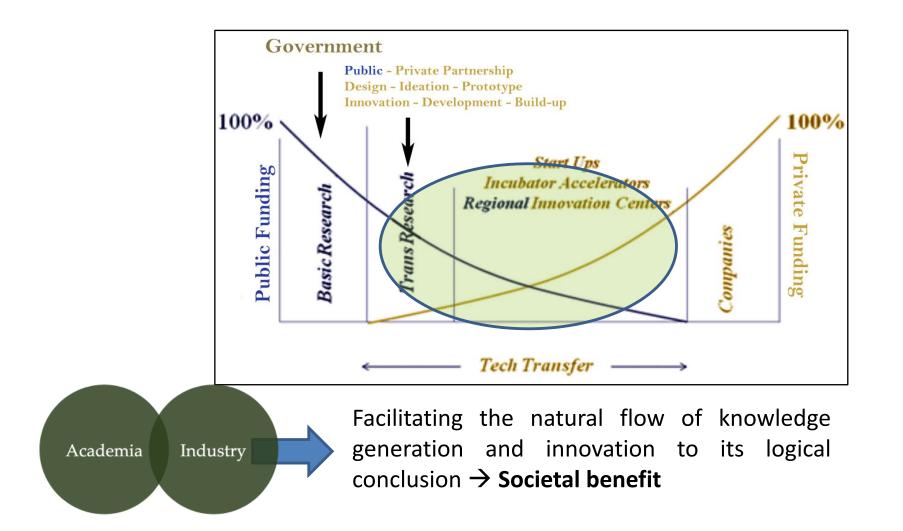
- Academia Industry State ((AIS) Linkages- Survival Critical
- Global Competition & Information Explosion
- Redundancy or Limited Efficacy of Existing Models
- From 'Publish or Perish' to 'Collaborate or Collapse'
- Equal Partners for Socio Economic Wealth Creation
- Industry from Mars & Academia from Venus
- Leveraging Strengths 1+1 = 3,4,5.....
- Champions, Evangelists, Owners A-I-S
- Criticality of State's Role
- Sustainable Socio Economic Innovation Ecosystem



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### **A-I-S Problem Statement**





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# **AIS Linkage Models**

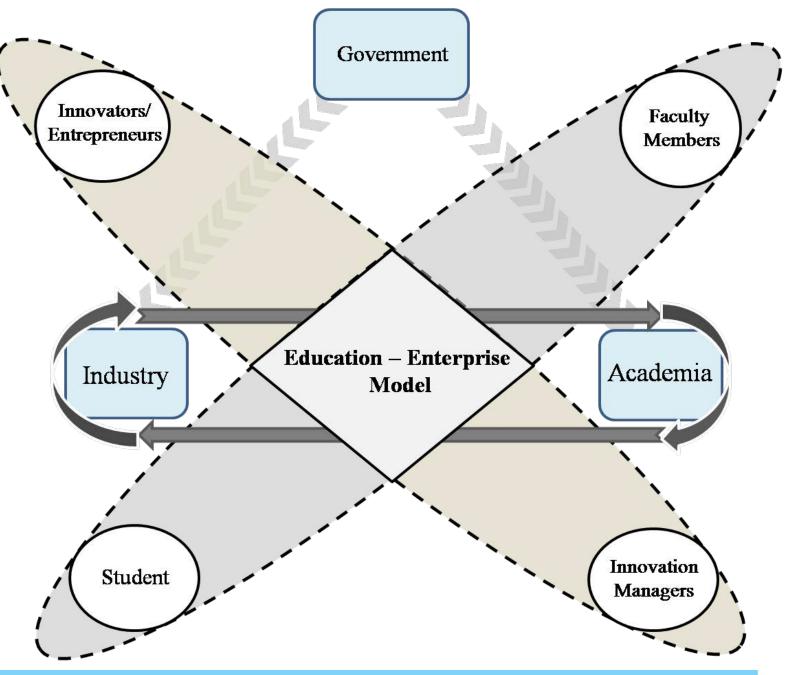
- Triple Helix
  - Knowledge Economy
    - AIS
- Quadruple Helix
  - Knowledge Society and Democracy
    - Billion Challenges-Million Minds
- Quintuple Helix
  - Socio Ecological Transition

