

The views expressed in this presentation are the views of the author/s and do not necessarily reflect the views or policies of the Asian Development Bank, or its Board of Governors, or the governments they represent. ADB does not guarantee the accuracy of the data included in this presentation and accepts no responsibility for any consequence of their use. The countries listed in this presentation do not imply any view on ADB's part as to sovereignty or independent status or necessarily conform to ADB's terminology.

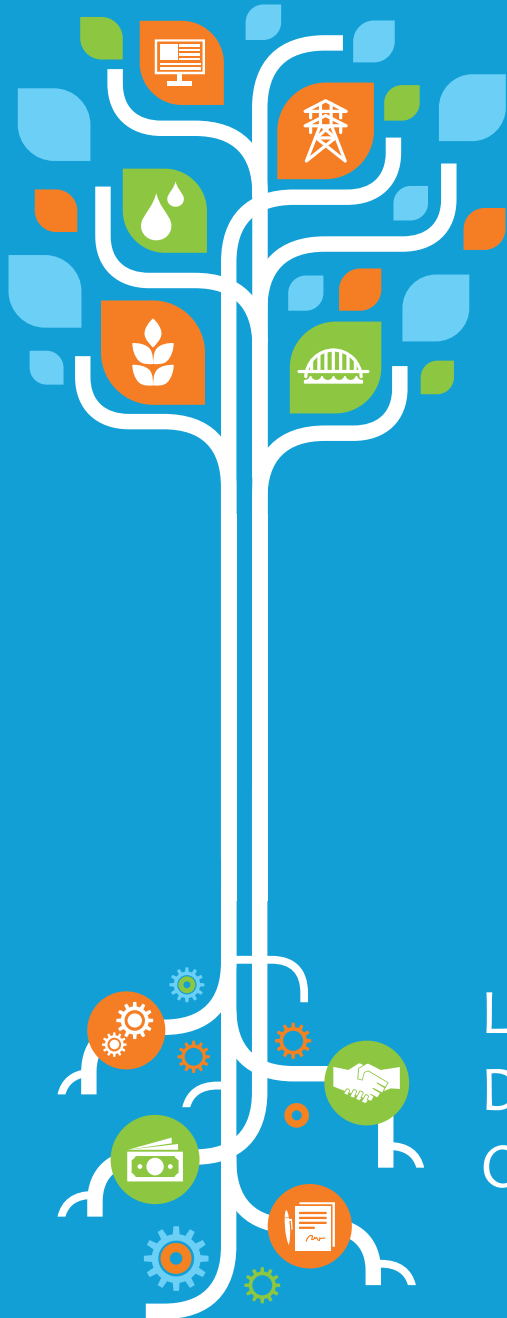
ADB

Anticorruption and Integrity

25 June 2021

Lisa Kelaart-Courtney
Director, Prevention and Compliance Division
Office of Anticorruption and Integrity

BUSINESS
OPPORTUNITIES





Anticorruption and Integrity

Zero tolerance for corruption.

Broader ADB commitment to combat corruption and improve governance as an operational priority of [ADB Strategy 2030](#).

Strategy 2030

Strengthening governance and institutional capacity

ADB will support governments efforts to **eradicate corruption**

ADB will promote effective, timely, and **corruption free** delivery of public services

ADB will implement **anticorruption measures** in all ADB projects and programs





ADB

ADB is committed to protecting
development projects from corrupt practices.

ADB defines
corruption as the
“abuse of public
or private office
for personal
gain.”



ADB's Anticorruption Policy

Promoting

Promoting efficient, effective, accountable, and transparent public administration including good governance and capacity building

Supporting

Supporting anticorruption efforts in the region through quality dialogue with the DMCs

Ensuring

Ensuring that ADB projects and staff adhere to the highest ethical standards.



ADB's Anticorruption Policy



Policy and Guidelines



Operational Instructions



Administrative Orders

Highest Ethical Standards

Zero
Tolerance

Applies to **executing and implementing agencies**, contractors, consultants, suppliers, ADB staff, and **anyone connected to an ADB-financed, -administered, or – supported activity.**

Anticorruption and Integrity



The Office of Anticorruption and Integrity (OAI) leads the integrity initiatives of ADB through:

- proactive integrity reviews,
- integrity due diligence,
- knowledge-sharing,
- the conduct of investigations,
- Technical Assistance Programs,

with ADB's stakeholders.

