# Expert System for Strategic Evaluation of Wastewater Technologies and Sewer Networks WaMEX

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Institute for Postgraduate Education, Training and Capacity Building in Water, Environment and Infrastructure

### **UNESCO-IHE**



**1955 Origins** - Her Excellency Begum Ra'ana Liaquat Ali Khan, Bangladesh Ambassador to the Netherlands requests transfer of Dutch expertise in Hydraulic Engineering to Bangladesh

**1957 Birth** - IHE established as an International Education Institute

**1991 Transformation** - IHE Delft becomes an independent Foundation

**2003 Operational** - UNESCO-IHE Institute for Water Education becomes operational

## Staff and Outputs



160 Staff (80 Academic, 80 Support) **300 Guest Faculty** 

### **4 Water and Environment Academic Programmes:**

- 222 MEng participants
- 92 MSc participants ) From about 80 countries

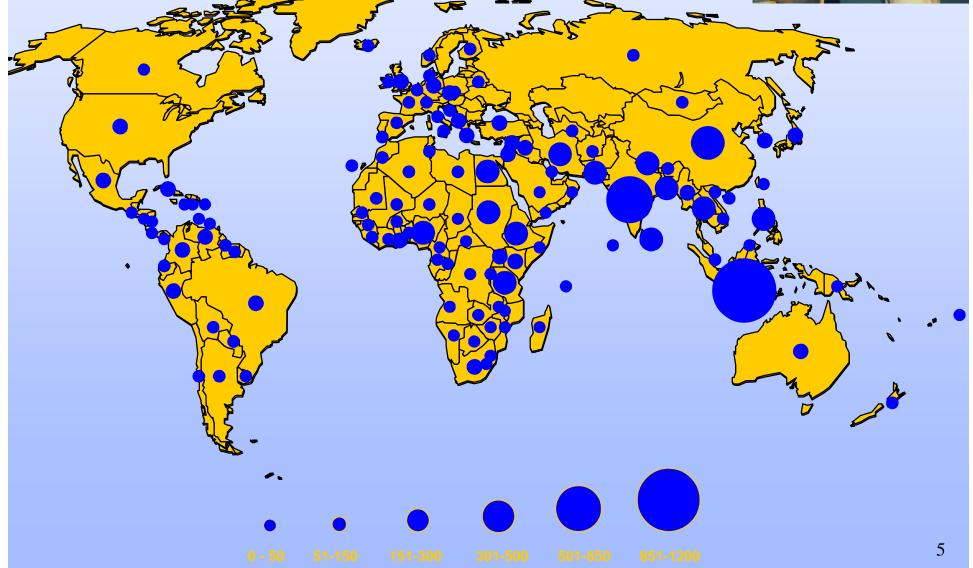
- 53 PhD fellows
- 250 Short Course Participants

**R&D: 170 Publications / year** 

200 Projects 2012 (Capacity Building, research, tailor made training, advisory services)

### Connecting the Community of 14,000 Alumni





# Outline

- Introduction
- Development to date
  - Treatment technologies
- Further work
  - Scenario assessment
  - Integrated assessment

## Introduction – Project Background

- ADB-DMC Sanitation Dialog 3-5 March 2009 identified the following focus points:
  - institutions and policies,
  - technology options,
  - financing options,
  - information,
  - education and communication, and
  - economics of sanitation
- As one of the knowledge products, the need for an Expert System has emerged with the aim to assist in the evaluation of wastewater management options
- UNESCO-IHE teamed up with an Asian/Australian partners to undertake the above work.

### Objectives of the development work

- To develop a tool that enable decision makers to carry out "what-if-scenario" at a higher planning (or scoping) level:
  - Evaluation in relation to effluent and influent characteristics;
  - Preliminary cost estimates of WWT technologies and sewer reticulation works
- To develop two separate modules:
  - Wastewater technologies evaluation module;
  - Sewer network evaluation module;

