



ASIAN DEVELOPMENT BANK BUSINESS OPPORTUNITIES FAIR 2025
16-17 SEPTEMBER 2025 | 9 A.M.-5 P.M. | ADB HEADQUARTERS, MANILA



Office of Anticorruption and Integrity (OAI)

ADB's Integrity Framework applies to:

- Contractors
- Suppliers
- Consultants
- Executing and Implementing Agencies
- ADB Staff
- Anyone connected to an ADB-financed, administered, or supported activity



Integrity Violations

MDB-Harmonized Definitions



Fraud



Corruption



Collusion



Coercion



Failure to disclose
and manage a
conflict of interest



Abuse



Obstruction



Retaliation Against
Whistleblowers
or Witnesses



**Violation of
Debarment**



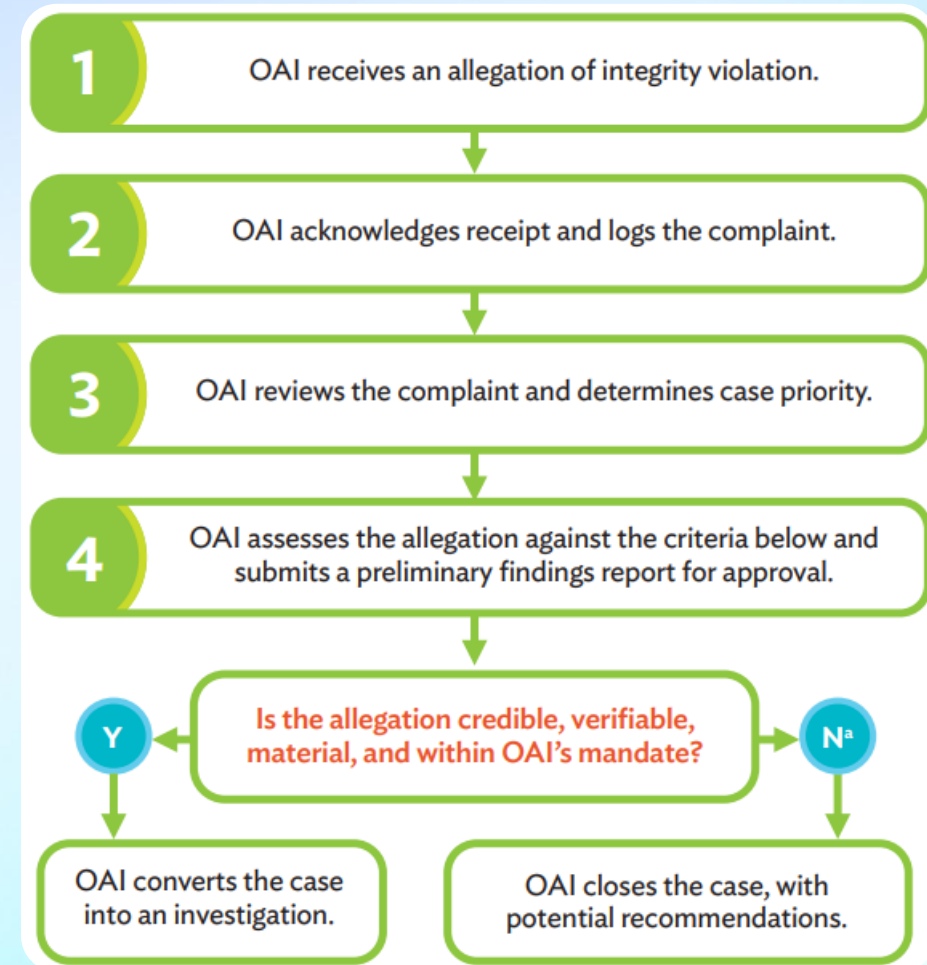
Failure to Adhere to
the Highest Ethical
Standards



Complaint Intake Process

Screening Criteria

- **Mandate:** the alleged conduct is reasonably connected to an ADB-related activity.
- **Credible:** there is a reasonable possibility that an integrity violation occurred.
- **Verifiable:** practicable options exist to obtain sufficient evidence to determine the truth of the allegations on the balance of probabilities.
- **Material:** the matter is of sufficient importance to justify the projected requirements of the investigation and enforcement action.



