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ADB Safeguards Policy Update:

In-Country Stakeholder Consultation with Civil Society Organizations

Papua New Guinea, 7 September 2022



SAFEGUARD
POLICY REVIEW
AND UPDATE



Opening Prayer



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Sustainable Development and Climate Change Department (SDCC)
Safeguards Division (SDSS)



Technical Announcements and Acknowledgement of Participants

Jack Stanley, Senior Safeguards Officer, PNG Resident Mission (PNRM)



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The Safeguard Policy Review and Update Phase 2 consultations provide opportunities for stakeholders to express their views and opinions on ADB's environmental and social safeguards in the most meaningful and safest manner possible.

All stakeholders are encouraged to articulate their inputs and concerns during these consultation sessions. By joining (and as noted in paragraph 47 of the Stakeholder Engagement Plan) stakeholders are consenting to the video and audio recording of these consultations. ADB will prepare consultation summaries for internal use. These will not be disclosed publicly. The purpose of the recordings is only to ensure the accuracy and transparency of proceedings.

Stakeholders wishing to exclude themselves from such recordings are asked to contact the Safeguards Policy Review and Update Secretariat at safeguardsupdate@adb.org within 2 weeks of this session to share their exceptions and exclusions.

Restatement of ADB's Commitment to Meaningful Consultations



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All types of feedback are welcome. These will not be used for the purposes of retaliation, abuse, or any other kind of discrimination.

If you have any issues or concerns on the disclosure, recording, confidentiality, potential risks, abuse, or any kind of discrimination during the consultations, or wish to exclude yourself from the recording of events and discussions, please contact the Secretariat at safeguardsupdate@adb.org.

In-Country Consultation Session Objectives

1. Provide a briefing on review and update of ADB's Safeguard Policy Statement, 2009 (SPS):
 - Objectives and approach for the policy update
 - Overview on findings from benchmarking and analytical studies;
 - Highlights of feedback received from regional consultations;
 - Policy directions and issues for the new environmental and social policy.
2. Seek feedback lessons from SPS policy implementation and recommendations on new policy directions.

- **Opening Prayer** (5 mins)
- **Technical Announcements and Acknowledgement of Participants** (5 mins)
Jack Stanley, Senior Safeguard Officer, PNG Resident Mission (PNRM)
- **Welcome Remarks** (5 mins)
Masayoshi Ono, Infrastructure Specialist, PNG Resident Mission (PNRM)
- **Session 1: Brief Overview and Background of the ADB Safeguard Policy Update – Presentation and Q&A** (20 mins)
Bruce Dunn, Director, Safeguards Division (SDSS), Sustainable Development and Climate Change Department (SDCC)
- **Session 2 (a): Safeguard Policy Directions and Recommendations for Environmental Safeguards: Findings from Analytical Studies and Feedback from Phase 2 Regional Stakeholder Consultations** (20 mins)
Zehra Abbas, Principal Environment Specialist, Safeguards Division (SDSS), Sustainable Development and Climate Change Department (SDCC)
- **Questions, Answers and Discussion** (20 mins)

- **Screen Break** (10 mins)
- **Session 2 (b): Safeguard Policy Directions and Recommendations for Social Safeguards: Findings from Analytical Studies and Feedback from Phase 2 Regional Stakeholder Consultations** (20 mins)
Madhumita Gupta, Principal Social Development Specialist (Safeguards), Safeguards Division (SDSS), Sustainable Development and Climate Change Department (SDCC)
- **Question, Answers and Discussion** (20 mins)
- **Session 3: Discussion** (40 mins)
Moderated online: Taniela Faletau, Safeguards Specialist, Pacific Department (PARD)
Facilitated in-country: Jack Stanley, Senior Safeguards Officer, PNG Resident Mission (PNRM)
- **Wrap Up and Closing Remarks** (10 mins)
Bruce Dunn, Director, Safeguards Division (SDSS), Sustainable Development and Climate Change Department (SDCC)
- **Event Evaluation** (5 mins)

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Welcome Remarks

Masayoshi Ono, Infrastructure Specialist, PNG Resident Mission (PNRM)



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Safeguards Division (SDSS)



Session 1: Overview on Policy Update Process and Status

Bruce Dunn, Director, Safeguards Division (SDSS),
Sustainable Development and Climate Change Department (SDCC)



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Objectives of Safeguard Policy Update

- **Modernize and enhance existing Safeguard Policy Statement (SPS)**, addressing key policy gaps and addressing emerging issues and risks, and vulnerabilities for affected people and the environment.
- **Adapt the policy for different financing approaches**, including sovereign and non-sovereign financing and different financing modalities
- **Improve efficiency of safeguard business processes**, seeking opportunities for streamlining and greater clarity on requirements for ADB and borrowers
- **Increase convergence and harmonization** with policies and system of other multilateral financial institutions and cofinanciers.
- **Improve implementation outcomes from safeguards**, with increased support for strengthening country systems and client capacities, and enhanced monitoring and oversight.

