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Asian Development Bank



An Asia and Pacific region
free of poverty



To help its developing members
reduce poverty and improve
their living conditions and
quality of life

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ADB and Bhutan (1983-2015)

| | Sector | Loans and Grants | Amount (in US\$) | % |
|----|--------------------------|------------------|--------------------|-------------|
| 1 | Energy | 13 | 315,270,000 | 45% |
| 2 | Transport and ICT | 10 | 147,830,000 | 21% |
| 3 | Public Sector Management | 4 | 71,200,000 | 10% |
| 4 | Water | 4 | 53,470,000 | 8% |
| 5 | Industry and Trade | 5 | 27,820,000 | 4% |
| 6 | Finance | 5 | 23,500,000 | 3% |
| 7 | Education | 4 | 19,120,000 | 3% |
| 8 | Multisector | 3 | 14,350,000 | 2% |
| 9 | Agriculture | 3 | 10,780,000 | 2% |
| 10 | Health | 1 | 10,000,000 | 1% |
| | TOTAL | 52 | 693,340,000 | 100% |

ADB's Strategy 2020 Midterm Review

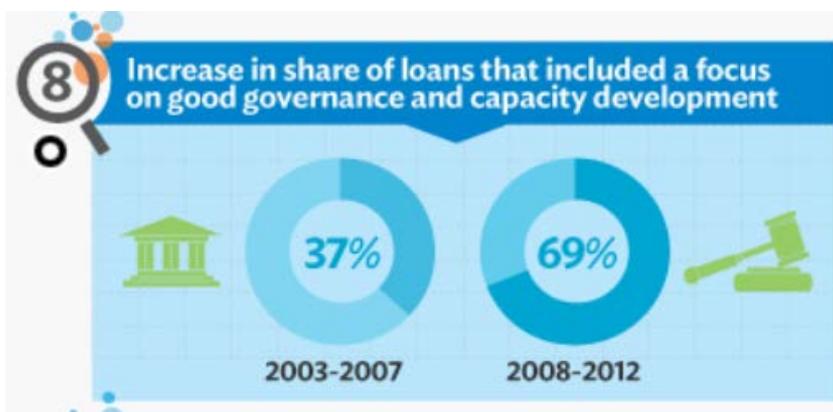


inclusive
economic
growth

environmentally
sustainable
growth

regional
integration

Complementary Development Agendas



Drivers of Change

Private Sector
Development

Good Governance and
Capacity Development

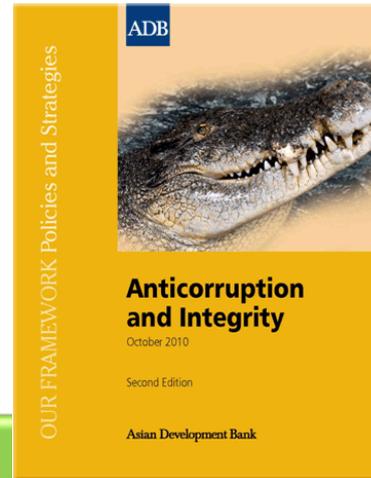
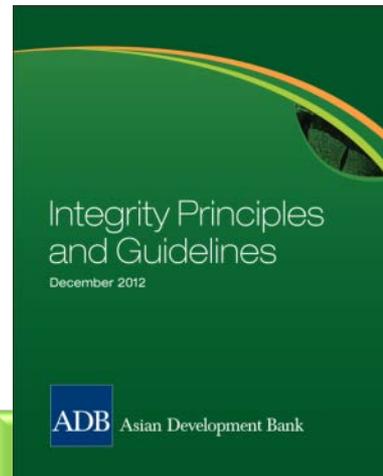
Gender Equity

Knowledge
Solutions

Partnerships

ADB's Anticorruption Policy

Highest
Ethical
Standards



Zero
Tolerance

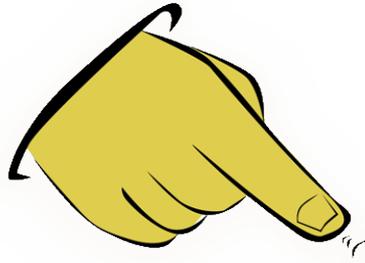
POLICY

Applies to **executing and implementing agencies**, contractors, consultants, and ADB staff, and anyone connected to an ADB-financed, -administered or –supported activity.

