

The Integrity Learning Series

Anticorruption and Integrity ADB Procurement Procedures India Resident Mission

Lili Gutierrez Senior Integrity Officer

4 March 2025



Reminders

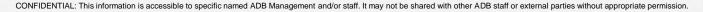


The session is being recorded.

Type in your questions through the chat box at any time.

Please remain on mute if not speaking.

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Question

What is the most relevant responsibility of government in promoting integrity?

- A. Develop and enforce clear laws and regulations against corruption.
- B. Foster transparency and accountability in public institutions.
- C. Provide adequate resources and training for ethical conduct in government.



Scan the QR Code or go to menti.com and enter code **3475 0091**



ADB



More than 5% of global GDP

Every year, **\$1 trillion** is paid in bribes, while an estimated **\$2.6 trillion** is lost through corruption.

United Nations Security Council 10 September 2018

Corruption is the single greatest obstacle to economic and social development around the world.

"

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Scenario

You find a wallet on the street. It has the owner's ID and \$1,000.

What would you do:

- 1. Keep the money: "Finders keepers!"
- 2. Turn in the wallet intact to the Lost and Found counter.
- 3. Contact the owner using the ID to return the wallet.



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ADB

ADB's Integrity Framework

Applies to executing and implementing agencies, contractors, consultants, suppliers, ADB staff, and anyone connected to an ADB-financed, -administered, or -supported activity.





HIGHEST

ETHICAL STANDARDS ADB

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What to Expect

- I. Integrity Violations
- II. Recognizing and Reducing Integrity Risk
- III. Enforcement Actions and Impacts



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I. Integrity Violations



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Quiz



Scan to participate

Or go to menti.com and enter code 3475 0091





















Invitation For Bids

Contract No. and Title: ICB No-XA/EA/1A/C2

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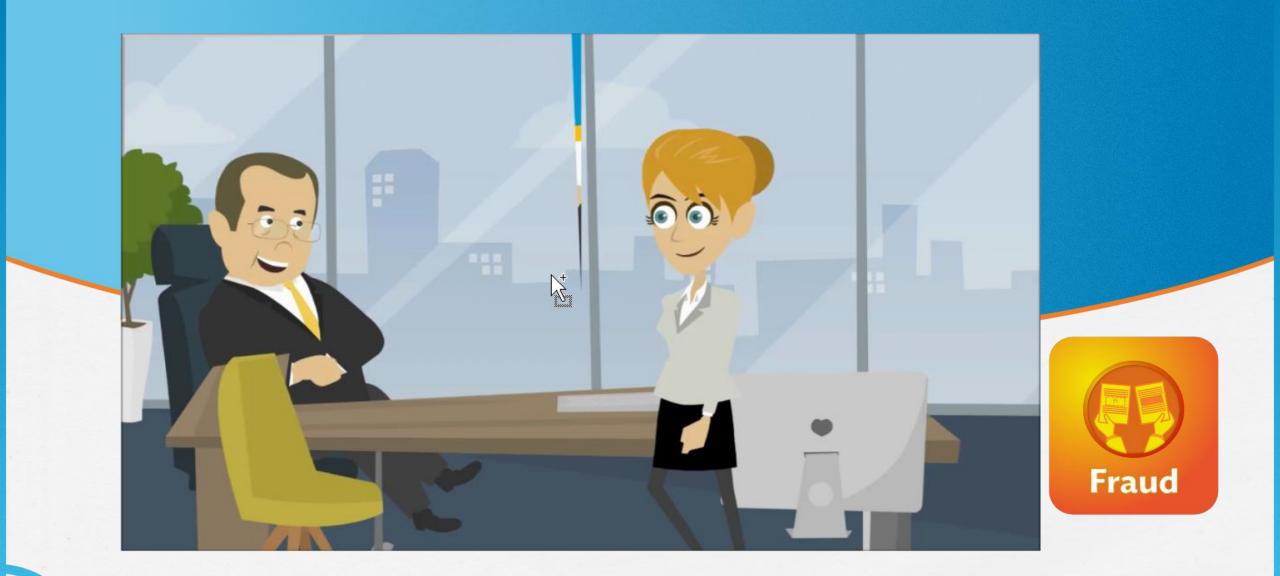
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Bidding