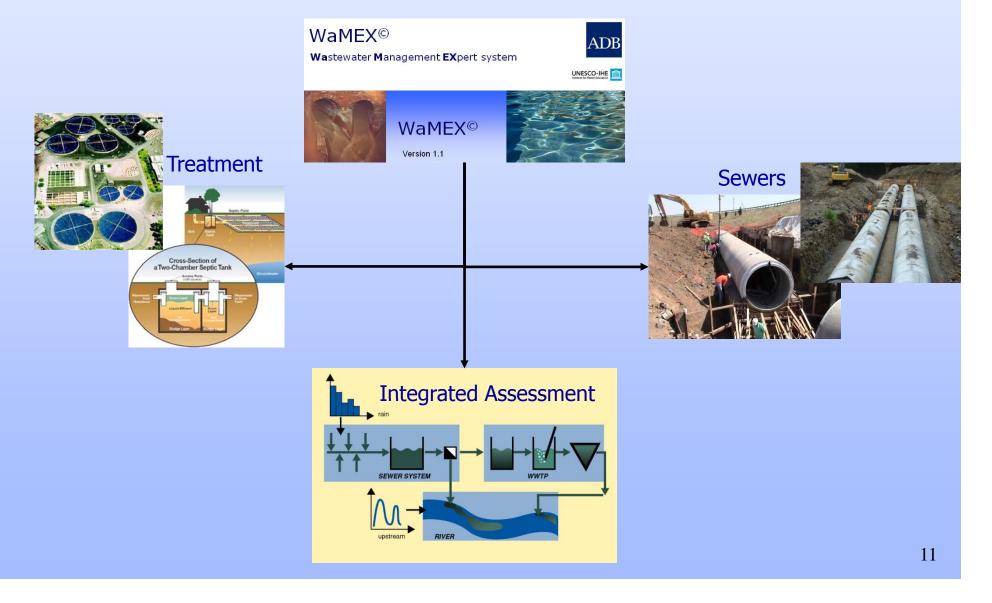
### Work to date

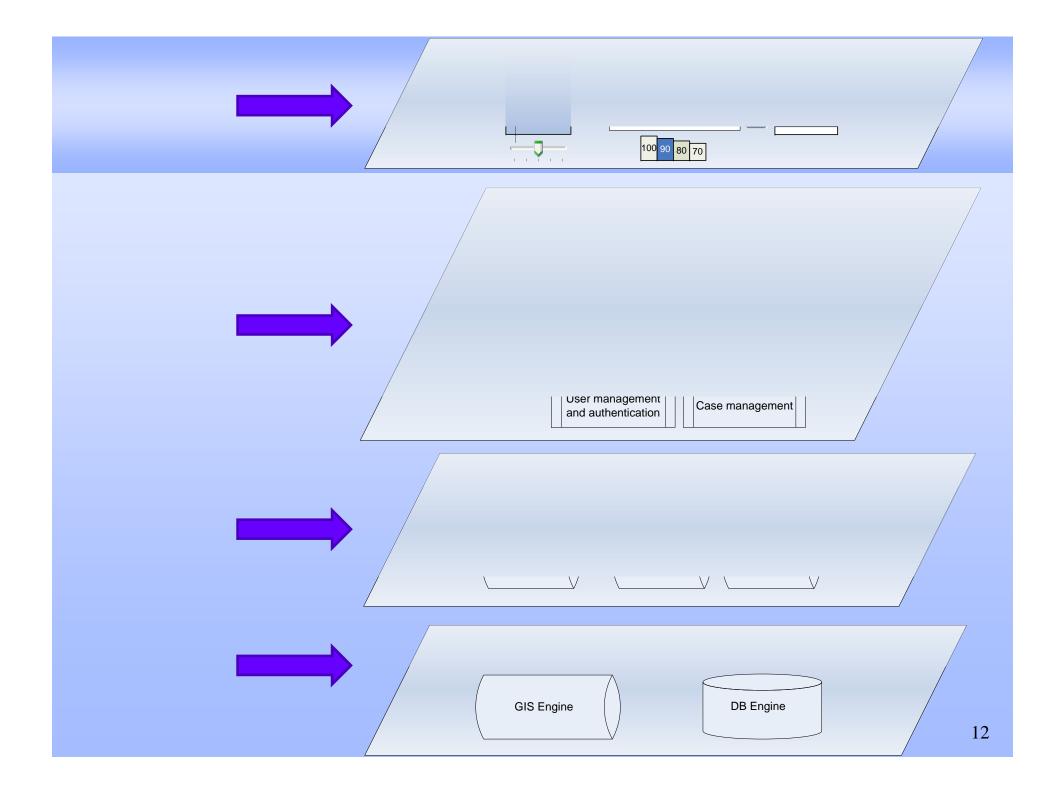
- Some real-world tests have confirmed that the tool is useful but further refinements (i.e., technologies, costs, standards, correction factors for local conditions, functionalities, scenario builder) are ongoing;
- Developments are planned through 3 phases (2<sup>nd</sup> phase is nearly complete);
- Important points:
  - The tool is not meant for detailed engineering design purposes!
  - Current technologies are sewer-based with minor septage;
  - No tool can produce estimates that anticipate all possibilities of unplanned events and unanticipated local factors that every real-world job entails (strengths vs. limitations)!

### The team and external inputs

- UNESCCO-IHE's HI & Sanitation core teamed up with Beijing Richway Tech & Development Co. Ltd and Worley Parsons Ltd.
- Throughout the project comments were received from ADB, World Bank, IWA and other international experts in the field.

# DSS/ES functional illustration Code Name: WaMEX

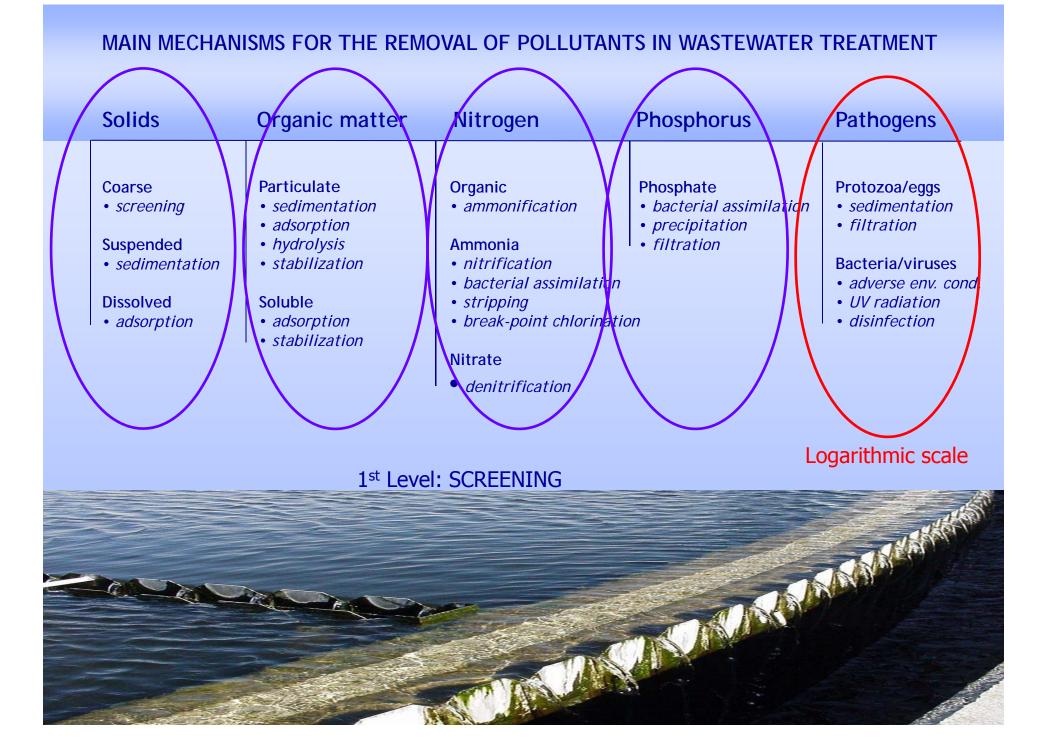




### **TECHNOLOGY SELECTION MODULE**

### Wastewater treatment technologies

- Pollutants
- Treatment methods
- Technology selection criteria
- Von Sperling's book and other references
- Demonstration of the module