ADB's Anticorruption Policy

- Procurement Guidelines (Para 1.14)
- Guidelines on Use of Consultants (Para 1.23)
- Loan and Grant Agreements
- Standard Bidding Documents (Section 1, Clause 3, 4)
- Contracts
- Prequalification Documents
- Project Administration Instructions

OAI's Role and Responsibilities



Initial contact for allegations of integrity violations



Undertake Project Procurement-Related Review (PPRRs)

Investigate allegation of fraud and corruption



Promote awareness of Anticorruption Policy

Integrity Due Diligence



Integrity Violations

Misconduct

Corruption

Fraud

Conflict of Interest

Obstructive Practice

Coercion

Collusion

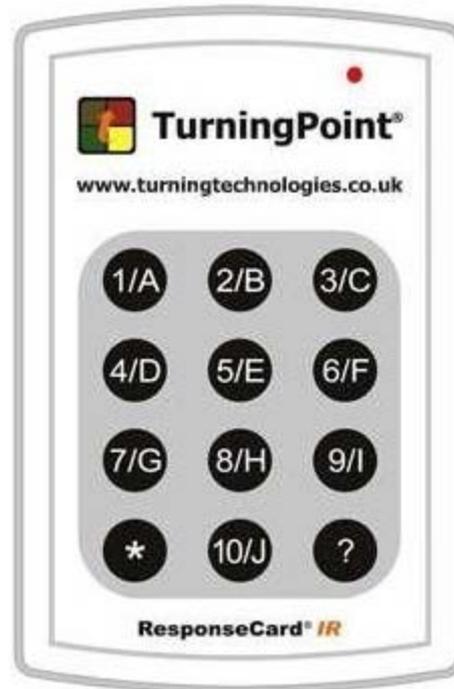
Abuse

MDB harmonized definitions

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Please prepare your clickers



Which integrity violation involves giving or receiving anything of value, with improper purpose?

- 0% 1. Corrupt practice
- 0% 2. Fraudulent practice
- 0% 3. Coercive practice
- 0% 4. Collusive practice
- 0% 5. Abuse
- 0% 6. Conflict of interest
- 0% 7. Obstructive practice



Examples:

- Bribery
- Kickbacks

Which integrity violation involves misleading to obtain benefits or avoid obligations?

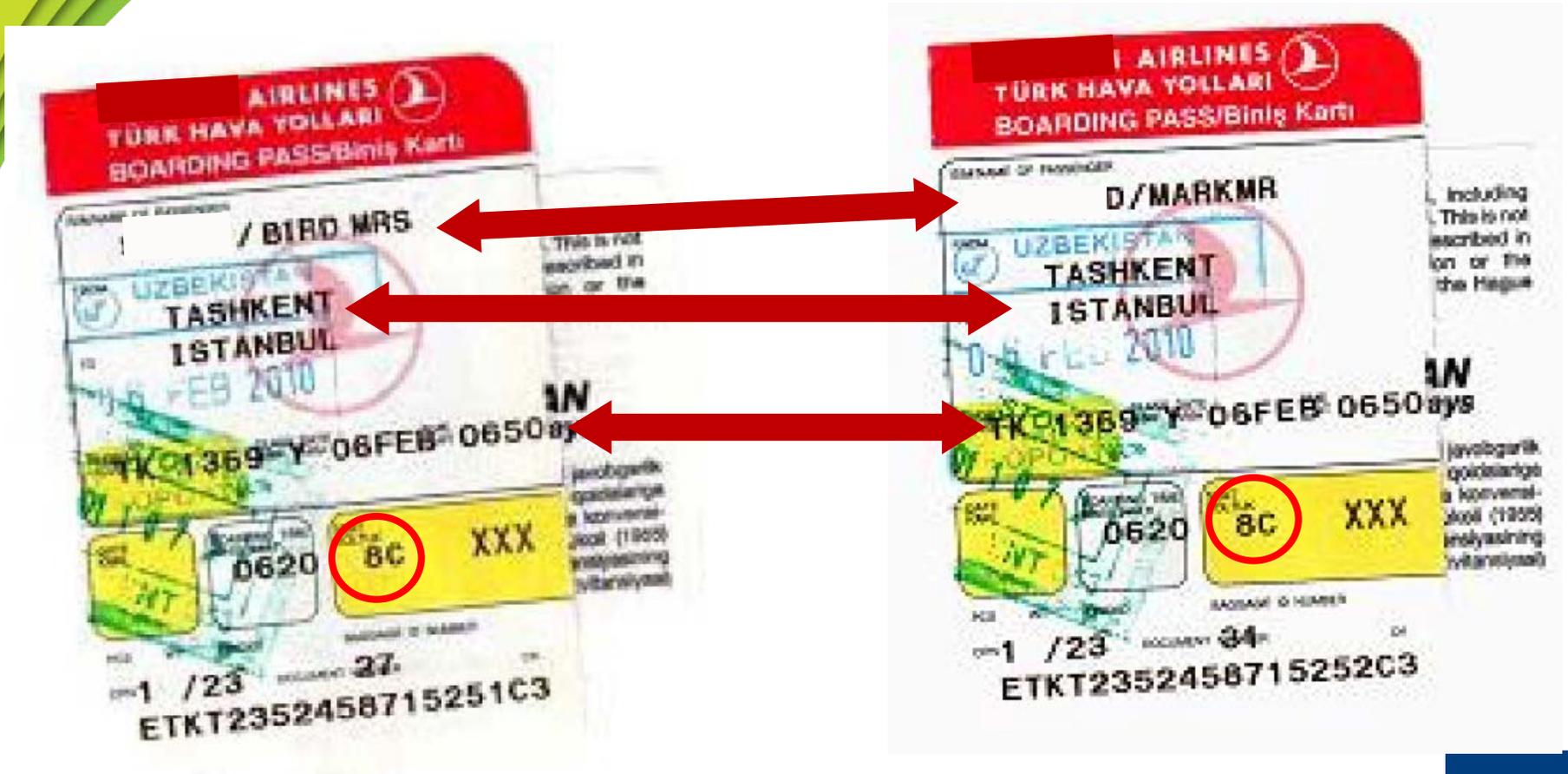
- 0% 1. Corrupt practice
- 0% 2. Fraudulent practice
- 0% 3. Coercive practice
- 0% 4. Collusive practice
- 0% 5. Abuse
- 0% 6. Conflict of interest
- 0% 7. Obstructive practice



