

OFFICE OF ANTICORRUPTION AND INTEGRITY

# Confidentiality and Data Safeguarding

**Tax Avoidance and Evasion**

**3-7 June 2019**

**Nadi, Fiji**

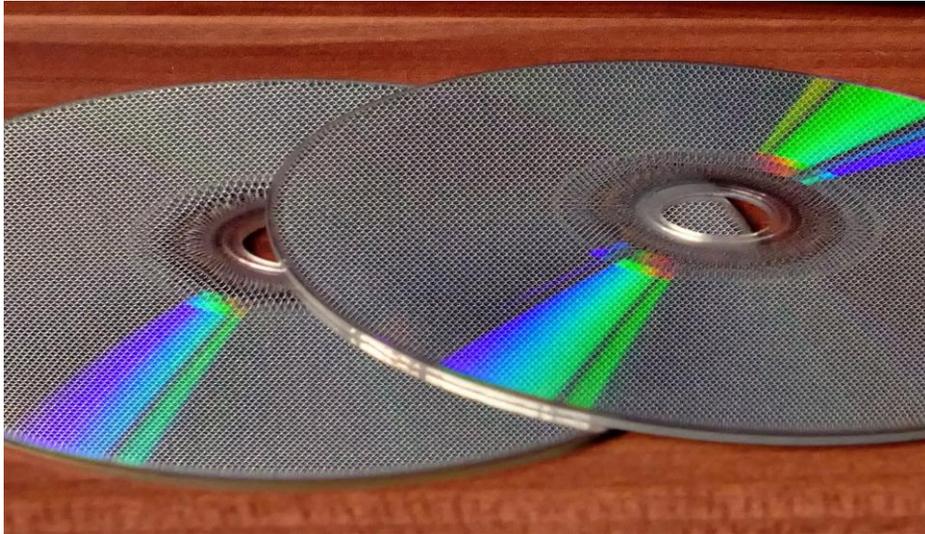
**Christine Fox** (ADB Consultant)  
Information Security Management Specialist

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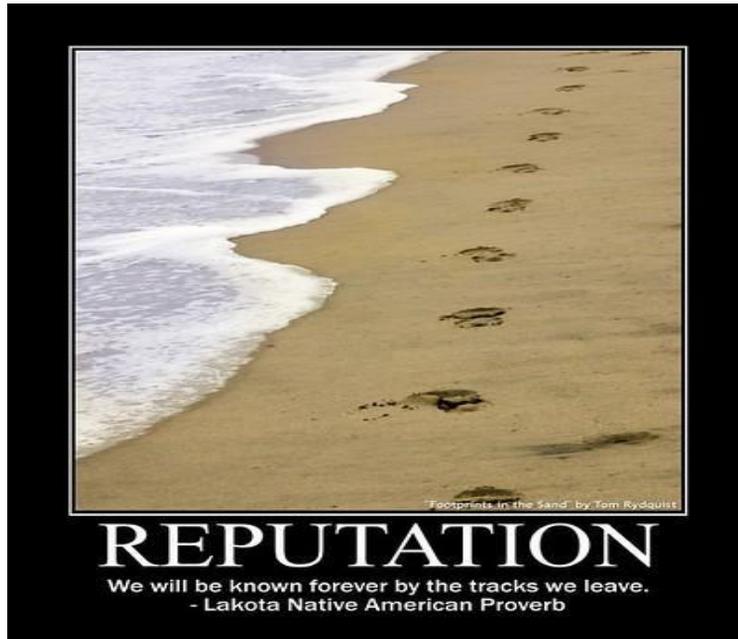
# A True Story ... of 2 CDs



