

25+ YEARS OF INTEGRITY PACTS: NEW LESSONS EMERGING AND THE MOVE TO CLEAN CONTRACTING

ASTANA 2018

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CLEAN CONTRACTING MANIFESTO



Hivos



Civil Society
monitoring

Engagement
of affected
communities

Strong,
professional
and
engaged
civil society

Sanctions

Open Data (e.g. Open
Contracting Data
Standard)

OPEN DATA

OPEN DATA



- Limited availability of data
- Freedom of Info requests
- Significant manual work
- Time consuming, inefficient



OPEN CONTRACTING DATA STANDARD



Planning

Including:

Budgets
Project plans
Procurement plans
Market studies
Public hearing info



Initiation (Tender)

Including:

Tender notices
Specifications
Line items
Values
Enquiries



Award

Including:

Details of award
Bidder information
Bid evaluation
Values



Contract

Including:

Final details
Signed contract
Amendments
Values



Implementation

Including:

Payments
Progress updates
Location
Extensions
Amendments
Completion or
Termination info

EFFECTIVE?



“

Asking someone
to dig an Olympic
sized pool but
giving a
mechanical
excavator rather
than a teaspoon



CIVIL SOCIETY MONITORING (WITH DATA)

CIVIL SOCIETY MONITORING

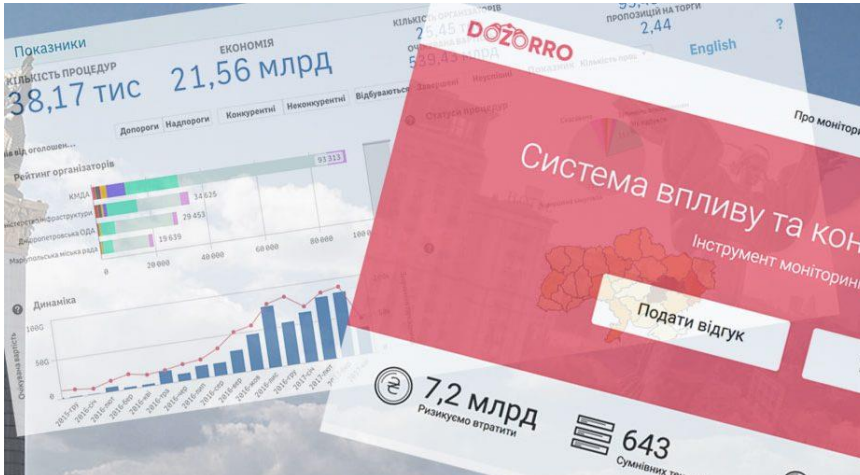


Dozorro-Ukraine

Monitoring Portal

Risk Indicator System

Complaints mechanism



EFFECTIVE?



Media coverage of the events helps to achieve justice and eliminate violations, too. One of the most successful cases based on the feedback on the portal is recovery of UAH 124 million paid for hybrid vehicles for the National Police to the budget.