Examples:

- False claims
- Forged records

Fake/Altered receipts





DAI

DAFTAR HADIR PESERTA HARI KE SESSI KE 1

KE ... 1

3 ... 1 ... SESSI KE ... 1

TK

| No | Nama | Lokasi Tugas | Tanda Tangan | Tanda Tangan | Tanda Tangan | Tanda Tangan |
|------------------------------|-------------------------|--------------|--------------|--------------|--------------|--------------|
| PMK Kalimantan Tengah | | | | | | |
| 1 | Hanun Prajitno | 1 | [Signature] | 2 | [Signature] | 1 |
| 2 | M. Budi Rahardjo | 2 | [Signature] | 3 | [Signature] | 2 |
| 3 | Ahmad Guntoro | 3 | [Signature] | 4 | [Signature] | 3 |
| 4 | Agus Budianto | 4 | [Signature] | 5 | [Signature] | 4 |
| 5 | Rabbbani Tutupoho | 5 | [Signature] | 6 | [Signature] | 5 |
| 6 | Wismono | 6 | [Signature] | 7 | [Signature] | 6 |
| PMK Kalimantan Baru | | | | | | |
| 1 | Yoseph S. Nuryanto | 1 | [Signature] | 2 | [Signature] | 1 |
| 2 | Soemantoro Goenawan | 2 | [Signature] | 3 | [Signature] | 2 |
| 3 | Hary Nurmantyas | 3 | [Signature] | 4 | [Signature] | 3 |
| 4 | Gatot Sudiyanto | 4 | [Signature] | 5 | [Signature] | 4 |
| 5 | Sukian Yuniarta | 5 | [Signature] | 6 | [Signature] | 5 |
| 6 | Sukardianto | 6 | [Signature] | 7 | [Signature] | 6 |
| PMK Jambi | | | | | | |
| 1 | R. Moelyo Santoso | 1 | [Signature] | 2 | [Signature] | 1 |
| 2 | Misdar Putra | 2 | [Signature] | 3 | [Signature] | 2 |
| 3 | M. Nur (Memod) | 3 | [Signature] | 4 | [Signature] | 3 |
| 4 | Syafrizal Muin | 4 | [Signature] | 5 | [Signature] | 4 |
| 5 | Muji Rahardjo | 5 | [Signature] | 6 | [Signature] | 5 |
| PMK Bengkulu | | | | | | |
| 1 | [Name] | 1 | [Signature] | 2 | [Signature] | 1 |
| 2 | Fauzie Denhas | 2 | [Signature] | 3 | [Signature] | 2 |
| 3 | Robert Irwan Natakusuma | 3 | [Signature] | 4 | [Signature] | 3 |

PHOTOCOPIED ATTENDANCE SHEET

List of participants present day 2 session number 1
 List of participants present day 3 session number 1
 List of participants present day 4 session number 1

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Which integrity violation involves impairing/harming/threatening?