Office of Anticorruption and Integrity

Prevention & Compliance



Integrity Due Diligence



Proactive Integrity Reviews



Technical Assistance



Promote **Knowledge-Sharing**

Investigation



Initial contact for allegations of integrity violations



Investigate allegations of fraud & corruption

OAI promotes **integrity** and respect by investigating and sanctioning misconduct, **identifying and mitigating integrity risks**, offering innovative and practical solutions and **influencing positive change**.

ADB Complete Sanctions List

The screenshot shows the ADB Anticorruption Sanctions List page. A sidebar on the left contains a 'Sanctions List' menu with 'Published List' and 'Complete List' options. A red box highlights 'Published List (No Login Required)' with an arrow pointing to a dark blue box labeled 'PUBLISHED LIST Accessible to the public'. Another red box highlights 'Complete List (For authorized users only*)' with an arrow pointing to a dark blue box labeled 'COMPLETE LIST'. The main content area has a blue header 'Anticorruption Sanctions List' and a text box explaining the list's purpose. Below this, two columns describe the content of each list. The 'Published List' column lists: 'Sanctions violation while ineligible', 'Second and subsequent violations', 'Debarred entities who are uncontactable', 'Cross debarred entities', and 'Subset of the complete list'. The 'Complete List' column lists: 'Published List' and 'First violations'. Both columns have a 'VIEW' button. At the bottom, there is an 'Other Information' section with input fields for 'Application', 'Sanction Period', and 'Contract Variations'.

PUBLISHED LIST
Accessible to the public

COMPLETE LIST
Password-protected, accessible to:

- ADB Staff
- BoD
- Parties with a “need to know”: international organizations, bilateral and government partners
- Executing and implementing agencies

“.....conduct the sanctions checks during bid/proposal evaluation, prior to shortlisting, contract award, and contract variations or extensions or modifications, to confirm that no bidder, contractor, supplier, individual consultant, consulting firm, or its team members are currently on the List”

<https://www.adb.org/sites/default/files/related/79926/adb-sanctions-list-faq.pdf>



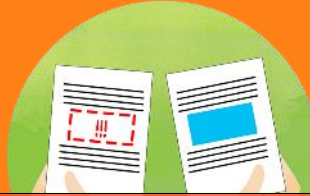
- Integrity Violations
- Remedial Actions
- Cross-debarment
- Complaints Assessment
- Investigation Process
- Appeal Process