#### TREATMENT OPERATIONS, PROCESSES AND SYSTEMS FREQUENTLY USED FOR THE REMOVAL OF POLLUTANTS FROM DOMESTIC SEWAGE

Solids	Organic matter	Nitrogen	Phosphorus	Pathogens
<ul> <li>Screening</li> <li>Grit removal</li> <li>Sedimentation</li> <li>Land disposal</li> <li>Membrane filtration</li> </ul>	<ul> <li>Stabilization ponds</li> <li>Land disposal</li> <li>Anaerobic reactors</li> <li>Activated sludge</li> <li>Aerobic biofilm systems</li> </ul>	<ul> <li>Nitrification/ denitrifictaion</li> <li>Maturation/ high-rate ponds</li> <li>Land disposal</li> <li>Physical-chemical p.</li> </ul>	<ul> <li>EBPR</li> <li>Maturation/ high-rate ponds</li> <li>Physical-chemical p.</li> </ul>	<ul> <li>Maturation ponds</li> <li>Land disposal</li> <li>Disinfection</li> <li>Membranes</li> </ul>



### Wastewater treatment technologies

Currently: only technologies for sewer-based systems with minor contribution from septage Phase 3: additional technologies for fecal sludge management (septage)

#### PRIMARY TREATMENT

- Primary treatment (septic tanks)
- Conventional primary treatment
- Advanced primary treatment (chemically enhanced)

#### STABILIZATION POND SYSTEMS

- Facultative pond
- Anaerobic pond + facultative pond
- Facultative aerated lagoon
- Complete mixed aerated lagoon + sedimentation pond
- Anaerobic pond + facultative pond + maturation pond
- Anaerobic pond + facultative pond + high rate pond
- Anaerobic pond + facultative pond + algae removal

#### **AEROBIC BIOFILM REACTORS**

- Low rate trickling filter
- High rate trickling filter
- Submerged aerated biofilter with nitrification
- Submerged aerated biofilter with biological N removal
- Rotating biological contactors

#### ACTIVATED SLUDGE SYSTEMS

- Conventional activated sludge
- Activated sludge + extended aeration
- Sequencing batch reactor (extended aeration)
- Conventional activated sludge with N removal
- Conventional activated sludge with P/N removal
- Conventional activated sludge + tertiary filtration

#### LAND DISPOSAL SYSTEMS

- Slow rate treatment
- Rapid infiltration
- Overland flow
- Constructed wetlands

#### ANAEROBIC REACTORS

- Septic tank + anaerobic filter
- Septic tank + infiltration
- UASB reactor
- UASB reactor + activated sludge
- UASB reactor +submerged aerated biofilter
- UASB reactor + anaerobic filter
- UASB reactor + high rate trickling filter
- UASB reactor + dissolved air flotation
- UASB reactor + maturation ponds
- UASB reactor + facultative aerated ponds
- UASB reactor + facul. aerated lagoon + sediment pond
- UASB + overland flow

## **TECHNOLOGY SELECTION METHODS**

#### 2<sup>nd</sup> Level: RANKING

Range 0-10

- descriptive documents
- checklists
- selection matrices
- algorithms

Technologies

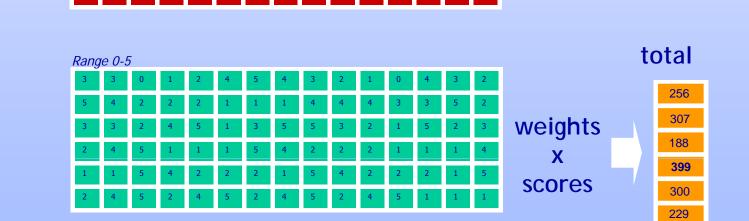
Scores

models



Selection criteria

**Weights** 



## Criteria for wastewater technology selection

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- Climate
- Hydrology
- Footprint size
- Land availability

### Health and Safety

- Odour
- Noise
- Aerosols
- Insects & worms
- Occupational safety

#### Social aspects

### Processes

- Process applicability
- Removal efficiency
- Resistance/robustness
- Sludge generation

**Economics** 

Chemicals

Personnel

Land costs

• Energy

•

Sludge handling/processing
 Inconvenience

Construction costs

• Water efficiency/losses

#### **Environment**

- Soil pollution
- Air pollution
- Water resources pollution
- Devaluation of area

#### **Operation & Maintenance**

- Operational attention
- Reliability

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- Complexity/Simplicity
- Compatibility