- 0% 1. Corrupt practice
- 0% 2. Fraudulent practice
- 0% 3. Coercive practice
- 0% 4. Collusive practice
- 0% 5. Abuse
- 0% 6. Conflict of interest
- 0% 7. Obstructive practice



"If u don't give me contract u will be sorry."

Examples:

- Blackmail
- Extortion

Which integrity violation involves an arrangement between parties to achieve an improper purpose?

- 0% 1. Corrupt practice
- 0% 2. Fraudulent practice
- 0% 3. Coercive practice
- 0% 4. Collusive practice
- 0% 5. Abuse
- 0% 6. Conflict of interest
- 0% 7. Obstructive practice



Examples:

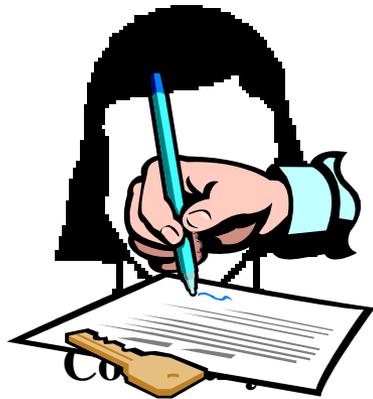
- Pre-negotiation of award
- Bid rotation



Company X



Company Y



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Which integrity violation involves a party that has an interest that could improperly influence that party's performance, duty, or responsibility?

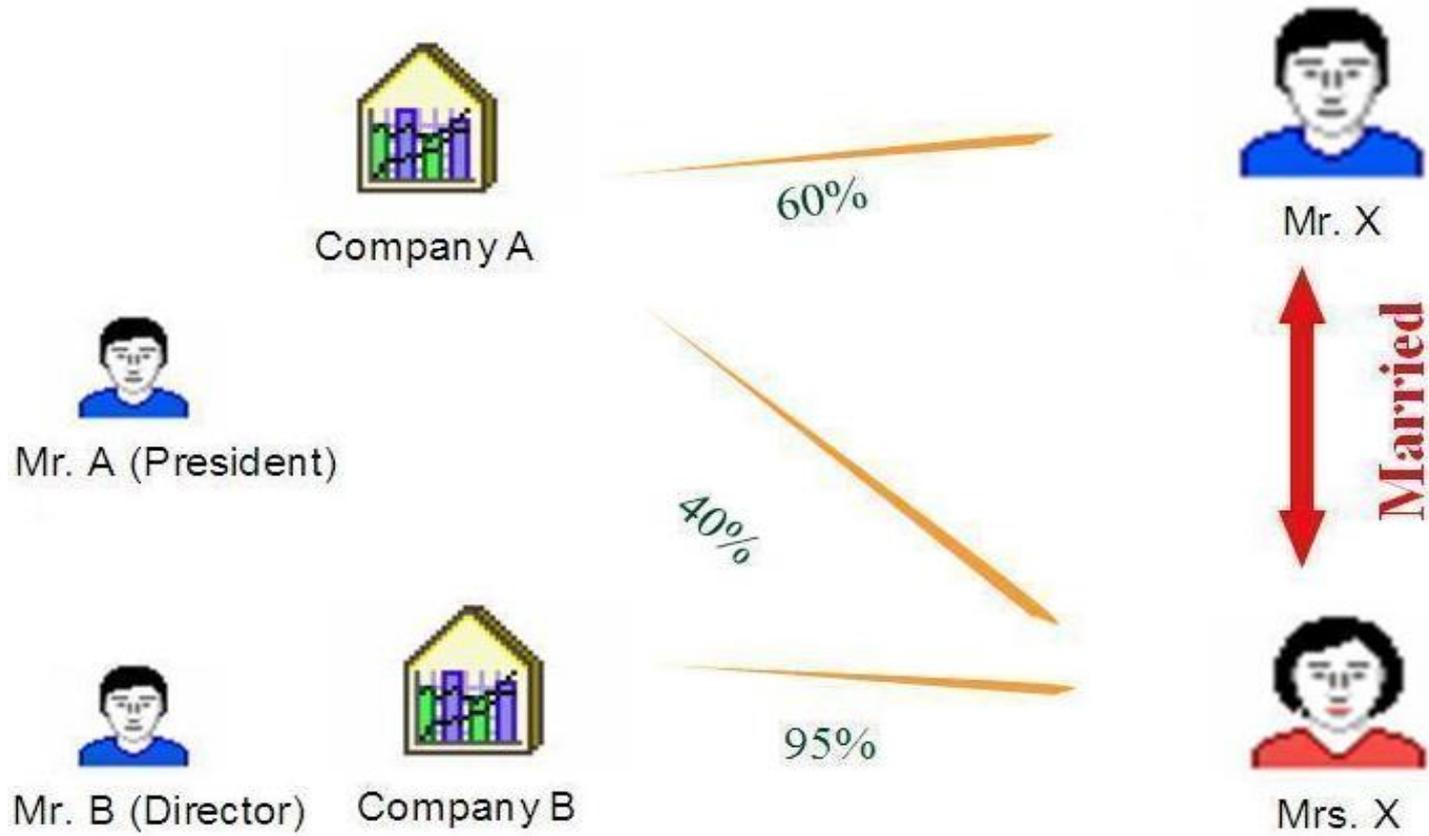
- 0% 1. Corrupt practice
- 0% 2. Fraudulent practice
- 0% 3. Coercive practice
- 0% 4. Collusive practice
- 0% 5. Abuse
- 0% 6. Conflict of interest
- 0% 7. Obstructive practice