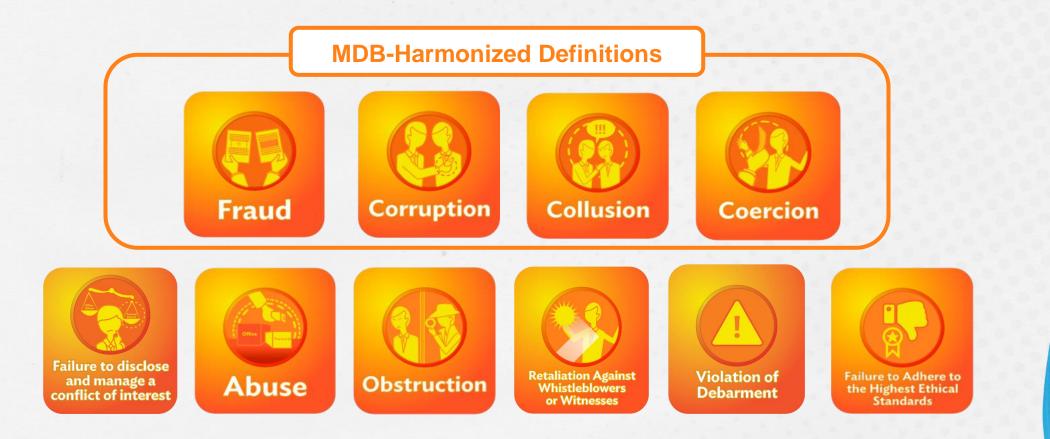


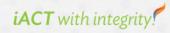
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Integrity Violations





II. Recognizing and Reducing Integrity Risk

• Red Flags

• Due Diligence



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What is Integrity Risk?

Integrity Risk

is the risk that a person or entity involved in ADB activities is implicated in financial or other ethical misconduct, resulting in potential adverse impacts on ADB.

Such misconduct includes any act which violates ADB's Anticorruption Policy, namely corrupt, fraudulent, coercive, or collusive practice, and other integrity violations as defined.

Such misconduct also encompasses other improper activity, including money laundering, terrorist financing, tax evasion, and predicate offences as defined by international standards in relation to financial crime risks.





Be alert to the red flags of integrity risks.

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Project Implementation Processes

Procurement

Bidding

Prequalification; bidding documents preparation; and bid advertisement, submission, and opening

Bid evaluation

Assessment of bidders' compliance with bidding requirements, and preparation and approval of evaluation report

Contract award

Post-bid evaluation activities until contract is awarded and signed

Contract & Asset Management

Contract administration

The management of the day-to-day practicalities and administrative requirements under the contract

Output monitoring

Engagement with/supervision of contractors, consultants, and suppliers in relation to project outputs

Asset control

Safeguarding and maintenance of project assets including asset inventory

Financial Management

Expenditure management Approval and processing of payments for project expenditures

Financial reporting Project accounting and auditing

These sub-processes reflect those assessed by OAI and do not necessarily reflect all sub-processes that exist within each process.

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Red Flags in Bidding

Ineligible bidders

In a rebidding, the bid evaluation committee decided that three suppliers were substantially responsive to the bidding requirements.

However, ADB had **debarred** two of these suppliers.

The remaining supplier (i) appeared to be **related** to the two debarred suppliers, (ii) purchased bidding documents on the day of the bid submission deadline, and (iii) submitted a bid to create an illusion of competition.

Leakage of Confidential Information

Negotiations between the project management unit and the supplier were initiated 2 months before quotations from other suppliers were sought.



Red Flags in Bid Evaluation

Financial Capacity



Outdated financial statements to support bidder's financial capacity.

Financial statements from different years have identical data.



Unaudited financial statements without first establishing that the law in the bidders' countries/ jurisdictions did not mandate financial statements to be audited. By default, the bidding documents required submission of audited financial statements, while unaudited financial statements are allowed only on an exceptional basis.



Value of a bidder's cash and cash equivalents declared in the bidding form, which was higher than the value in the submitted audited financial statements.



Unsupported credit lines. Different bidders having identical credit lines.



Red Flags in Bid Evaluation

Pending Litigation



Bidder did not disclose its pending litigation as indicated in the notes to the financial statements.

Personnel



24

Represented years of experience based on submitted resume' A Using personnel from debarred entities.



Fake CVs or credentials

John Mark Software Engineer

Contact Details

\$ +12945.67

C example@grail.com

8 #1 roed, city/state - 0011

Skills

- Object Oriented Programming
- Database management
 Web development
- · Problem-solving

Communication

Languages

English: Fluent

French: Intermediate

Profile

Experienced full-stack with developer proficient in Java, Python, and Javadorriot, with S+ years of Industry experience Skilled in React, Node JI, PostgretGG, and AMS, and Pallis certifications in AWS and Oracle. Known for strong problemsolving skills and for developing highly scalable systems. Seeking a lead subware engineer role in the finance, healthcare, or e-commerce industry.

