

Panel Discussion 1: Anticorruption and Integrity Policies in the Asia Pacific Region



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Moderator

Yong Zheng

Vice President, Xiamen National Accounting Institute



John Versantvoort

Head, Office of Anticorruption
and Integrity (OAI)
ADB



Marvin Taylor-Dormond

Managing Director, Complaints-resolution,
Evaluation and Integrity Unit,
Asian Infrastructure Investment Bank (AIIB)



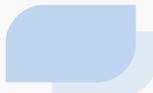
Peng Wang

Director-General
The Bureau of Supervision and
Evaluation,
Ministry of Finance (MOF)



Yingqin Lin

Deputy Director
Xiamen Leadership Group for Building the
Maritime Silk Road Central Legal District



Overview

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ADB and Integrity Risk



What is Integrity Risk?

Integrity risk is the risk that a person or entity engages in ethical or financial misconduct in connection with any activity that is financed, administered, or supported by ADB.

Such misconduct includes any act which violates ADB's Anticorruption Policy, namely corrupt, fraudulent, coercive, or collusive practice, and integrity violations as defined.

Such misconduct also encompasses other improper activity, including failure to adhere to the highest ethical standards, money laundering, terrorist financing, tax evasion, and predicate offences as defined by international standards in relation to financial crime risks.

ADB and Integrity Risk

ADB is exposed to integrity risk through three broad activities:

- **ADB Public Sector Operations:** Integrity risk presented by ADB's borrowing governments (e.g., conflicts of interest, internal control environment, tendering process), and by entities engaged on these government projects (e.g., consultants and contractors).
- **ADB Private Sector Operations:** Integrity risk presented by ADB counterparties and other entities engaged in ADB's private sector operations.
- **ADB Institutional Activities:** Integrity Risk from a person or entity in connection with ADB institutional activities (employee conduct; actions of ADB consultants and vendors; actions of counterparties in treasury operations).

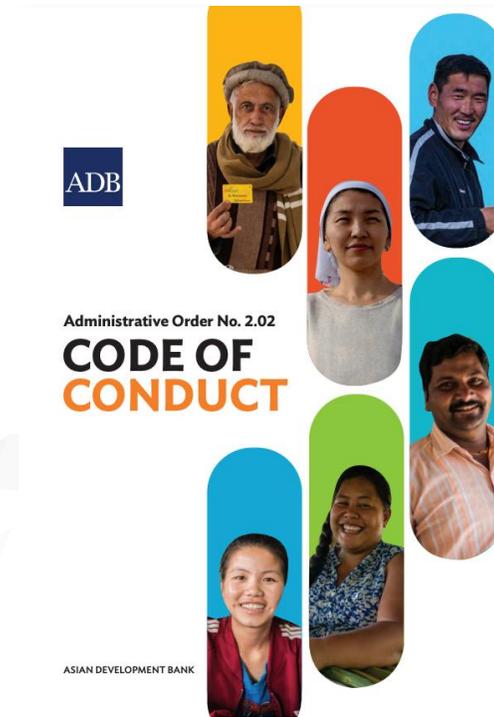
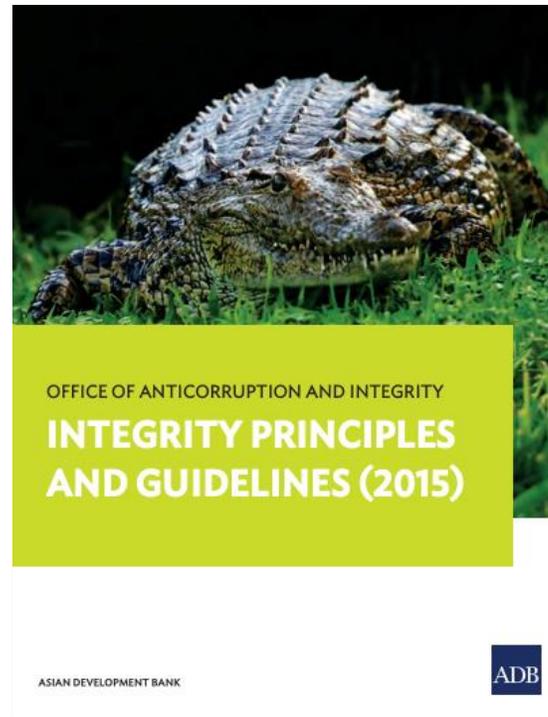
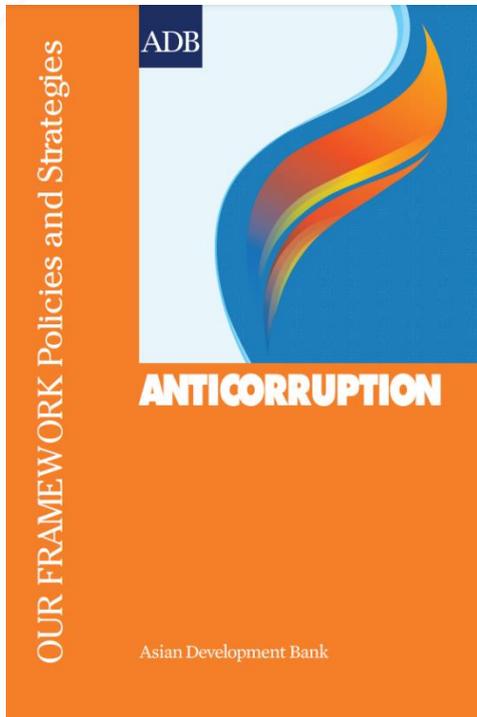
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Essential Policy Features



Clarity

Set out concretely and comprehensively the expectations of employees, counterparties, consultants and contractors regarding compliant and ethical conduct.