Examples:

- Family interests
- Outside employment

Conflict of Interest



Related companies

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Which integrity violation involves actions and/or statements that are meant to impede an ADB investigation?

- 0% 1. Corrupt practice
- 0% 2. Fraudulent practice
- 0% 3. Coercive practice
- 0% 4. Collusive practice
- 0% 5. Abuse
- 0% 6. Conflict of interest
- 0% 7. Obstructive practice



Examples:

- Lying to ADB investigators
- Destroying or concealing evidence

Allegations of Integrity Violations

| | |
|---|-------------|
| Overall Complaints (1998-Jan 2016) | 3075 |
|---|-------------|

| | |
|---|-------------|
| Overall Investigations (1998-Jan 2016) | 1496 |
|---|-------------|

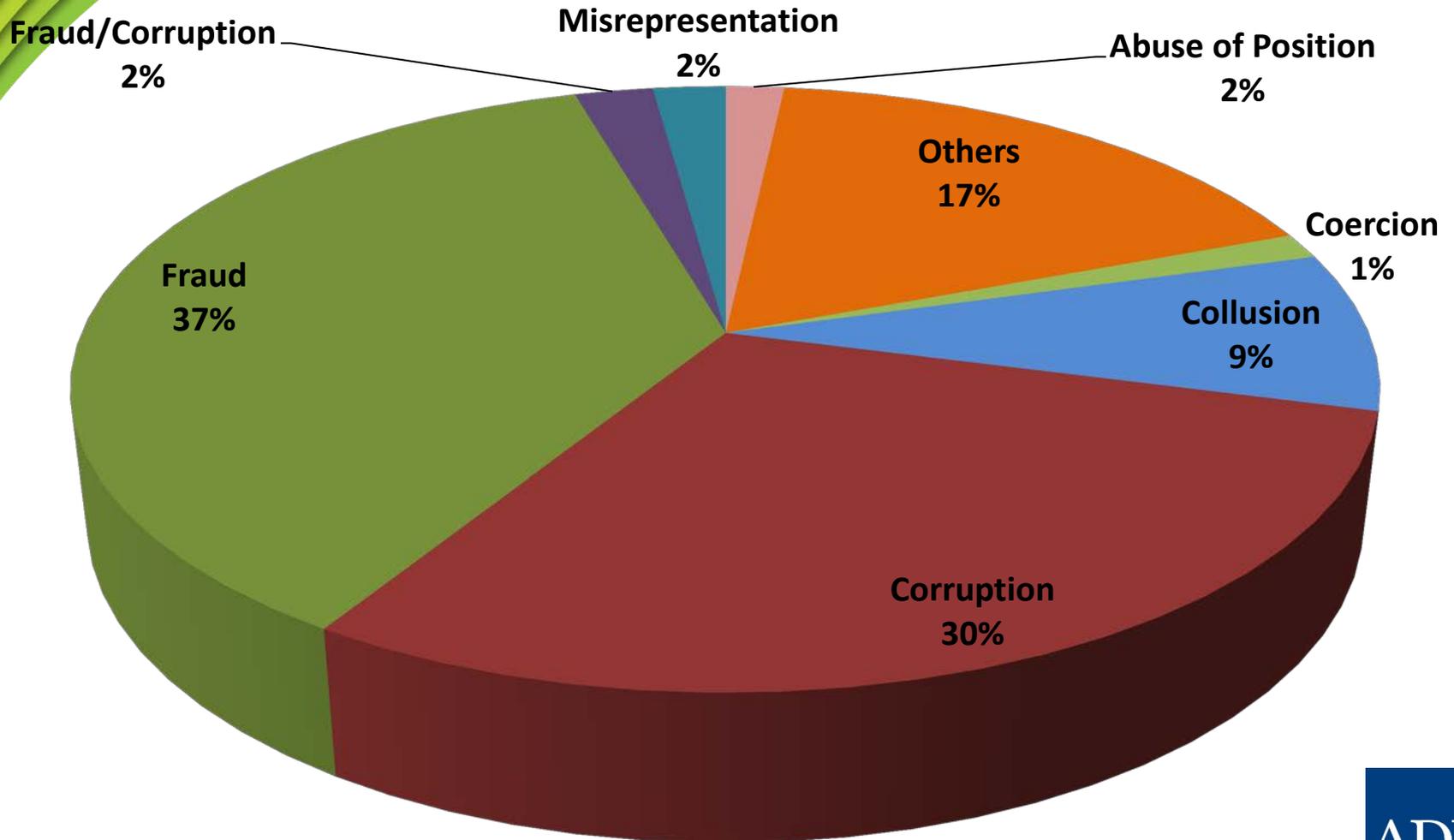
| | |
|---|-----------|
| BHU-related Complaints (1998-Jan 2016) | 11 |
|---|-----------|

| | |
|---|----------|
| BHU-related Investigations (1998-Jan 2016) | 6 |
|---|----------|