- Carayannis et.al 2012



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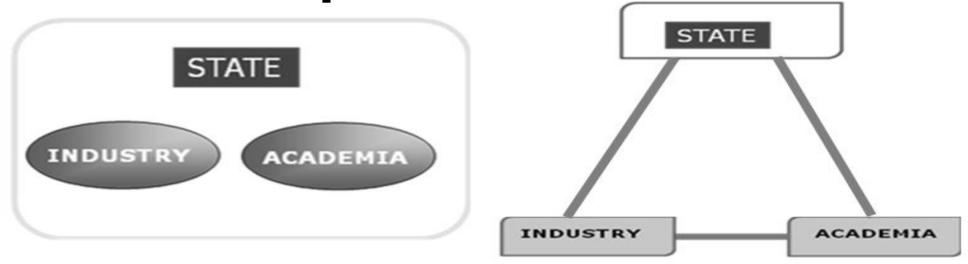


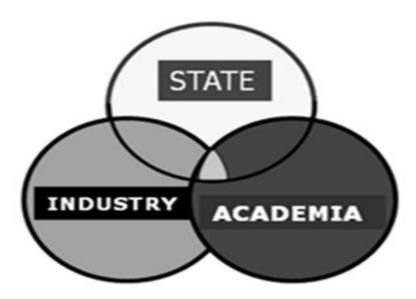


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## **AIS Operational Models**







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# 'A' from Mars vs 'I' from Venus

### Industry

### Incompatible priorities

- Industry Priorities
- Return Oriented
- Patents & Products
- Creation & Protection
- Hierarchical Model
- Performance culture & Pressure
- Tangible Benefit



### Incompatible priorities

- □ Academic Priorities
- Scientific Curiosity
- Publications
- Creation & Dissemination

Collaborative Model

- Peer culture & pressure
- Intangible Benefit



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# **A-I-S Effective Collaboration**

- We need to find ways to make collaboration more effective
  - Senior management 'buy-in'  $\rightarrow$  both parties
  - Identify a single point contact  $\rightarrow$  choose the right person!
  - Create a dedicated team → motivation and belief → sense of belonging towards the cause
  - 'Dating' agents  $\rightarrow$  Alumni working in industry  $\rightarrow$  agents for the change
  - Industry connect 'Sandboxes' → opportunities for doing experiments together
  - − 'Café clubs' → Student industry platforms → Interaction cells
  - Create innovation eco-systems
  - Going beyond incubation  $\rightarrow$  Research parks





### A-I-S Ecosystem Enablers

- Exposing students early to innovation and entrepreneurship paradigms
- getting patents from undergraduate programs projects?
- industry connect talks and seminars
- Educating the faculty on legal and IP issues
- Dedicated team  $\rightarrow$  driven, goal oriented?
- Leveraging alumni network
- Industrial internship/sabbaticals programs
- Non-academic tinkering platforms/ Hands-on laboratories
- Openly discuss intended benefits, requirements and risks
- Consider which mode of collaboration best fits the intended objectives
- Negotiate professional contracts on IP, confidentiality and publications
- Retain full transparency on terms and conditions
- Sensitize the community/group towards confidentiality and IP rules
- Monitor progress  $\rightarrow$  improve communication
- Acknowledge and celebrate success
- Build relationship  $\rightarrow$  mutual trust, respect, Avoid confrontation







# A-I-S Enablers-IIT Kanpur

- Industry Assisted Credit Based Courses
- Summer Undergraduate Research & Graduate Excellence Program (SURGE)
- Expert in Residence (Industry & Academia)
- Resident Scholars (Industry & Academia)
- Centers of Excellence Industry Research (PPP Models)
- Promotion of Work Experience and Research (POWER)
- Sponsored Academic Degrees from Industry Problems
- CSR & Tax Incentives





# A-I-S Leveraging Strengths

- (A) Consultancy mode
- (B) Contract or sponsored research
- (C) Public-Private-Partnership



#### (A) For Industry

- Fresh outside ideas come in
- Networking opportunities
- IP usually remains with industry