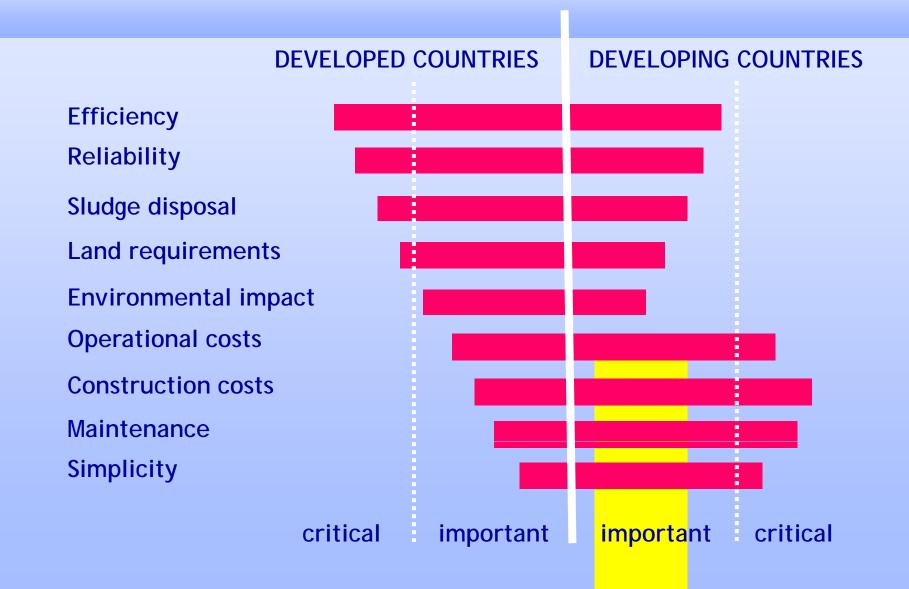
#### Institutional aspects

Other resources

### Political aspects

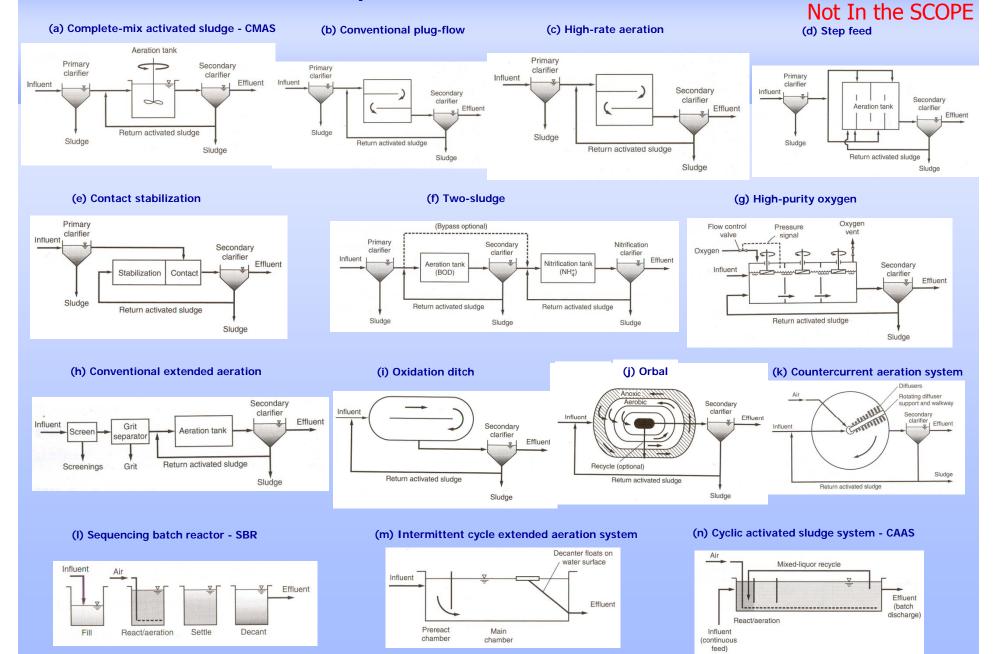
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#### IMPORTANCE OF CRITERIA FOR TECHNOLOGY SELECTION: Perspective of developed and developing countries

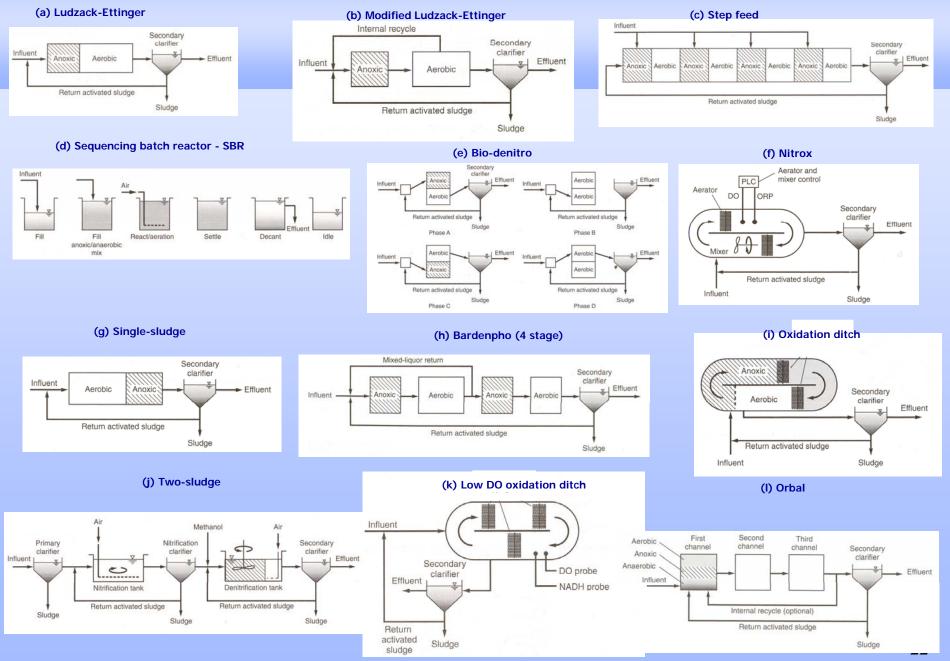


### **COD removal - nitrification plants**

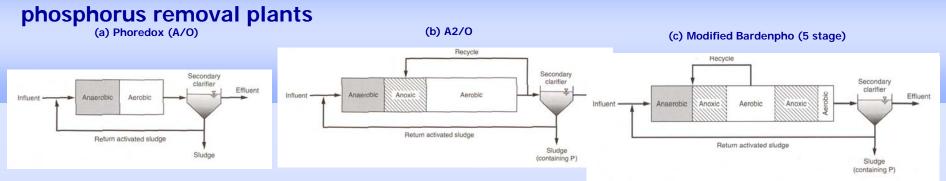
#### 3<sup>rd</sup> Level: Selection at the individual technology level



### **COD** and N removal plants – nitrification and denifitrication plants



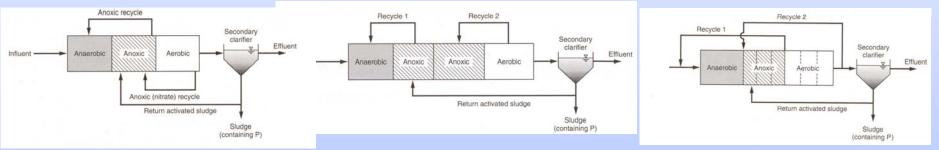
### COD, N and P removal plants - nitrification and denifitrication and phosphorus removal plants