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# Loss of Trust

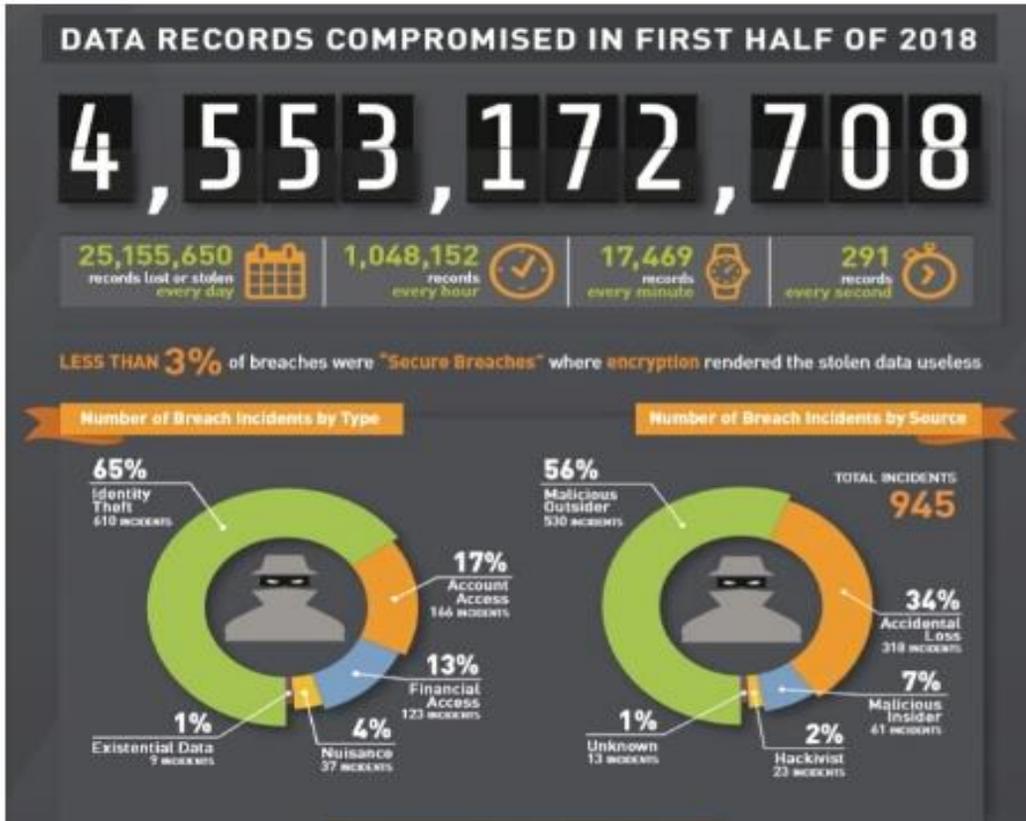


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# Data Breaches

(Taken from Gemalto's Breach Level Index)



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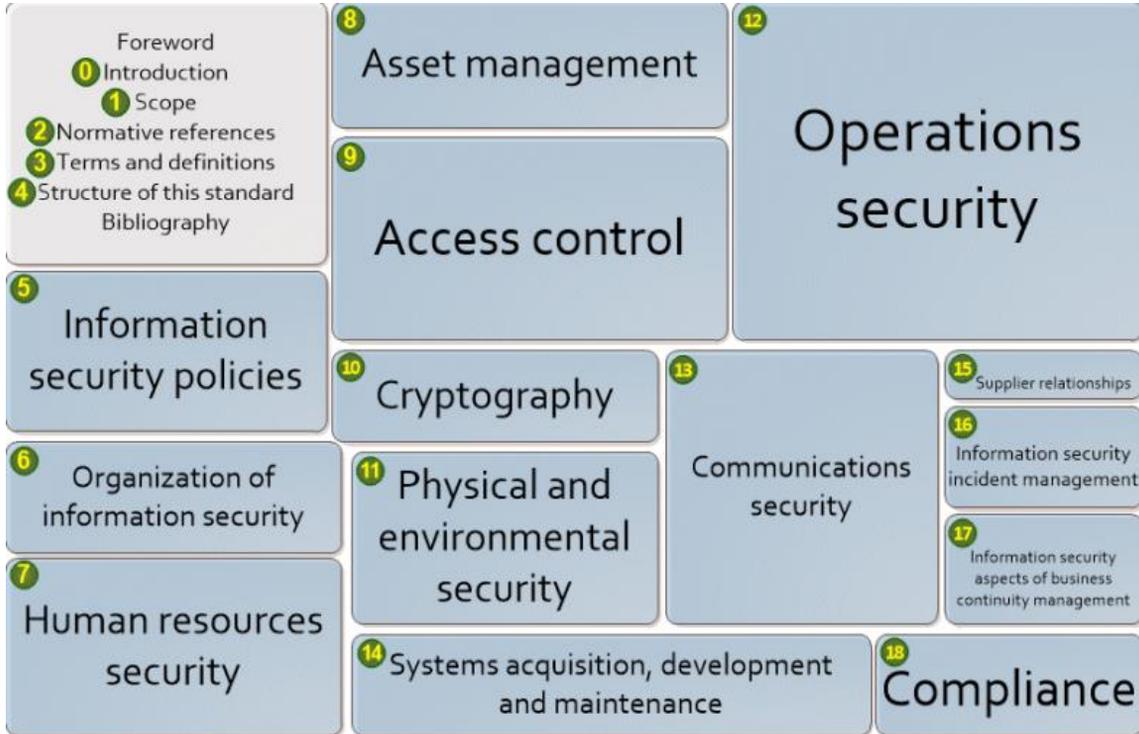
# Confidentiality & Data Safeguarding