Work Experience

Senior Software Developer Sim 2021 - Dec 2022

Company - Country

- Gevelop and test software for 10/2 product.
- Collaborate with cross-functional teams to ensure product quality and timely delivery

Jun 2025 - Dec 2020.

Web Developer

Company - Country

- Developed responsive and accessible web applications using HTML, CSS, and JavaSorigt frameworks such as Angular and React
- Collaborated with UN designers and back-and developers to ensure seamless integration of front-and and back-and functionalities

Education

Masters in Software Engineering

Jan 2029 - Dec 2020 Location

2002

Bachelors in Computer Science Jan 2015 – Dec 2018

Locotion

ChatGPT





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II. Recognizing and Reducing Integrity Risk

• Red Flags

• Due Diligence

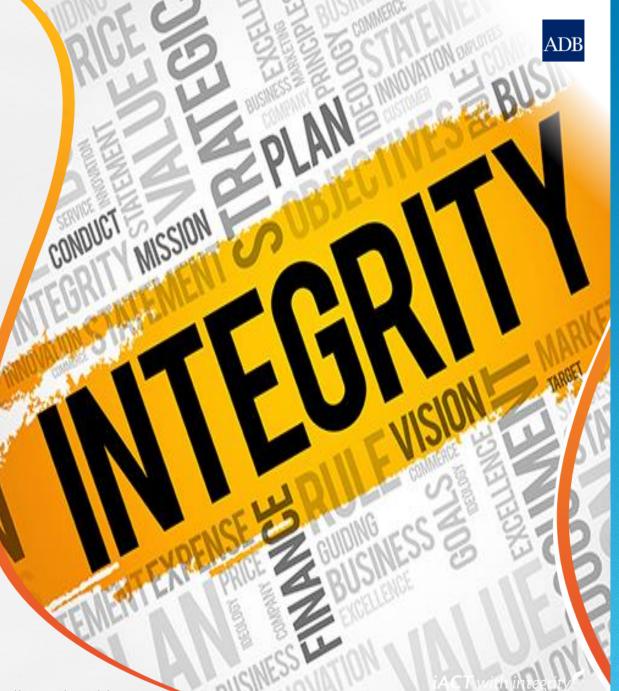


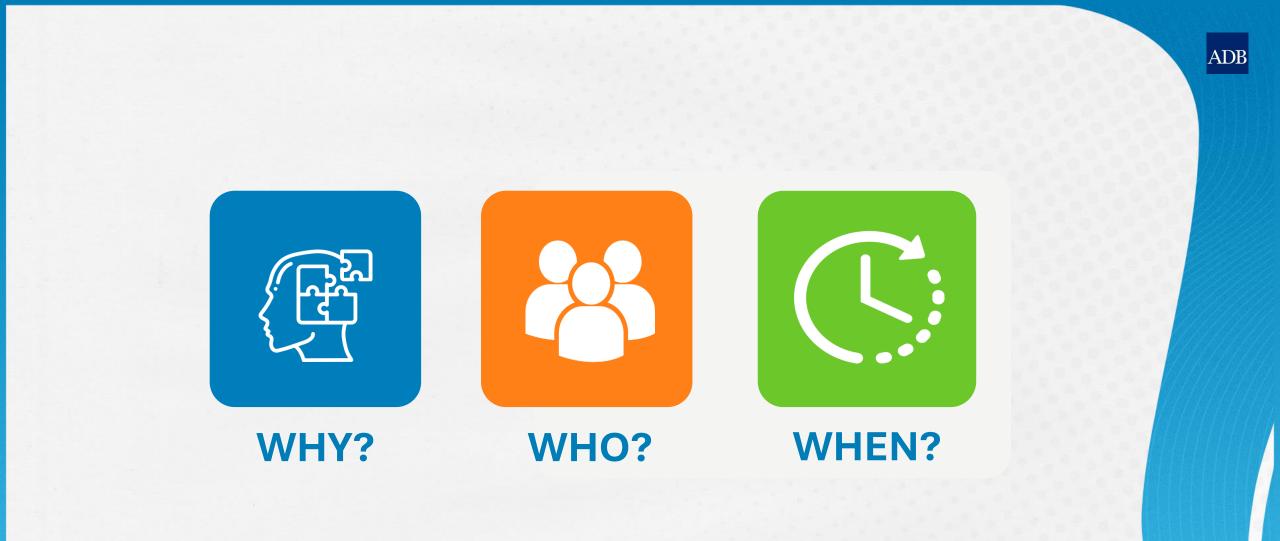
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Perform integrity due diligence