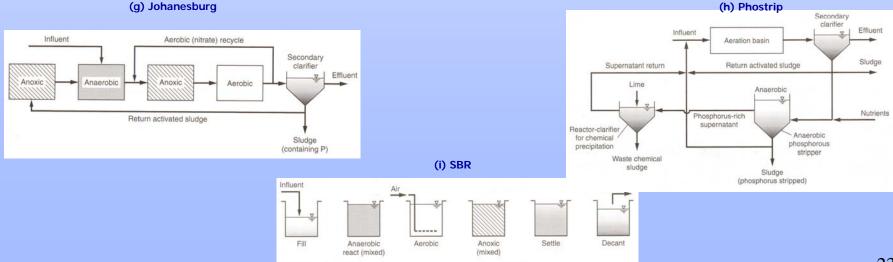


(d) UCT

(e) Modified UCT

(f) VIP





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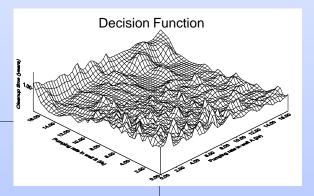
### **TECHNOLOGY SELECTION MODULE - DEMO**

Selection of technologies in relation to:

- Different Effluent Standards
- Different Wastewater Characteristics

## WaMEX functional illustration – Reticulation

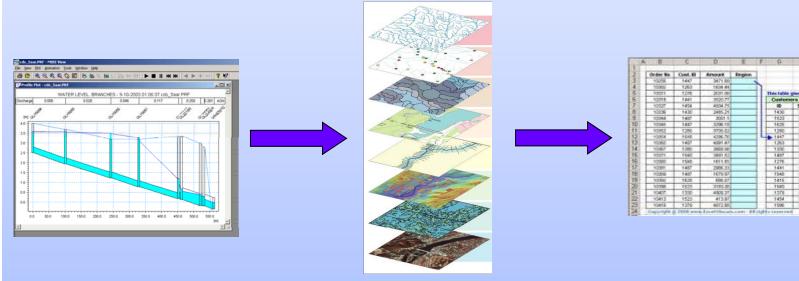




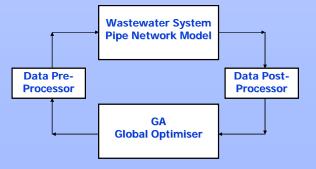


### Two approaches to sewer analysis

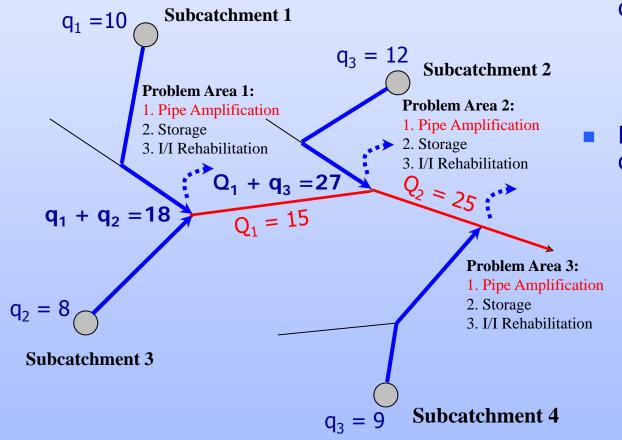
Simplified (a library of model runs and the lookup table),
 Off line - dynamic simulations with optimisation



Complex (real time computations)
 On line - dynamic simulations
 with optimisation

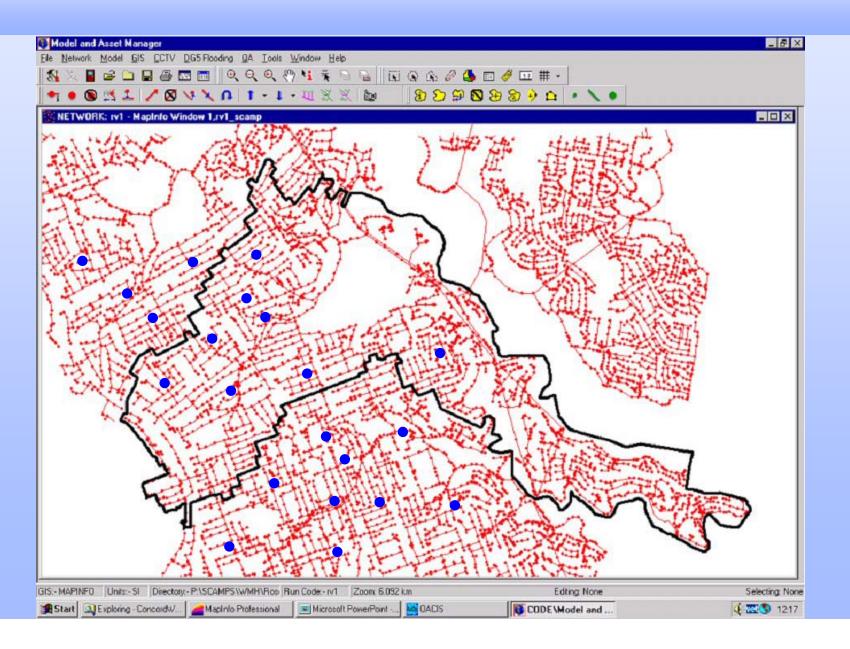


## Sewer Rehabilitation/Design Problem - Illustration



- Single-criterion setting of an optimisation problem:
  - total cost of remedial works
- Multi-criterial setting of an optimisation problem:
  - total cost of remedial works
  - total system surcharge/overflow volume, etc.
  - Pipe condition

### A real-life problem – hundreds/thousands of pipes!!



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### Two approaches to sewer analysis

- Steady state analysis approach (kinematic wave or other simplified calculation)
- Dynamic analysis approach (full dynamic wave computation)