**IMPOR****TANT**





# ISO 27001 standards





**The Framework for the full AEOI Reviews  
Confidentiality and Data Safeguards  
Assessments  
Core Requirement 3**

Global Forum on Transparency and  
Exchange of Information for Tax Purposes

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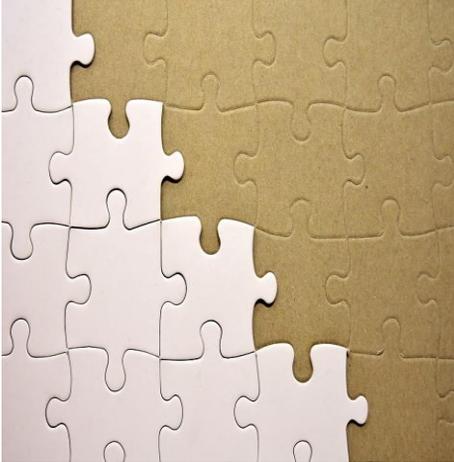
# Objectives



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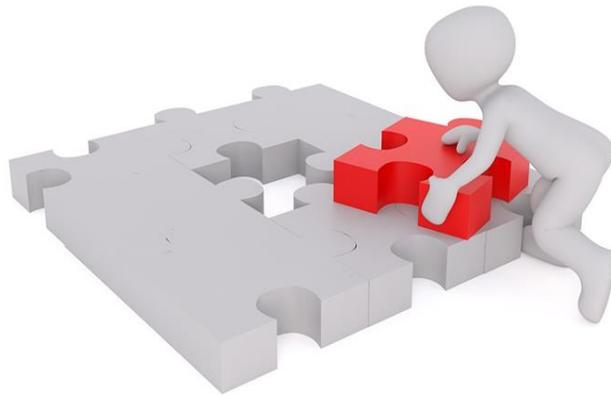
# Pieces of a jigsaw - Need to fit together



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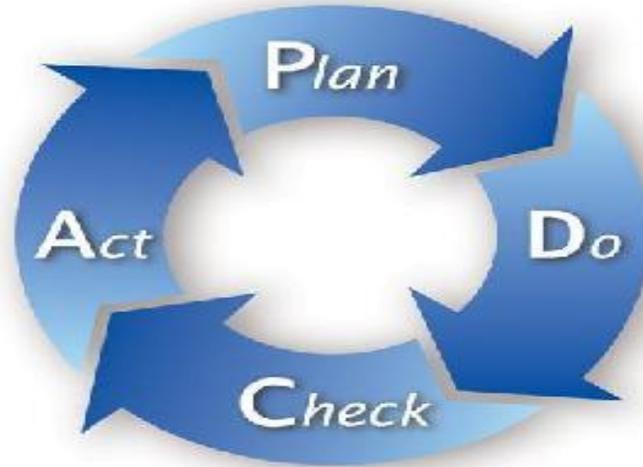
# Information at the center