Safeguards Policy Review and Update

Approach and Methodology

Policy update time frame: August 2020 to October 2023

Implementation phases:

- 1. Policy update planning** (August 2020–June 2021):
 - [Background Information Paper](#) (November 2020).
 - [Stakeholder Engagement Plan](#) (April 2021, updated July 2021)
- 2. Analytical Studies** (December 2020–September 2022):
 - Policy architecture, benchmarking standards / thematic issues
 - Review implementation experience
- 3. Policy preparation** (April 2022–October 2023):
 - Working Paper for ADB Board consideration (March 2023)
 - Final ADB Board approval of R-Paper (October 2023)
- 4. Policy roll out** (2023–2024):
 - Policy effectiveness from 2024 (date to be confirmed)
 - Implementation guidance, staff instructions, good practice notes,
 - Training and capacity building for staff and clients



**Stakeholder
Engagement &
Consultation**



Stakeholder Engagement Plan (SEP) and Schedule

OBJECTIVES



Promote diverse participation and an inclusive process



Build interest and ownership in safeguards and update process



Ensure active participation through open, safe & iterative process



Communicate process, content, and outcomes with clarity

PROCESS

PHASE 1
(June 2020–May 2021)

- ✓ Initial outreach
- ✓ Preparation of a Stakeholder Engagement Plan (SEP)
- ✓ Preliminary information sessions with DMCs and CSOs

PHASE 2
(Nov 2021–October 2022)

- ✓ Publish analytical studies
- ✓ Regional consultations (all DMCs & CSOs)
- ✓ Private sector client consultations
- ✓ DMC country consultations (11 DMCs)
- ✓ Project-affected people consultations (11 projects)

PHASE 3
(Dec 2022 – October 2023)

- ✓ Consultations on draft and final policy



Analytical Studies and Regional Consultations Topics

Completed Consultations: Nov. 2021- April 2022

1. Policy Architecture
2. Indigenous Peoples
3. Resource Efficiency and Pollution Prevention
4. Labor and Working Conditions
5. Community and Occupational Health and Safety
6. Land Acquisition and Involuntary Resettlement
7. Biodiversity and Sustainable Natural Resource Management
8. Cultural Heritage
9. Stakeholder Engagement, Information Disclosure, and Grievance Redress Mechanisms
10. Lessons from Accountability Mechanism
11. Environmental and Social Impacts and Risk Assessment
12. Safeguards in Fragile and Conflict-Affected Situations (FCAS) & Small Island Developing States (SIDS)
13. Country Safeguard Systems (CSS)
14. Focus Group Discussions with Private Sector Clients
15. Climate Change
16. Gender and Safeguards
17. Sexual Exploitation, Abuse and Harassment (SEAH)
18. Sexual Orientation and Gender Identity and Expression, and Sex Characteristics (SOGIESC)

[See: Full list of Regional Consultations](#)

Planned Consultations

1. Safeguards in Different Financing Modalities and Private Sector

RESULTS

DIVERSITY & INCLUSION

1,880 participants (including Private Sector Clients)

55 of 68 member countries represented

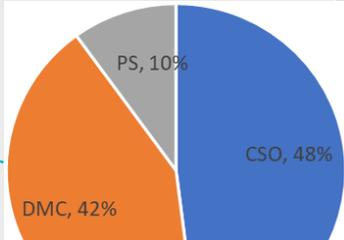
participants from 9 other countries outside the ADB network

56 private sector client companies

51% MALE 49% FEMALE



793 DMCs, 899 CSOs & 188 private sector participants



ACCESSIBILITY & QUALITY OF INFORMATION

all 17 consultations have had the PowerPoint slides and summary papers disclosed and translated into 4 languages prior to the consultation event