Integrity Violations

CORRUPTION



FRAUD



COLLUSION



COERCION



MDB Harmonized Definitions

SANCTIONS
VIOLATIONS



CONFLICT OF
INTEREST



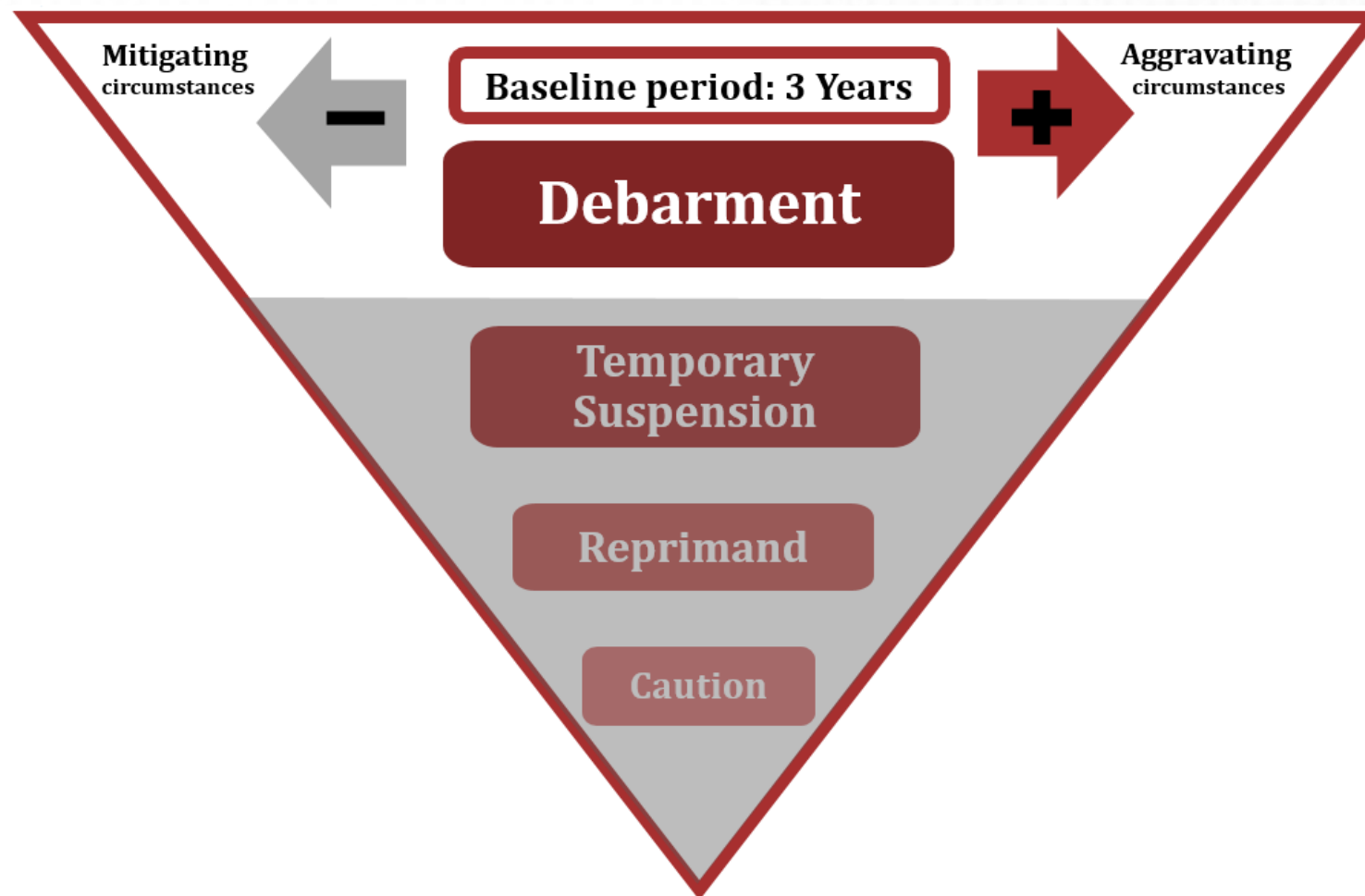
OBSTRUCTIVE
PRACTICE



ABUSE



Remedial Actions



Consequences of **Integrity Violations** in ADB-financed Projects



Sanctions of firms or individuals

Suspension of the loan or grant allocations

Termination of loan or grant allocations

Return of misused loan or grant resources back to ADB

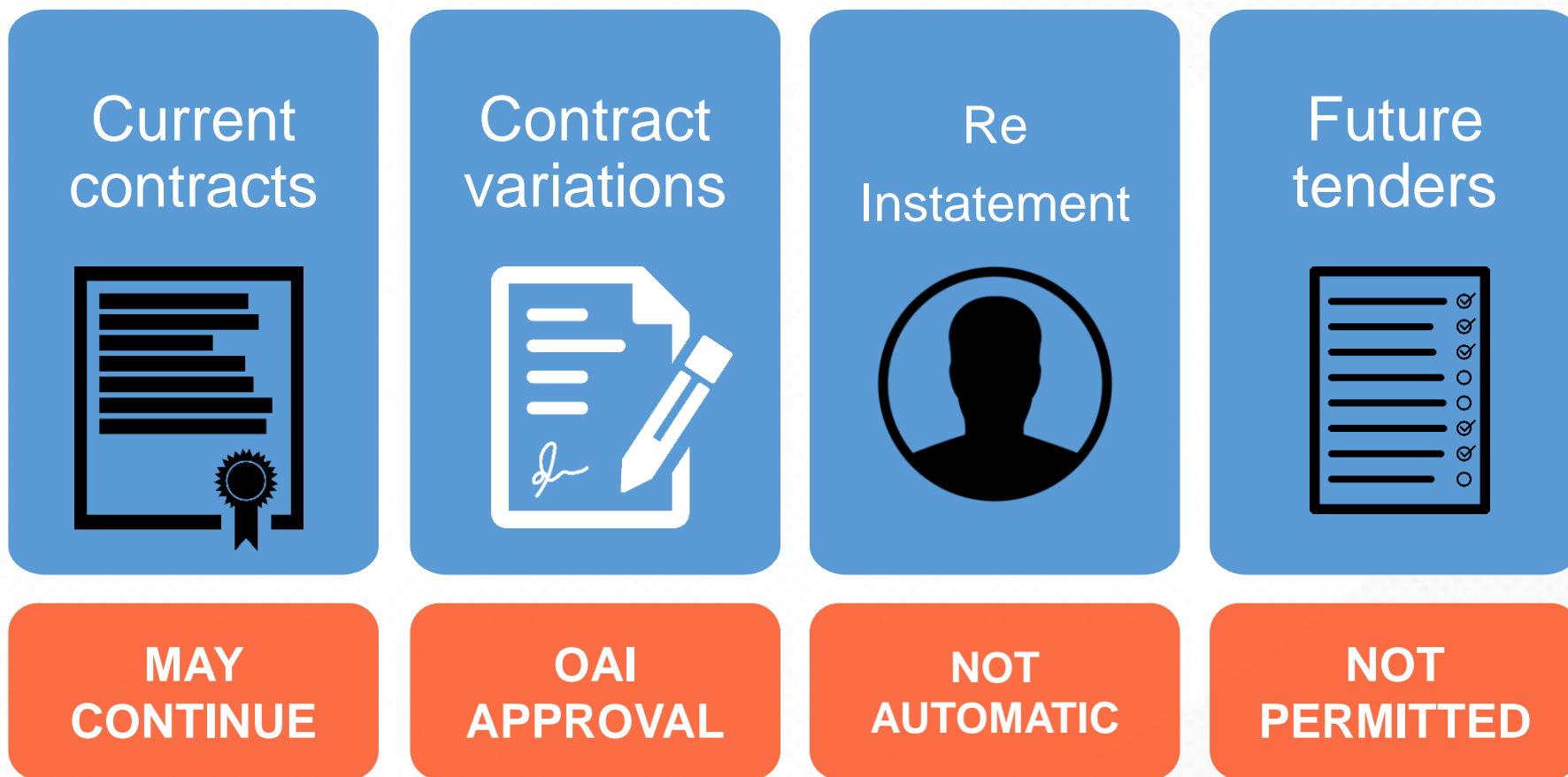
Changes of administrative arrangements

Request to **investigate or change** government staff

Cross debarment



Impact while under sanction



ADB Complete Sanctions List

The screenshot shows the ADB Anticorruption Sanctions List page. A sidebar on the left contains a 'Sanctions List' menu with 'Published List' and 'Complete List' options. A red box highlights 'Published List (No Login Required)' with an arrow pointing to a dark blue box labeled 'PUBLISHED LIST Accessible to the public'. Another red box highlights 'Complete List (For authorized users only*)' with an arrow pointing to a dark blue box labeled 'COMPLETE LIST'. The main content area has a blue header 'Anticorruption Sanctions List' and a paragraph explaining the list. Below this, there are two columns: 'Published List' and 'Complete List', each with a 'VIEW' button. The 