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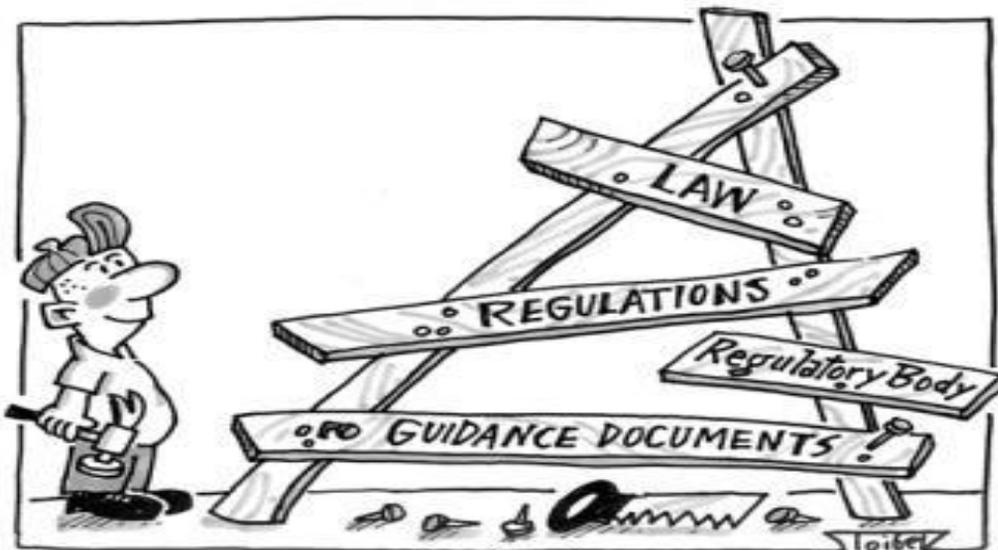
# Methodology



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# Building the Framework



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# Information Security Policies



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# 3 Steps to Success

Common failures in InfoSec

Raytheon | websense

## People, Process, Technology applied to InfoSec

<u>People</u>		<u>Process</u>		<u>Technology</u>		<u>Outcome</u>
	+		+		=	Success
	+		+		=	Poor Adoption
	+		+		=	Inconsistent Operation
	+		+		=	Burden to Scale
	+		+		=	Shelf-ware
	+		+		=	Wasted Effort
	+		+		=	No ability to execute
	+		+		=	No Defenses