Allegations of Integrity Violations

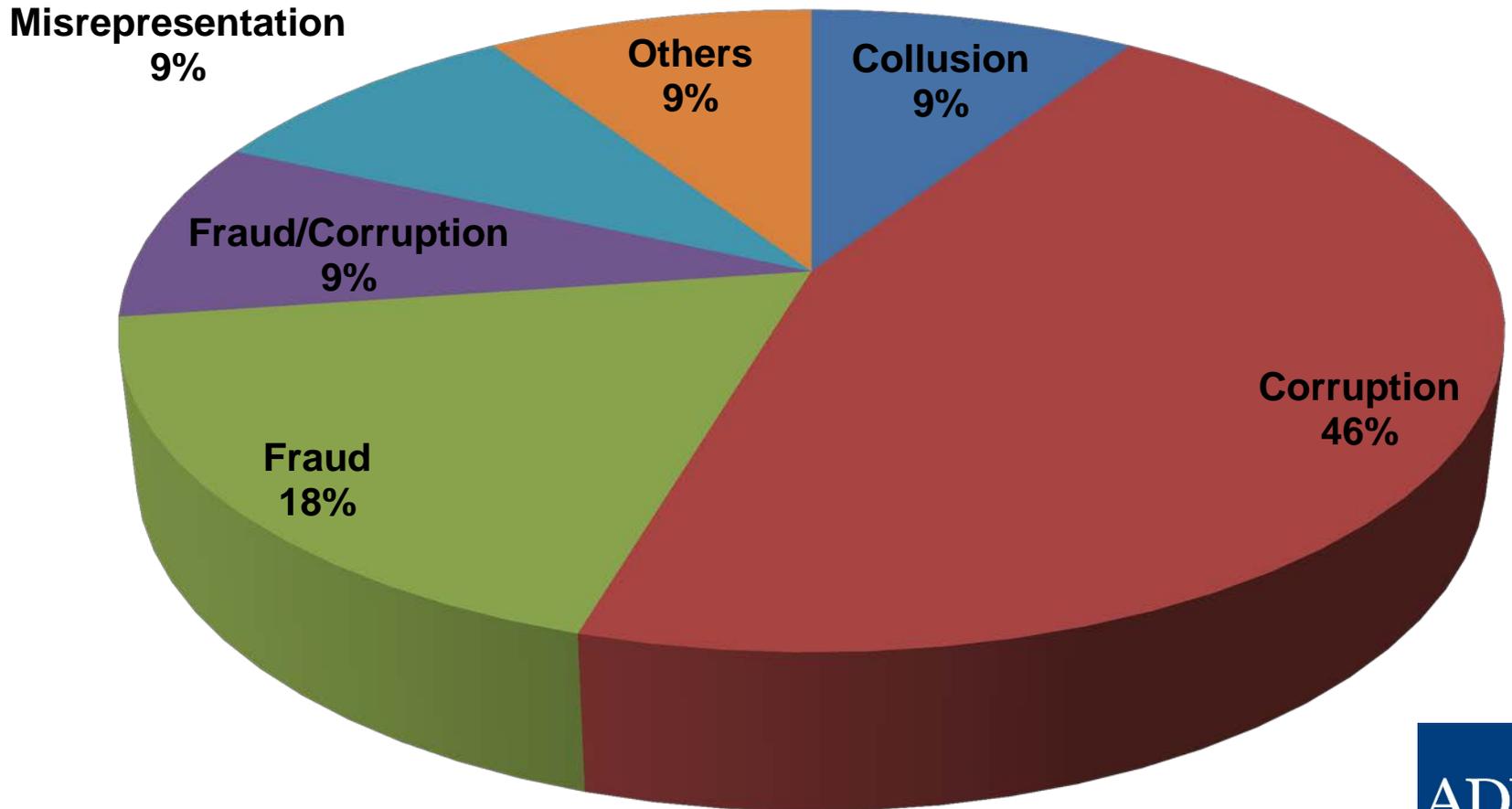
| | Overall | Bhutan |
|-------------------------------|----------------|---------------|
| Sanctioned Firms | 1078 | 1 |
| Sanctioned Individuals | 701 | 1 |

Integrity Violations in ADB-financed Projects (1998-January 2016)