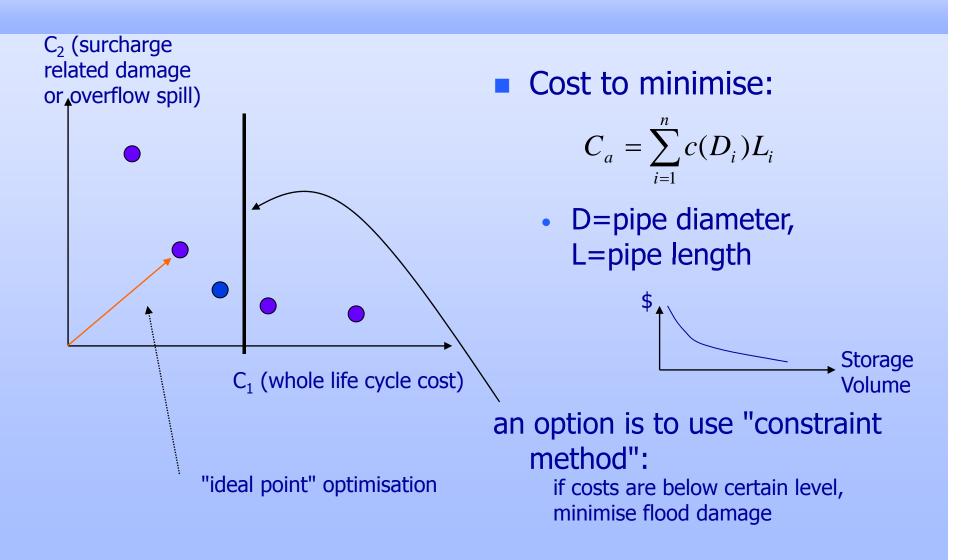
# Optimisation in Sewer System Rehabilitation/Design

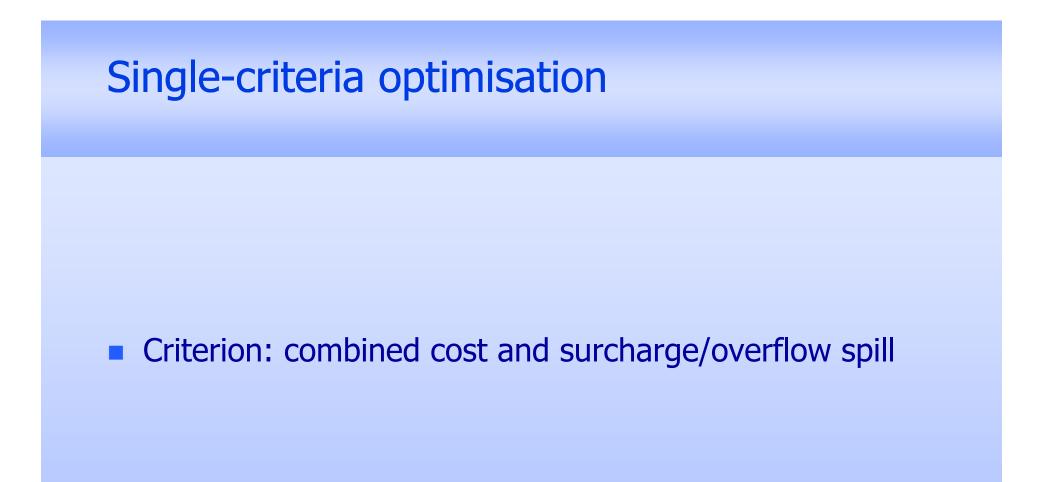
- System dynamics
- Multi-objective
- Objective functions are hard to express analytically they are computed by a modelling system
- Complex constraints
- Infinity of solutions optimisation requires location of a global minimum

### **Global optimisation techniques**

- Set (space) covering techniques;
- Random search methods, including evolutionary and genetic algorithms
- Methods based on multiple local searches (multistart) using clustering;
- Other methods (simulated annealing, trajectory techniques, tunneling approach, analysis methods based on a stochastic model of the objective function, etc.).