#### (A) For academia

- Scientific discussions: outing: appreciation
- Opens other opportunity
- Financial flexibility/ freedom

#### (B) For Industry

- Leverage external infrastructure
- Cost saving  $\rightarrow$  Manpower  $\rightarrow$  Speed of research
- Flexibility → multiple collaborations

#### (B) For academia

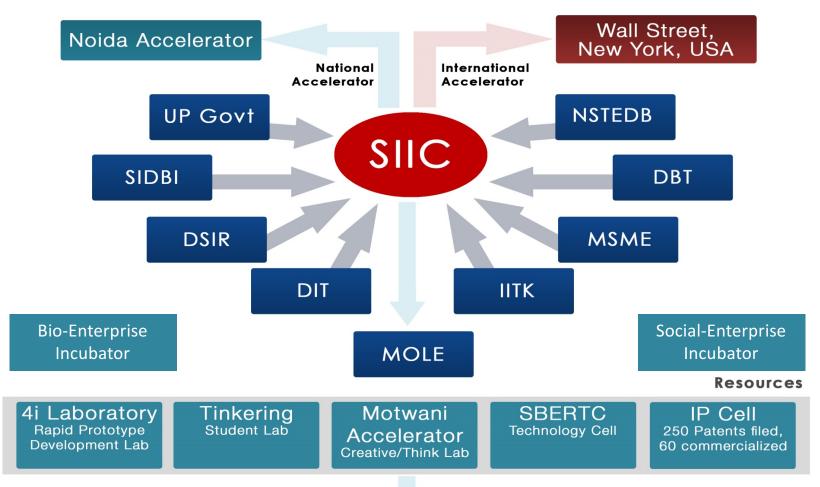
- Support peripheral research
- New ideas  $\rightarrow$  fund management
- Group vibrancy



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## **A-I-S Interaction Ecosystem-IIT Kanpur**



### **Innovation and Industry Collaboration**



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## A-I-S Think Space



#### The lab is accessible to

- All institute students
- Incubates &SME's

ISB

- Startups & Entrepreneurs
- Research Labs & Industry





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Car Chassis made at Tinkering Lab

# **A-I-S Facilitative Ecosystem**

#### Features

- Open to anyone
- Access to IITK Infrastructure
- Mentoring
- Office/Residential Space
- Research Space
- Funding Assistance: SEED & PP Funding
- IP Management, legal, accounting
- Acceleration support system

#### **Support Services**

- Dedicated Technology Transfer Office
- Evaluation & Transfer Services
- Resident CA, IP Consultant, Marketing
- MOUs with Consultants
- Industry Interaction Initiatives
- Data Compilation, Display and Showcase
- Industry Databases















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## **A-I-S Sustainability Model**



#### **Primary sources**

- Space Charges
- Facility Charges
- Recurring Charges
- Membership Charges

### **Other sources**

- Sponsored Research Funding
- Overheads Charges.
- Incentivized CSR & Research funding
- Technology Transfer and Commercilization
- Skill Building, Training and HRD fees.
- Equity Exit



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## A-I-S Governance and Policy framework

- GOVERNANCE
  - Policy framework is governed by an Apex Advisory Committee from academia, technology and business domains who provide long term vision.
- Operational Group:
  - Office of the Dean, Research and Development.
  - Two Associate Deans Industrial Collaboration and Innovation/Incubation
  - Dedicated office: Resident CA, Business Consultant, IP Management Team, Legal Team, Administrative support, estate management

- POLICY
  - Incubator policy and procedures
    - Incubation forms
    - Review process guidelines
    - Financial terms and conditions
    - Agreements and MoUs
  - Faculty entrepreneurship policy
    - Engagement and time sharing norms
    - Usage of institute facilities.
  - Institute level IP Policy
    - Processes
    - Various review committees
    - Indian and foreign patents
  - Student Entrepreneurship policy



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# A-I-S: An Overview-IIT Kanpur

### VISION

Creation of Intellectual Property and setting up an exemplary paradigm for technology incubation to catalyze entrepreneurship for positive socio-economic impact

### MISSION

To provide a platform to start ups and prospective entrepreneurs for converting their innovative ideas into commercially viable products Key words:

- Innovation and Incubation
- Industry Collaboration
- Entrepreneurial Ecosystem
- Intellectual property management



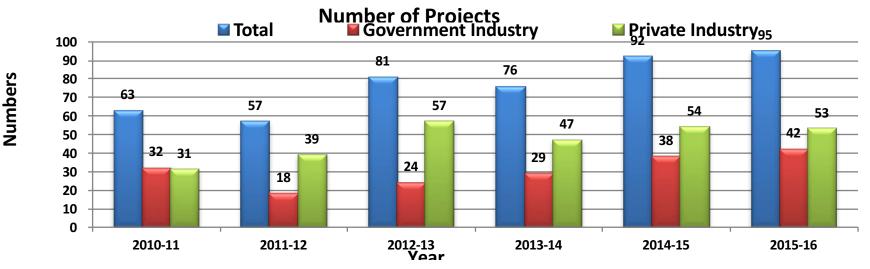
- One of the largest innovation eco-systems!
  - > 62 startups Incubated: Equity based model
    - Graduated 34
    - Current 32
    - Under Consideration 10
    - Expected 100
- Seed fund disbursement > 20 startups
- IIT Kanpur's Equity > \$ 5 Million
- Investment- \$ 15 Million
- Commercialization Income: of the order of \$ 0.4 Million



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## **A-I-S Collaboration Statistics**



**Project Amounts** Government Industry 🖬 Total Private Industry 40 33.54 35 29.16 30 26.73 26.33 25.59 22.75 25 21.61 18.91 18.93 17.82 17.52 20 15 11.68 7.77 7.4 7.55 10 7.23 6.81 5.23 5 0 2010-11 2011-12 2012-13 2013-14 2014-15 2015-16 Years

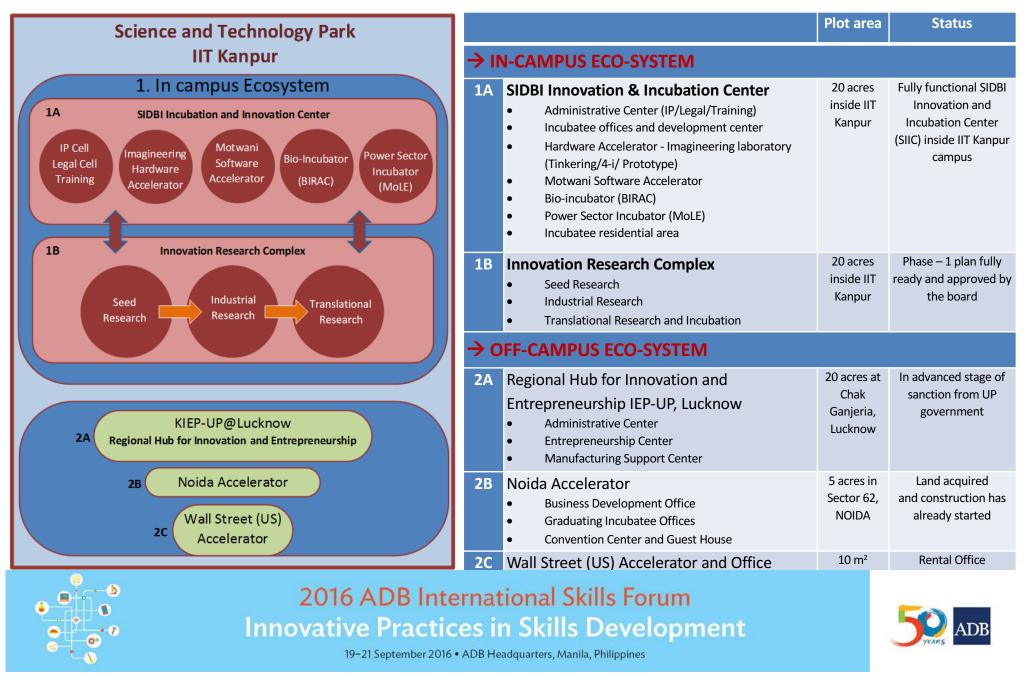


Amount in crores of Rs.