4.4
million
US\$

14
million
US\$



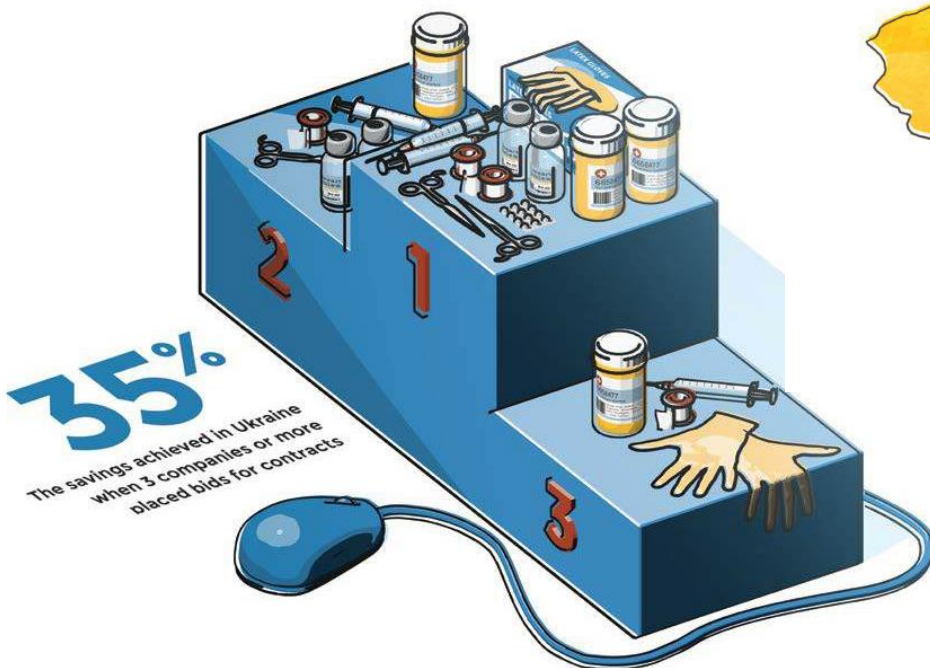
Another big case is the beginning of an SBU investigation on embezzlement of UAH 400 million in PAT Ukrzaliznytsia after a blog post by one of the community members was published.

Thanks to rapid reaction and media support, the cost of construction of the Okhmatdyt hospital was reduced by UAH 5 million. There are many cases of unscrupulous bidders brought to responsibility, when they have to pay millions' worth of fines and are banned from tenders for three years.

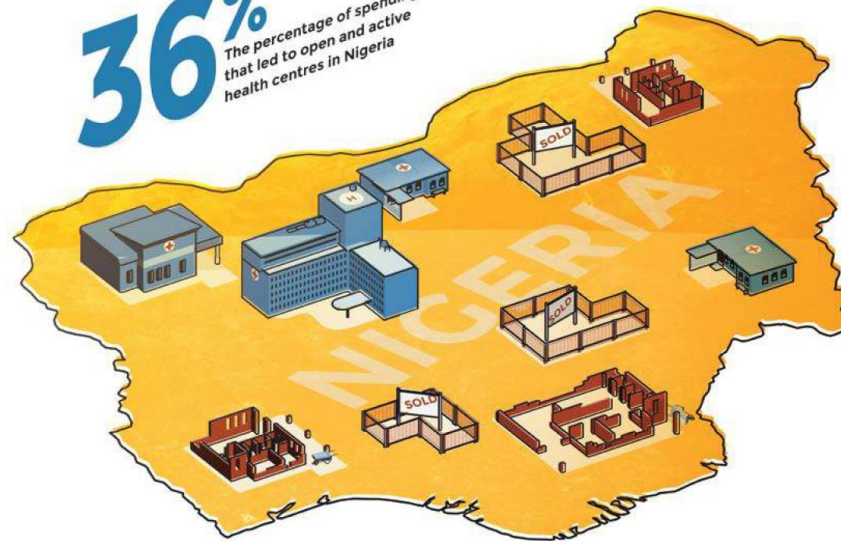


180,000
US\$

EFFECTIVE?