Process of checking the accuracy of information of a business or individual prior to, during, and after engaging in a contract and informs risk mitigation





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Due Diligence: Some Factors



Beneficial ownership



Financial background and payment of contract



Competency of third party



Public records resources: History of corruption and adverse news Reputation: Commercial references



Approach to ethics and compliance

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Potential Risk Flags



Bribery, corruption



Fraud, embezzlement



Money laundering, terrorist financing



Convicted	rapist gets	4-6 years	
By CHERTSY DESS International Construction of the State DEDE(SM) — A Francischem man consched March 1 of raping an 1 syster did git was sentenden Dedelf the sentence impact of Judge Judge P. Sulfans at State Sperise Carts, Systere di Kreis Order die system at State Order die system at State Order die system at State Order die System at State The System Construction of System Of Lengthon, who is energing a substruction who is energing an anterprese no Systematic construction.	Name between T-IH and Fig.m. Last Sciarday, zoner han 40 threads of U-Leighthe marched from Presenghant, North Tell Science Color to prototal bio controlling. The science of the controlling of coloradia to increase, bagging a new prior to color go or coloring of the search for the rise tion to mark and the science of the rise tion to mark and the science of the rise tion and and	was a factor in his decision. O'Longlin faced. A matching is a second of the second of the means of speed of a shift. Average analysises for rays toke between these waver, eight means, and if years, fulfaces shift. The second of the second of the defauer motions for a diversite ver- der - a verific trained by a factor of described of the train langer. The second of the second of the research description of the second of the sec	S. M.
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Criminal convictions

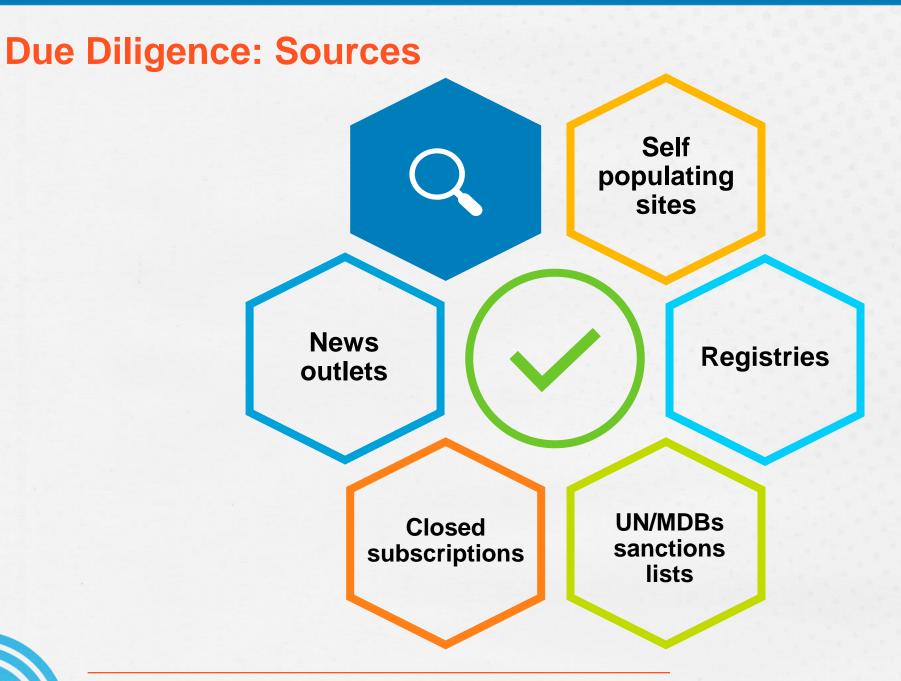


Illegal or disreputable activities



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Due Diligence: Some Steps



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Search Techniques

Use:

33

Specific Keywords

Jonathan vs Jonathan Mumbai engineer

Quotation Marks

⊂ [@]Calcutta road project"

Or

education **OR** training

"road construction" (AND "environmental impact"

Minus Operator (-)

"bridge construction projects" ("toll bridges")

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And

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More Search Techniques

ADB



Asterisk (*) as a wildcard



Site-specific searches



Advanced search options



Related searches



Time filters



Google Scholar



Reverse image search



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Debarment and Suspension Checks