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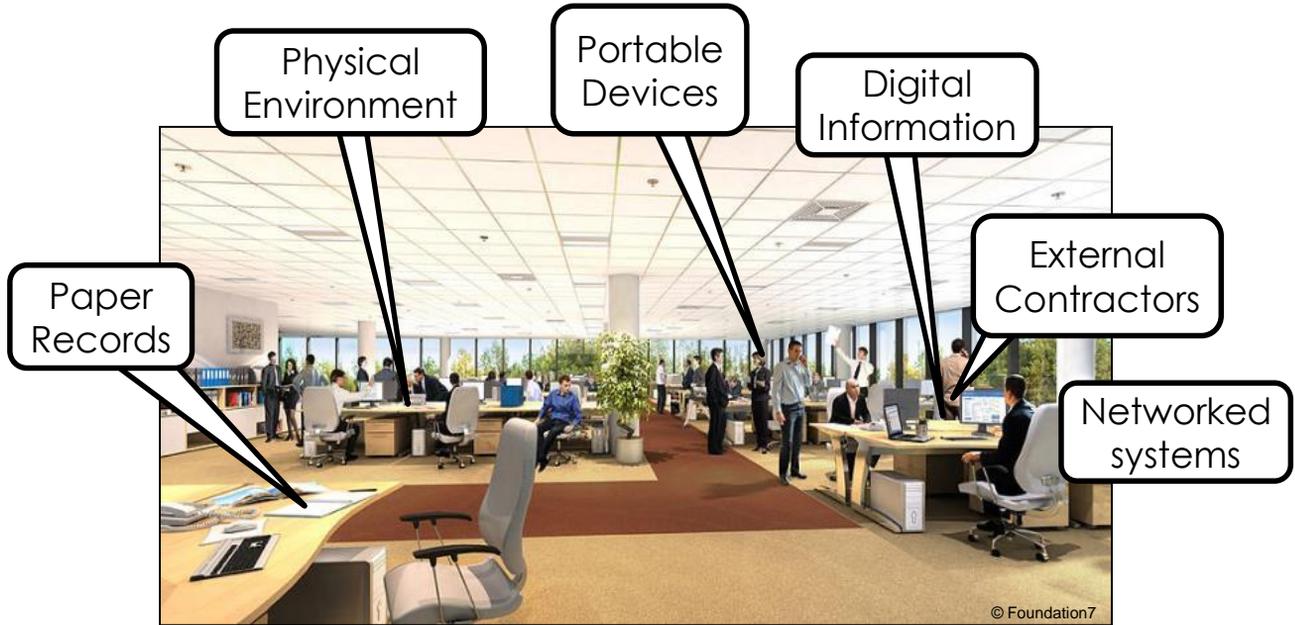
# Risk Management Essential



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# Information Security Risks





# Controls - pieces of a jig



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# People Starting



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# People Working



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# People Leaving



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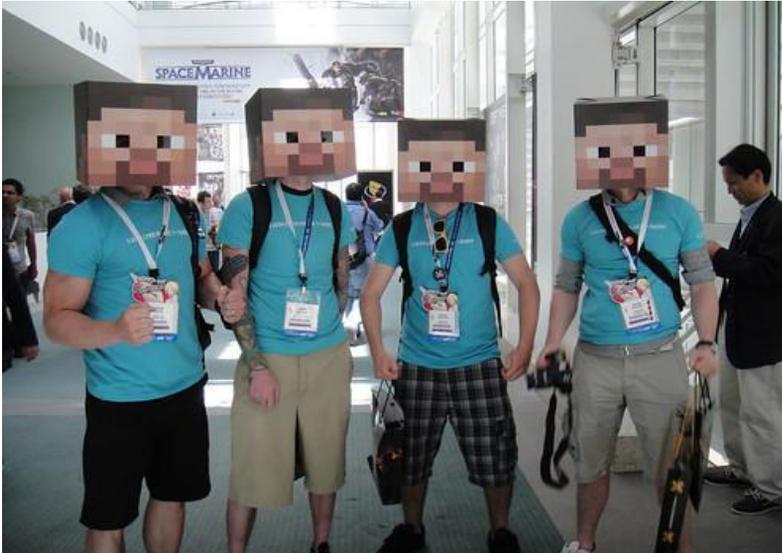


# Access Management - Systems





# Access Management - Physical



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# Information Classifications



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# Confidentiality Stamps

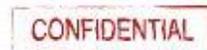
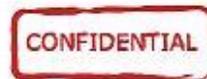


All confidential information should be clearly labeled

“THIS INFORMATION IS FURNISHED UNDER THE PROVISIONS OF A TAX TREATY AND ITS USE AND DISCLOSURE ARE GOVERNED BY THE PROVISIONS OF SUCH TAX TREATY.”



“All information received under the exchange of information provisions of a treaty may only be used for tax purposes and must be maintained in the strictest confidence. Release of these documents, either informally or under the Access to Information Act or the Privacy Act, must be discussed with Exchange of Information Services prior to disclosure”





# Clear Desk Policy



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# IT Security





# Protecting Data - Keep it Safe



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# Secure Disposal



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# Operational Management



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# When Things Go Wrong ...



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# What “Good” Looks Like



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# Any Questions?





# Technical Assistance Available



# Thank You!



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