## Random search method: Genetic Algorithms



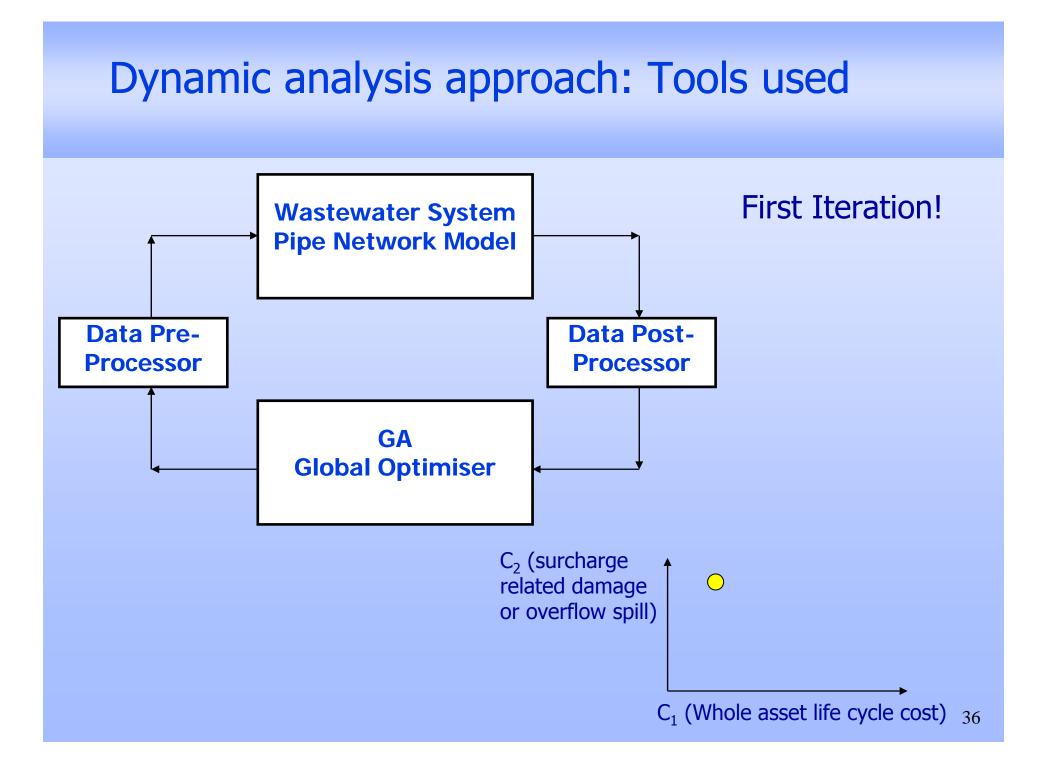


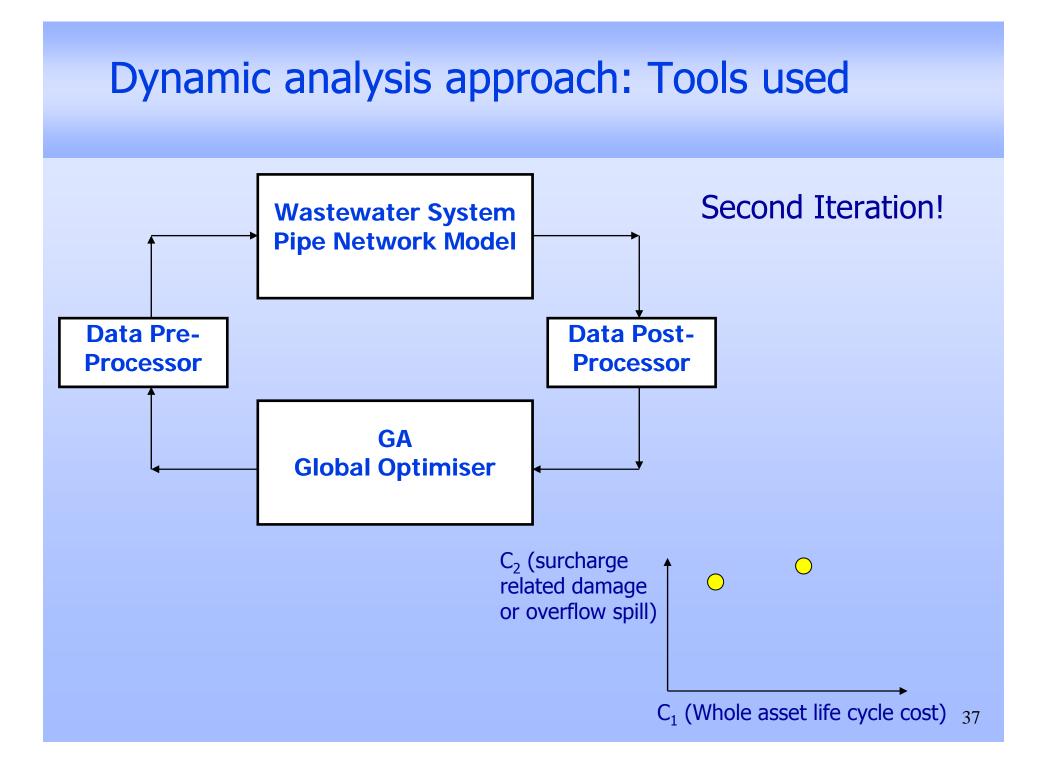
# **Multi-criterial optimisation**

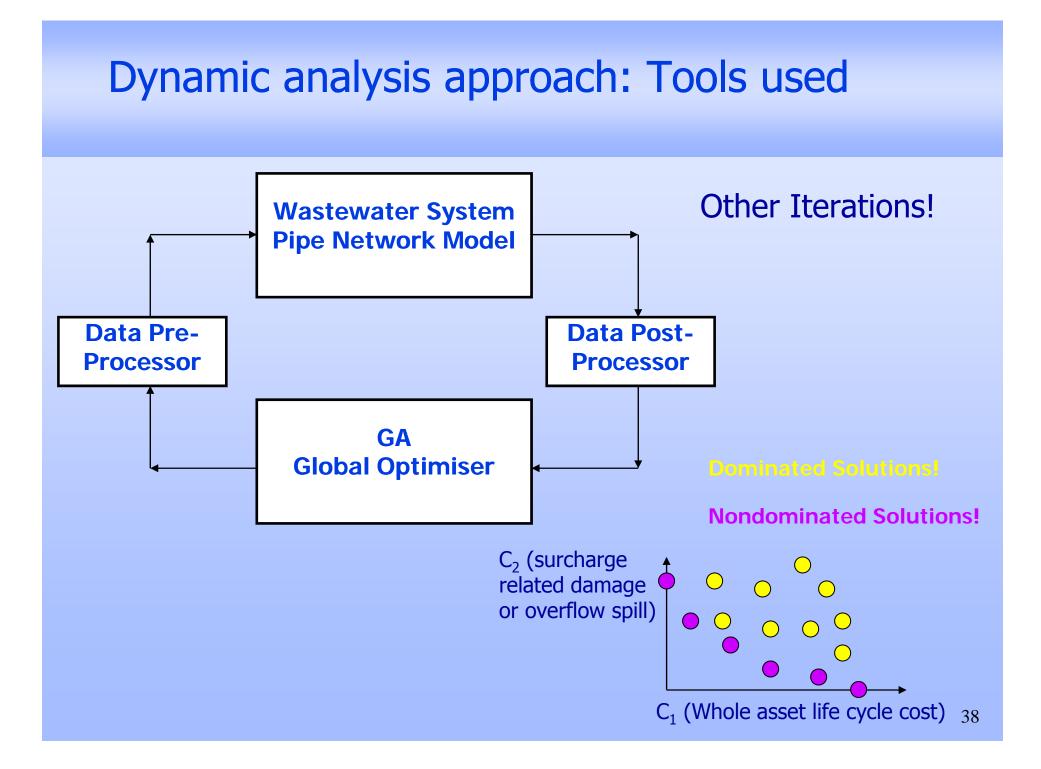
- Two criteria considered:
  - C1\_nor = costs (normalised)
  - C2\_nor = surcharge/overflow-related damage (normalised)