Home

ASIAN DEVELOPMENT BANK ADB Debarment and Suspension Register ~ Resources ~ Other Sanctions Lists ~ Contact Us ADB Debarment and Suspension Register Other Information The following page lists the entities temporarily suspended and debarred by the Asian Development Bank (ADB), and those cross debarred by ADB in accordance with the Agreement for Mutual Enforcement of Debarment Decisions with Application other Multilateral Development Banks (MDBs). These entities are not eligible to participate in ADB-financed, administered, or -supported activities. Temporary Suspension Debar AUTHORIZED USERS ONLY NO LOGIN REQUIRED Publicly Disclosed Debarment or Complete ADB Debarment and Contra Suspension Register Suspension View Publicly Disclosed Debarment or Suspension View Non-Publicly Disclosed Debarment accessible to: What you will see in the register: What you will see in this subregister: ADB staff · Debarments that have institutional considerations Published Debarments BoD · Debarments associated with the violation of a Non-published Debarments previous debarment Temporary suspension

- Second and subsequent debarments
- · Debarred entities (firms and individuals) who are uncontactable
- Cross debarred entities
- Subset of the ADB Debarment and Suspension register

How do I get access to this list?

This is a secure application operated by ADB for its official business. Only those given access to the application can access the list.

- 1. For registered users, please proceed by Login.
- 2. If you're a qualified user requiring access to the complete sanctions list, please Sign Up.
- 3. If you have problems submitting the online application, please download the Application Form, complete it and email to integrity@adb.org.

COMPLETE LIST

- Password-protected.
- Parties with a "need to know". international organizations, bilateral and government partners
- EAs and IAs



ADB



PUBLISHED LIST

Accessible to the public

Sign up: ADB Debarment and Suspension Register



Home ADB Debarment and Suspension Register ~ Resources ~ Other Sanctions Lists ~ Contact Us

ADB Debarment and Suspension Register

The following page lists the entities temporarily suspended and debarred by the Asian Development Bank (ADB), and those cross debarred by ADB in accordance with the Agreement for Mutual Enforcement of Debarment Decisions with other Multilateral Development Banks (MDBs). These entities are not eligible to participate in ADB-financed, - administered, or -supported activities.

NO LOGIN REQUIRED

Publicly Disclosed Debarment or Suspension

What you will see in this subregister:

Second and subsequent debarments

previous debarment

Cross debarred entities

uncontactable

register

View Publicly Disclosed Debarment or Suspension

Debarments that have institutional considerations

· Debarred entities (firms and individuals) who are

Subset of the ADB Debarment and Suspension

· Debarments associated with the violation of a

AUTHORIZED USERS ONLY Complete ADB Debarment and Suspension Register

View Non-Publicly Disclosed Debarment

What you will see in the register:

- Published Debarments
 - Non-published Debarments
 - Temporary suspension

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- If you have problems submitting the online application, please download the Application Form, complete it and email to integrity@adb.org.

Other Information

Application Contract Variations

Sign-up here

http://sanctions.adb.org

Manual form available

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Sign up: ADB Debarment and Suspension Register

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Home ADB Debarment and Suspension Register v Resources v Other Sanctions Lists v Contact Us

Application Form

Apply for access to Asian Development Bank full sanctions I	ist:
---	------

Your Name*			
First name	Middle name		Last name
Email Address*			
Designation*		Organization / Agency	e.
i.e. Deputy Secretary / Proj Office	r	i.e. Organization, Mi	inistry, Employer, etc
Address*		Country	
		Select a country	
Telephone Number*			

In connection with this application, I confirm that: I am involved in an ADB-financed, administered or supported activity in my capacity as:

Designation for this project*	
i.e. Project Director etc	
Project(s)*	
ADB Loan number(s)*	
Project Is being executed/Implemented by: Organization / Agency*	
ADB Project Officer's contact information:	
Project Officer*	Project Officer's ADB Email *
Information on why access is required	

If granted access, I shall use the information in ADB Debarment and Suspension Register exclusively in connection with the project identified above. I shall protect the confidentiality of the information and use it only for the purpose for which the access has been granted.

The information will be granted without prejudice to the privileges and immunities conferred on ADB and its officers and employees by the Agreement Establishing the Asian Development Bank and other applicable sources of law. ADB reserves the right to invoke its privileges and immunities at any time with respect to the information provided and in any administrative or other proceedings.