'Published List' column lists various sanctions, while the 'Complete List' column has a disclaimer. At the bottom, there is an 'Other Information' section with input fields for 'Application', 'Sanction Period', and 'Contract Variations'.

PUBLISHED LIST
Accessible to the public

COMPLETE LIST
Password-protected, accessible to:

- ADB Staff
- BoD
- Parties with a “need to know”: international organizations, bilateral and government partners
- Executing and implementing agencies

“.....conduct the sanctions checks during bid/proposal evaluation, prior to shortlisting, contract award, and contract variations or extensions or modifications, to confirm that no bidder, contractor, supplier, individual consultant, consulting firm, or its team members are currently on the List”

<https://www.adb.org/sites/default/files/related/79926/adb-sanctions-list-faq.pdf>



**“ADB must
continue
to fight all types
of corruption,
individually
and collectively,
as **One ADB.**”**

Office of Anticorruption and Integrity



www.adb.org/integrity



@anticorruptionandintegrity



anticorruption@adb.org
integrity@adb.org



@adb_oai



+63-2-8632 5004



ADB Anticorruption and Integrity



+63-2-8636 2152

Due to the COVID-19 pandemic, please reach out to OAI via email.

Report integrity violations through OAI's complaint form:

<https://www.adb.org/integrity/report-violations>