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Integrity Violations in ADB-financed Projects in Bhutan (1998-January 2016)



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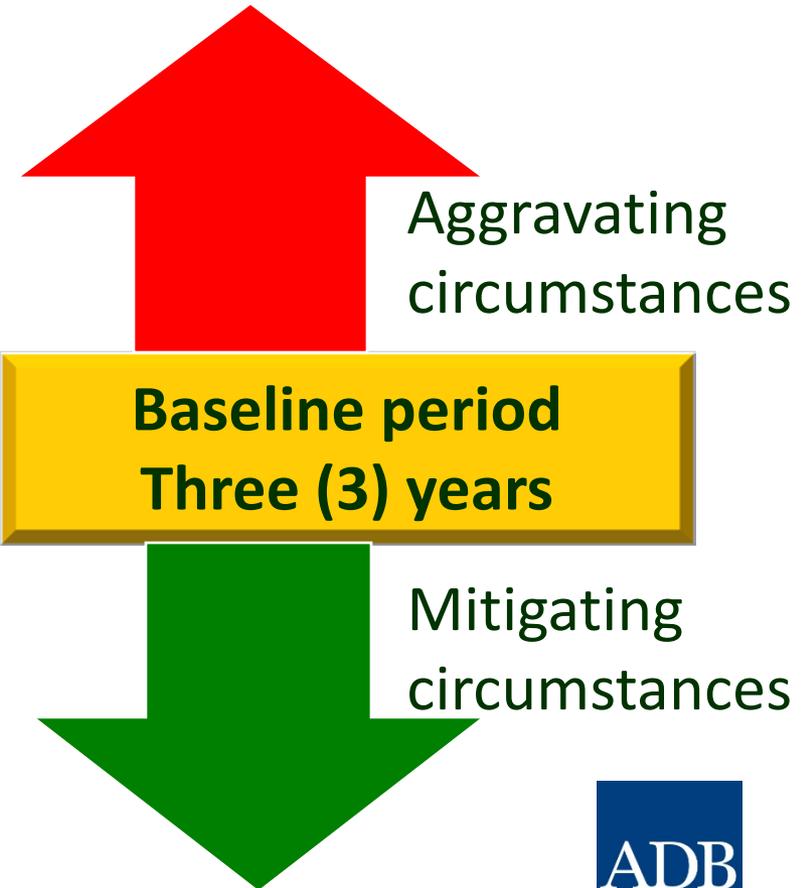
Remedial Actions against Proven Integrity Violations

Temporary Suspension

Caution

Reprimand

Debarment





Current contract

~~Future tenders~~

When under sanction...