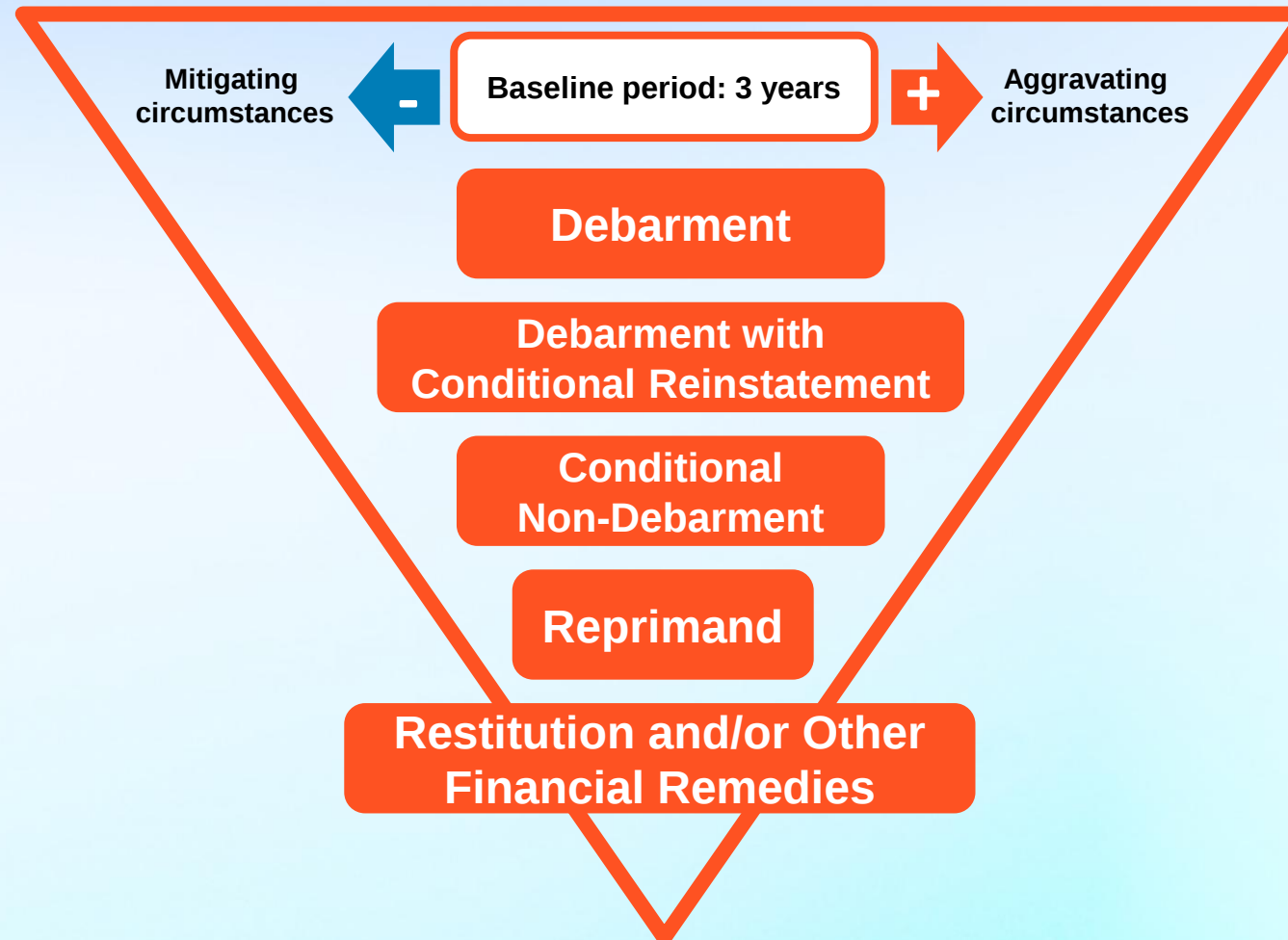


Investigation Process - External Investigations Team (EIT)

- Investigates cases related to integrity violations committed by external parties on ADB-related activities.
- Engages retainers to conduct inquiries.
- Issues Declinations, Show Cause Letters, and Investigation Reports under the Investigation and Enforcement Framework (IEF).
- Proposes/Recommends remedial action to Subjects of Interest (SOIs) / Integrity Enforcement Committee (IEC).
- Processes Reinstatement applications.
- Business Integrity Programs (BIP).



Enforcement Actions





Impact While Under Sanction

Current
Contracts



**MAY
CONTINUE**

Contract
Variations



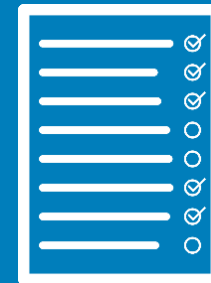
**OAI
APPROVAL**

Reinstatement



**NOT
AUTOMATIC**

Future
Tenders



**NOT
PERMITTED**



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Cross Debarment

Working Together
with International
Financial Institutions



A party to the Uniform
Framework (2006);
not a party to the Cross
Debarment Agreement.





Integrity Risk Prevention: What is Integrity Due Diligence?

Gathering of independent information to gain an understanding of integrity and reputational risks associated with a third party.



Identify



Assess



Mitigate



Factors in Conducting IDD



Beneficial ownership



Financial background
and payment of contract



Connected parties



Politically Exposed
Persons



Public records resources:
History of corruption
and adverse news



Reputation/
Commercial references



Approach to ethics
and compliance



Jurisdictions
and Intermediate
jurisdictions



Potential Risk Flags - Counterparties



Bribery, corruption



Fraud, embezzlement



Money laundering, terrorist financing



Criminal convictions



Illegal or disreputable activities



Debarment or sanctions



Potential Risk Flags in Project Implementation Processes

Procurement

-  **Bidding**
Prequalification; bidding documents preparation; and bid advertisement, submission, and opening
-  **Bid evaluation**
Assessment of bidders' compliance with bidding requirements, and preparation and approval of evaluation report
-  **Contract award**
Post-bid evaluation activities until contract is awarded and signed

Contract & Asset Management

-  **Contract administration**
The management of the day-to-day practicalities and administrative requirements under the contract
-  **Output monitoring**
Engagement with/supervision of contractors, consultants, and suppliers in relation to project outputs
-  **Asset control**
Safeguarding and maintenance of project assets including asset inventory

Financial Management

-  **Expenditure management**
Approval and processing of payments for project expenditures
-  **Financial reporting**
Project accounting and auditing

These sub-processes reflect those assessed by OAI and do not necessarily reflect all sub-processes that exist within each process.



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Thank you!



www.adb.org/integrity



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ADB Anticorruption and Integrity



Report integrity violations :

<https://www.adb.org/integrity/report-violations>



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