Tone from the Top

Require management to set a good example for its employees.

- ADB's Code of Conduct requires managers to “serve as models of integrity”, “promote a working environment in which Misconduct is not tolerated” and “promptly report any suspected Misconduct” to the relevant, independent ethics functions.
- The extent of management involvement or level of oversight impacts the severity of a sanction under ADB's anticorruption policy.

Visibility



Make the requirements and actions taken to ensure compliant and ethical conduct, public and visible.

Frequently Asked Questions on ADB Sanctions

ADB

Integrity Risks and Red Flags Series
For ADB project teams and executing and implementing agencies

Scan the QR code or visit bit.ly/ADBintegritylessons

ADB eLEARN

Detecting and Preventing Integrity Violations in ADB Projects

Take the course now!

Visit bit.ly/ADB-DPIV

ADB

OFFICE OF ANTICORRUPTION AND INTEGRITY
2022 | ANNUAL REPORT

Visibility

Webinars

Fighting the gendered impact of corruption

InsightThursday
Webinar hosted by OAI and ADB Gender Thematic Group

Gaelle Demolis
UN Women Regional Office for Asia and the Pacific

Safeguarding Integrity in the COVID-19 Response and Recovery

ADB and OECD
Anti-Corruption Resource for Asia Pacific

Fighting Corruption at a Time of Crisis

Innovation Speakers' Series
in collaboration with OAI

Ms. Gretta Fenner
Managing Director
Basel Institute on Governance

Mr. Kelly Bird
Country Director, Philippines
Asian Development Bank

e-Learning Courses

ANTICORRUPTION AND INTEGRITY AT ADB

iACT to fight corruption.

Why does ADB promote good governance and anticorruption?

Poor governance and corruption deter investment, waste resources and distort their allocation, undermine the credibility of public authorities, and increase insecurity.

ARE YOU AN INTEGRITY CHAMPION?

Find out now!
Visit bit.ly/ADBAYIC

iACT to fight corruption!

Video Series

iACT iTalk

A VIDEO SERIES ON
ANTICORRUPTION AND INTEGRITY

ADB Integrity

VIDEOS PLAYLISTS CHANNELS ABOUT

Recently updated Playlist

- iACT iTalk SHORTS: Gaelle Demolis
- iACT iTalk SHORTS: Lorraine Kummer
- iACT iTalk SHORTS: Gaelle Demolis
- iACT iTalk SHORTS: Lorraine Kummer
- iACT iTalk SHORTS: Gaelle Demolis
- iACT iTalk SHORTS: Kelly Bird
- iACT iTalk SHORTS: Gaelle Demolis
- iACT iTalk SHORTS: Kelly Bird

#IntegrityByTheNumbers

Remedial Actions

- 17 Debarments
- 10 Reprimands
- 7 Cautions

The remaining 10 investigations did not warrant

ADB Blogs

Asian Development Blog
Straight Talk from Development Experts

Home / Topic / **Anticorruption and integrity in ADB operations**

Results 1 - 6 of 6

- Asia-Pacific countries must improve revenue collection to rebound from COVID-19**
Collecting taxes more efficiently allows countries to improve their balance sheets after the pandemic while promoting business investment and sustainable economic growth.
Bruno Carrasco, John Versantvoort, Daruska Mura
- Монголын төсвийн системийн өөрчлөлтүүдийг дэмжих нь төсвийн системийн өөрчлөлтүүдийг дэмжихэд чухал үүрэг гүйцэтгэнэ**
Kanojkan Lao-Arya, Carlo Antonio Garcia
- Can a more secure financial system help raise Bhutan's Gross National Happiness?**
Bhutan is working to decrease opportunities for money laundering while increasing the security of the financial system.
Kanojkan Lao-Arya, Carlo Antonio Garcia
- Монгол Улс мөнгө угаахтай холбоотой саарал жагсаалтаас түүхэн богино хугацаанд хэрхэн амжилттай гарч чадсан бэ?**
Монгол Улс мөнгө угаах, терроризмыг санхүүжүүлэхтэй тэмцэх бодлогыг идэвхтэй санхүүжилтэй хэрэгжүүлж төлөвлөө боловсруулагдаж хөгжлийн түншлэл болон хандлагын улс орнуудтайгаа нэгт хамтран ажилласан.
Decian Magea, Carlo Antonio Garcia
- How did Mongolia get off the money laundering watch list in record time?**
Mongolia worked with development partners and donor nations to craft a plan that pro-actively pursued policies on anti-money laundering and combating the financing of terrorism.
Decian Magea, Carlo Antonio Garcia
- Five ways to stop corruption in its tracks**
During the pandemic, corruption in projects could mean the difference between life and death for the poor and vulnerable.
H. Lorraine Wang (王惠琳), RICHIELE TAN MASCARANIS

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Enabling Environment



HUMAN RESOURCES
COMMITTEE OF THE BOARD

PRESIDENT

AUDIT AND RISK COMMITTEE
OF THE BOARD

Office of Professional
Ethics and Conduct

Formal advisory function for
employees on workplace
conduct concerns and
questions

Ombudsperson

Informal, impartial and
confidential
grievance mechanism
for employees

Office of Anticorruption
and Integrity

Independent prevention,
compliance and
investigation function and
disciplinary process

- Confidential reporting
- Fair and transparent process for investigating and sanctioning violations
- Pursue dual objectives of deterrence and remediation