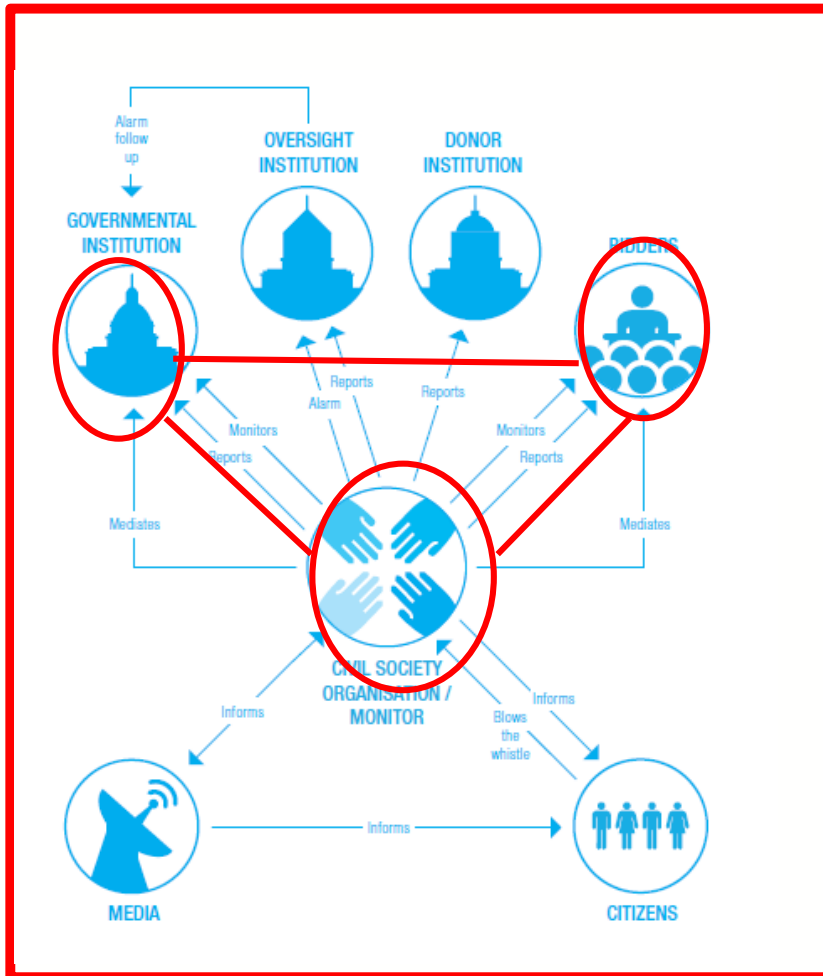


36%
The percentage of spending
that led to open and active
health centres in Nigeria



CIVIL SOCIETY MONITORING (INTEGRITY PACTS)

INTEGRITY PACTS



Contract

- ✓ Disclosure
- ✓ Confidentiality clauses
- ✓ Stakeholder participation
- ✓ A monitoring system

A Process

PILOT PROJECT IN THE EU



EFFECTIVE?



Avoidance of irregularities / Early detection:

“When we received the invitation to be part of the project, we were enthusiastic but worried that the IP would increase bureaucracy. Actually the IP allows us to be more effective in the detection and prevention of irregularities.”

*Anna Vespa, Procurement and Tender Officer,
Sardinia Regional Transport Company, Italy.*



EFFECTIVE?



Focus on capacity building: sometimes things go wrong because of low capacity.



Promotes broader oversight:

“We appreciate the IP project and see potential. We are only able to review about 2–3% of tenders and usually the problems are in the ones we don’t get to! This project promotes the idea that the general population can ask questions, get answers, work together”

Gediminas Golcevas, Public Procurement Office, Lithuania

Greater standards within the contracting authorities: based on issues uncovered and interactions during monitoring e.g. Italy collusion



EFFECTIVE?

Cost effectiveness implications:
engagement on avoiding delays,
complaints, reduced
competition etc. So far two
projects are coming in under
budget

**Builds good-will towards
adoption broader reforms:**
commitments to testing open
data in Italy.



INTEGRITY PACT & OPEN DATA



**Due winter
2018**

**MODEL INTEGRITY PACT
FOR INFRASTRUCTURE**
AN IMPLEMENTATION GUIDE FOR CIVIL
SOCIETY ORGANISATIONS

ENGAGING AFFECTED COMMUNITIES

CIVIC MONITORING



“For years now citizens have perceived public works as useless and a waste of money...now I have a chance to have a say in what is happening and what the government is doing.”

Alfonso, Civic Monitor, Sybaris, Italy



Civic monitoring participants' level of confidence that any notified wrongdoing in the public procurement process will be effectively followed-up, investigated and brought to a conclusion.

Low → Fair

WHISTLEBLOWER HOTLINES



Pakistan – Anti-Fraud
Hotline on USAID
projects managed

80,000 calls since
beginning of project

Savings & Recoveries of
\$123.4million

Spanish politicians in court over corruption case billed as trial of the year

Leading figures in ruling People's party among defendants accused of taking part in kickbacks-for-contracts scheme





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