Contract variations

NOT
Reinstatement
AUTOMATIC



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Consequences of Integrity Violations in ADB-financed Projects

- Sanctions of firms or individuals
- Suspension of the loan or grant allocations
- Termination of loan or grant allocations
- Return of misused loan or grant resources back to ADB
- Changes of administrative arrangements
- Request to investigate or change government staff

ANTICORRUPTION AND INTEGRITY

Main

Overview

Report Fraud or Corruption

Advisories

Integrity Due Diligence

Investigations

Sanctions

Project Procurement-Related Reviews

Activities

News

Articles

Publications

Contacts

[Home](#) > [Anticorruption and Integrity](#) >

Anticorruption and Integrity

In the Spotlight



Integrated Coastal Resources Management Project: Follow-up Review Report

The follow-up review report presents the results of OAI's assessment and validation of the actions taken by the executing agency to implement PPRR recommendations.



Fighting Fraud and Corruption in ADB Projects

The **Office of Anticorruption and Integrity (OAI)** is the designated focal point of contact for allegations of fraud or corruption pertaining to ADB-related activities or staff members.

OAI's mandate is underpinned by ADB's zero tolerance for corruption. It is aligned with ADB's broader commitment to combat corruption and improve governance as a core

Report Fraud and Corruption

[✉ integrity@adb.org](mailto:integrity@adb.org)

[✉ anticorruption@adb.org](mailto:anticorruption@adb.org)

[☎ +63 2 632 5004](tel:+6326325004)

[☎ +63 2 636 2152](tel:+6326362152)

| | | |
|-----------------------|---------------------|-------------------|
| Second debarments | up to indefinite | up to 10 years |
| Subsequent debarments | up to indefinite | up to 20 years |

Sanctions list

- Complete sanctions list of ADB [authorized users only]
Full list of entities that ADB sanctioned (includes published list)
- Published sanctions list
Sanctions violations, second and subsequent violations, debarred entities who are uncontactable, cross debarred entities
- Cross debarred entities
Firms and individuals debarred by other institutions that ADB cross debarred
- Case summaries
Synopsis of ADB cases involving debarred entities

Sanctions lists of other multilateral development banks (MDBs):

- African Development Bank
- European Bank for Reconstruction and Development
- Inter-American Development Bank
- World Bank



Anticorruption and Integrity

- Homepage
- Published List Only
- REQUIRED DUE DILIGENCE CHECKS**
- Complete List (Login required*)
- RELATED LINKS**
- ADB Anticorruption Homepage
- Anticorruption FAQs

Anticorruption Sanction Lists

Updated: 23 January 2016

The following page lists the entities sanctioned by the Asian Development Bank (ADB) and also those cross-debarred by ADB from other Multinational Development Banks (MDBs).

These entities, and the entities in the full sanctions list, are not eligible to participate in ADB-financed or supported projects and activities involving the specified anticorruption policy:

- **Complete list (authorized users only*)** : Initial violations and the published list
- **Published list** : Sanctions violations while ineligible; Second and subsequent violations; debarred entities who are uncontactable; cross-referenced entities

Application: A sanctioned firm's initial violations include the firm, its employees, officers of a firm, and its subsidiaries and contractual employees of the firm. Sanctioned individuals are accessible to the general public and completely disassociate themselves from the firm.

indefinite. Reinstatement is not automatic; therefore, individuals remain on the list beyond the indicated lapse of time for ADB-financed or supported activities.

Contract variations require the approval of Office of Contract Administration (OCA) (including contract amendments, variations and addendums) to contracts involving debarred entities.

Policy or procedures.

Government agencies who have demonstrated a need-to-know;

EAs are encouraged to request passwords to access from ADB

International organizations, bilateral and Government partners

EAs/IAs Access to ADB's Sanctions List

| | |
|----------------------------------|------------|
| Total EAs/IAs with Access | 119 |
| BHU EAs/IAs with Access | 8 |