Consultations simultaneously interpreted in 9 languages



94% reported that presentations & other materials provided were sufficient and understandable



83% reported that they received materials with sufficient time to review



TRANSPARENCY

94% reported that they understood how their feedback, would be used in the process



95% felt safe and secure to voice their insights and to ask questions

87% of participants reported that they were either very satisfied or satisfied with the overall quality of sessions



RESPONSIVENESS

595 questions / comments received during/after consultations

95% responded to or consulted with or through various channels / formats



95% said their questions were satisfactorily answered by ADB

91% of participants were satisfied with the online platform used for consultations



Acronyms: CSOs = civil society organizations; DMCs = developing member countries, PS = Private Sector

High Level Summary of Stakeholder Feedback

SPS Update Regional Consultations

DMCs

- Current SPS is benchmark for good practice
- Need closer alignment with country safeguard systems (CSS), avoid duplication, costs
- Greater consistency between MFI policy and procedures would reduce transaction costs
- Greater integration between environmental and social issues important, yet capacity is challenge.
- Need improved guidance & enhanced capacity support from early stages for country and project

CSOs

- Don't water down safeguards
- Concerns on use of CSS without equivalence and acceptability.
- Enhance stakeholder engagement and disclosure.
- Need safe space and address risks of retaliation.
- Concerns on safeguards for financial intermediaries
- Some key issues – climate change, gender, vulnerable & disadvantaged groups, biodiversity, labor issues, Indigenous People's, human rights

Private sector

- Convergence with IFC Performance Standards and Equator Principles
- Closer alignment with CSS and requirements
- Simplify disclosure requirements in alignment with other MFIs (e.g., reduce 120-day disclosure for EIAs)
- Greater clarity & guidance on requirements; ADB technical support during preparation and implementation

ADB's Proposed Policy Architecture Model

Environmental and Social Policy



Environmental and Social Policy Standards (ESS)

Policy objectives, scope and requirements for borrowers and clients



 <p>1 Assessment & management of environment and social risks and impacts</p> <p>ENV, IR, IP</p>	 <p>2 Labor and working conditions</p> <p>New</p>	 <p>3 Pollution prevention and resource efficiency</p> <p>ENV</p>
 <p>4 Health, Safety and Security</p> <p>ENV</p>	 <p>5 Land acquisition and involuntary resettlement</p> <p>IR</p>	 <p>6 Biodiversity and sustainable natural resource management</p> <p>ENV</p>
 <p>7 Indigenous Peoples</p> <p>IP</p>	 <p>8 Cultural heritage</p> <p>ENV</p>	 <p>9 Stakeholder engagement and information disclosure</p> <p>ENV, IR, IP</p>

Notes: Mapping new policy structure to previous SPS policy areas: ENV: Environment, IR: Involuntary Resettlement, IP: Indigenous People

Sustainable Development and Climate Change Department (SDCC)
Safeguards Division (SDSS)



Session 2(a):

Progress and Directions with Policy Architecture and Environmental and Social Standards

Zehra Abbas, Principal Environment Specialist, Safeguards Division (SDSS),
Sustainable Development and Climate Change Department (SDCC)



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Zehra Abbas-ADB



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Safeguards Division (SDSS)

Session 2(a):

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Questions, Answers and Discussion



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Get involved

Please send us your feedback and suggestions:

WEBSITE

<https://www.adb.org/who-we-are/about/safeguard-policy-review>

FACEBOOK PAGE

<https://www.facebook.com/ADBsafeguardreview>

E-MAIL

safeguardsupdate@adb.org



10:00
Screen Break



Sustainable Development and Climate Change Department (SDCC)
Safeguards Division (SDSS)



Session 2(b): Progress and Directions with Policy Architecture and Environmental and Social Standards

Madhumita Gupta, Principal Social Development Specialist, Safeguards Division (SDSS), Sustainable Development and Climate Change Department (SDCC)



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Session 2(b): Progress and Directions with Policy Architecture and Environmental and Social Standards

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Questions, Answers and Discussion



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Session 3: Discussion

(i) What are your views on the findings and key recommendations on the policy direction?

(ii) What are the key challenges and implementation issues in PNG?



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Wrap Up and Closing Remarks

Bruce Dunn, Director, Safeguards Division (SDSS),
Sustainable Development and Climate Change Department (SDCC)



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Thank You