Accept Term

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Make sure you fill in the name and email address of an ADB project officer who can verify your involvement in the project

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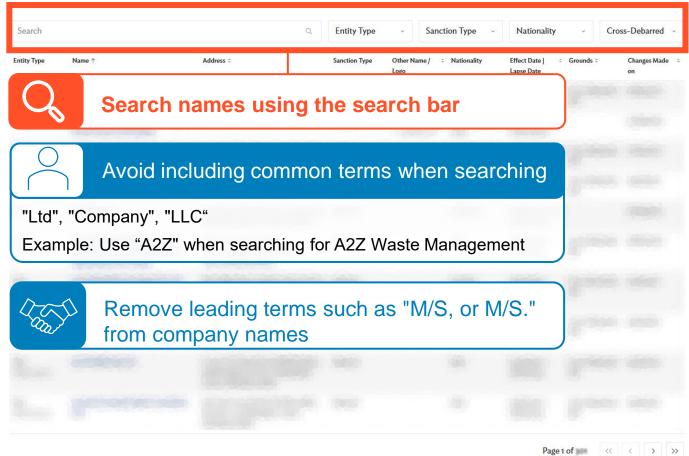
Search: ADB Debarment and Suspension Register



ASIAN DEVELOPMENT BANK

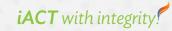
ADB Debarment and Suspension Register
V Resources
V Other Sanctions Lists
V Contact Us Home

Complete ADB Debarment and Suspension Register



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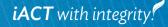


United Nations Security Council Sanctions: Obligations



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United Nations Security Council Sanctions

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 Composition of the List Identifiers and acronyms in the List Individuals Entities Milling list Subscription Search UN Security Council Consolidated Lists United Nations Security Council Consolidated Lists List in alphabetical order pre Nmi Html List by Permanent Reference Number Pdf Xmi Html The Consolidated List in cludes all individuals and entities subject to measures imposed inclusion of all names on one Consolidated to impose measures in response to a threat, a manages the sanctions regime. Each sanctions committee established by the United N publishes the names of individuals and entities listed in relation to that committee as a specific measures that apply to each listed name. The current version of the Consolidated List is provided in .xmi, .html and .pdf formata implement the measures specific to each listed name as specified on the websites of the Consolidated List and entities specific on the websites of the Security Council has decident set as a specified on the websites of the Consolidated List includes and entities listed in relation to that committee as to specific measures that apply to each listed name. 	ne measures, and neither initials es are the same. For each instant Security Council Committee lations Security Council therefore well as information concerning the the related sanctions committee.

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III. Enforcement Actions and Impacts



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Consequences of Integrity Violations in ADB-supported Projects



grant resources to ADB

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Enforcement Actions



Conditional **Non-Debarment**

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Impact While Under Debarment



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Integrity is everybody's business.

John Versantvoort Head, Office of Anticorruption and Integrity

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Other Resources



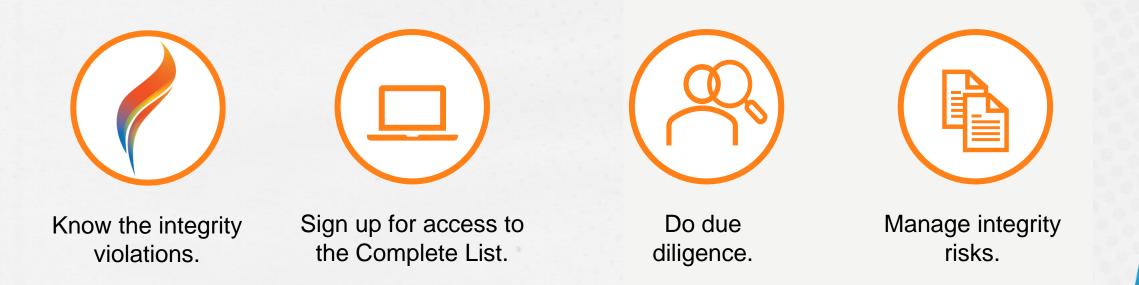
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Remember



If Everyone assumes that Someone is doing it, No one will end up doing it.

Report integrity violations to OAI

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Evaluation

Your feedback would help the Office of Anticorruption and Integrity in providing knowledge products and services relevant to you.

Answers will be kept confidential and data will only be assessed in the aggregate.



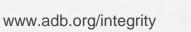






Thank you!







@anticorruptionandintegrity



anticorruption@adb.org integrity@adb.org



@adb_oai



+63-2-8632 5004



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Report integrity violations : https://www.adb.org/integrity/report-violations

If you registered your email address, you will receive a copy of this presentation and related resources from OAI.