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50 ADB

## **A-I-S Future Trajectory**



# Industrial Research Park

Inside IITK campus, we have proposed two wings:

- Industrial Research Complex (12000 m<sup>2</sup>)
- Translational Research Complex (10000 m<sup>2</sup>)



- Land identified  $\rightarrow$  as per the master plan
- Board approved plan of industrial research complex



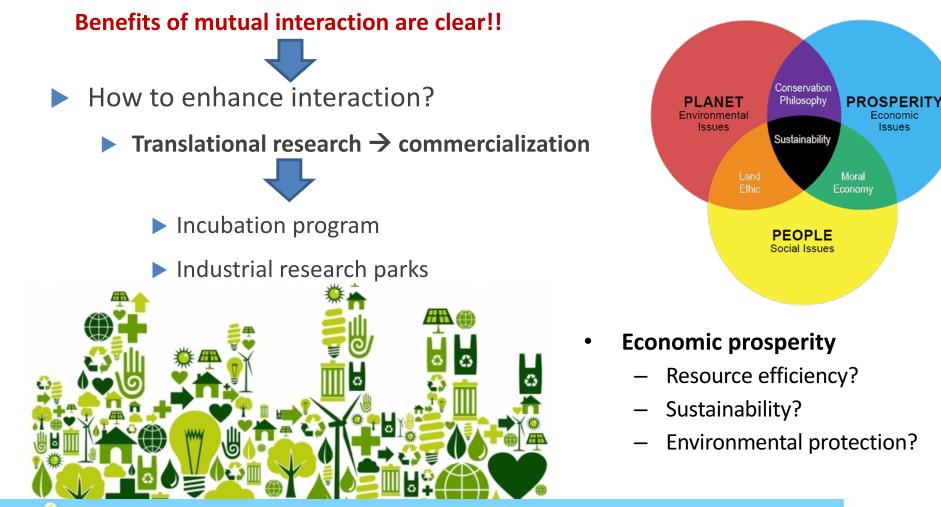
Facilities	Super built up area (m²)
Industrial research spaces	8000
Wet/dry laboratory	2000
Meetings/ conference/offices	2000
Accommodation	3000
Common equipment/facilities	3000
Clean rooms/Services/Store/Parking	4000
TOTAL	22000 m <sup>2</sup>



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### A-I-S The Future





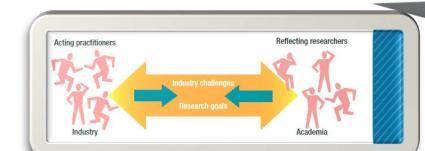
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## A-I-S Insights

### Nurturing innovation:

- Fighting the mind-set
- Upstream mentoring Downstream mentoring
- Scale up: Local → Remote
- Financial Self Sustenance beyond metros
- The Team







Policy

framework

IP

Risk

takers

Venture funds Industry

Market

Incubators

Technology

support

## Achievements Highlights: Portfolio

Æ				
E-SPINNANOTECH	Fast Forwarding Cancer	62	Trident Analytical Systems	शब्दनागरी, Local Angel Funding
endowing nano	Rota	tario Labs	Whorl Engineering	Strategic Stake Walchand Industries
APCEGEN TECHNOLOGIES		Weather Risk Management	Recipient of TePP funding, SIDBI Strategic Stake by ICICI Lombard Ford Foundation Grant	
			Curadev Pharma	Strategic Alliance with Roche
GT Silicon	SINGHA	L LABS	E-Spin Nano Technologies	Spirit of Manufacturing Award Rajeev Motwani Young Entrepreneurship
C.C.W.A.D. B.C.W.	YOUR SIMULATION PARTNER OBJ	JECTIFY	Aarsh Management	Positive CIO Review Strategic Alliance: CMC/Polaris/Infosys 2 <sup>nd</sup> stage funding SIDBI Risk Capital
			GeoKno India Limited	Recipient of ISBA award, Strategic Alliance with GMR India
	înnosîum.		Aurora Integrated Sys.	Funded by TATA Group
	a reaction in the second second	TAS	Cenogen Materials 24	Intel DST Award Received large private equity
R KANOPY TECHNO SOI	LUTIONS		Aarav UAV	Venture funding, Stage II
(ND) innovate   impi	iement   Explore			



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Thank You

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