# Genetic algorithm (GA)

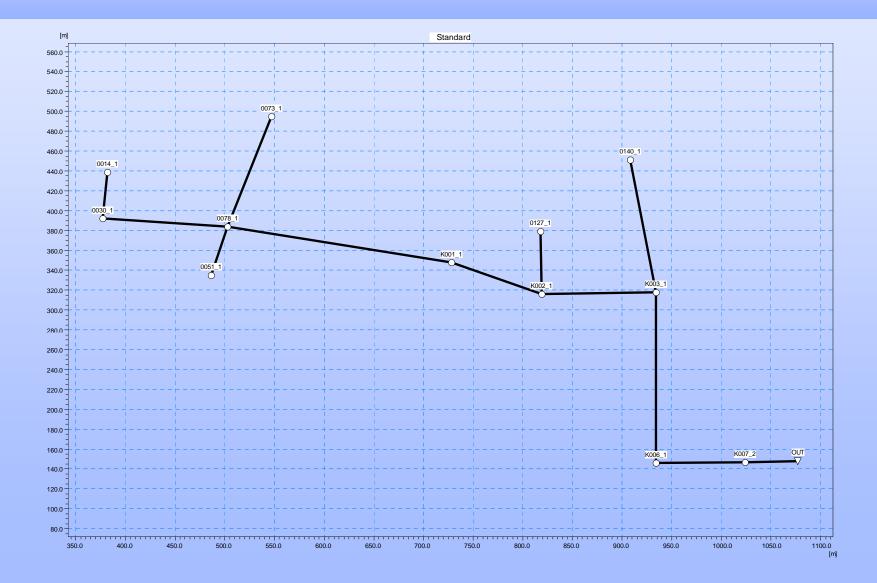
- Main idea: try to emulate the natural evolution
- Terminology is borrowed from natural genetics
- Genetic operators:
  - recombination (to combine good points),
  - mutation (to generate new points),
  - selection (to select points for the next population)
- Evolution:
  - iterative generation of organisms (points) and
  - death (removal) of the unfit ones (with low function value)
- Non-dominated Sorting Genetic Algorithm (NSGA-II)





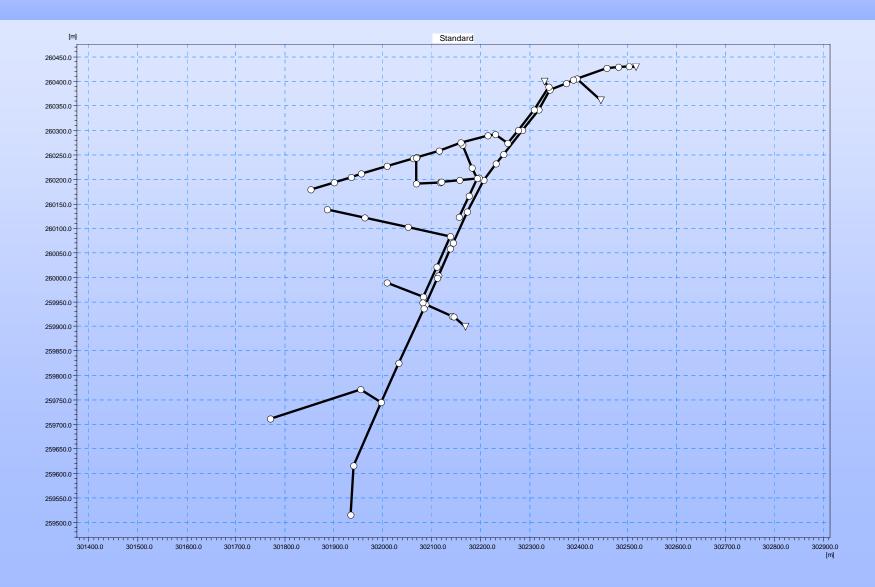


# Case study results – a network of 12 pipes

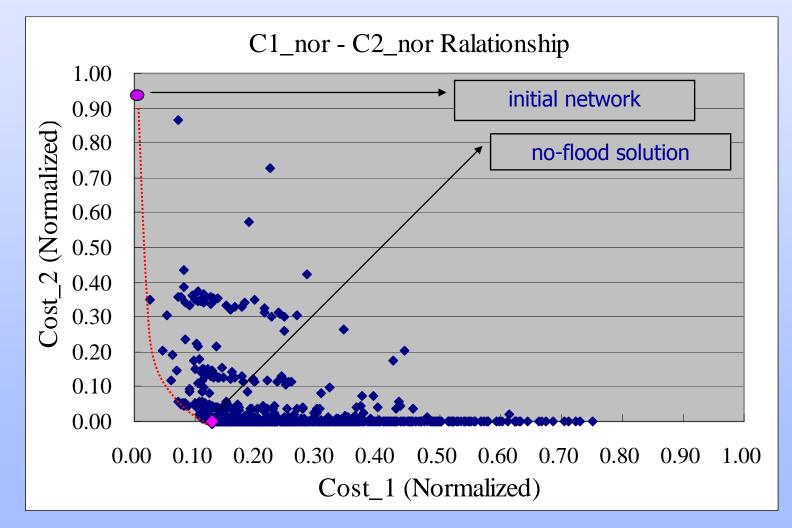


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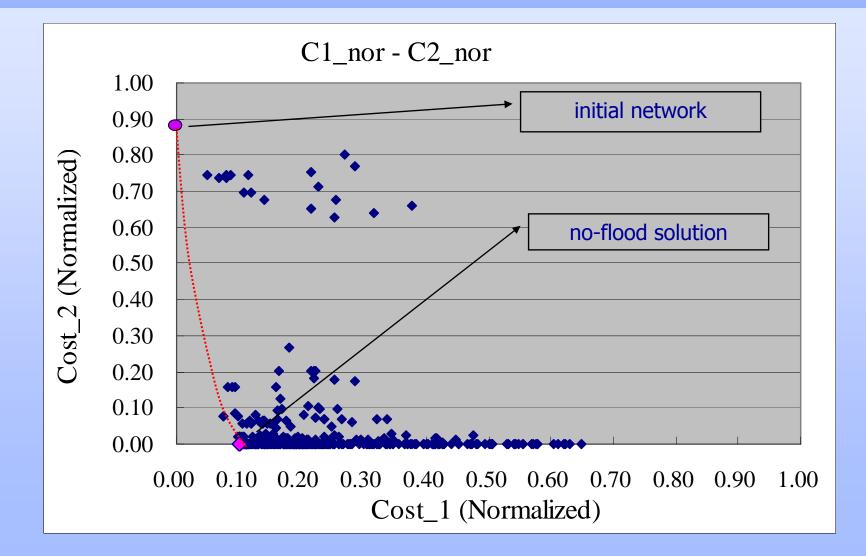
# Case study results – a network of 63 pipes



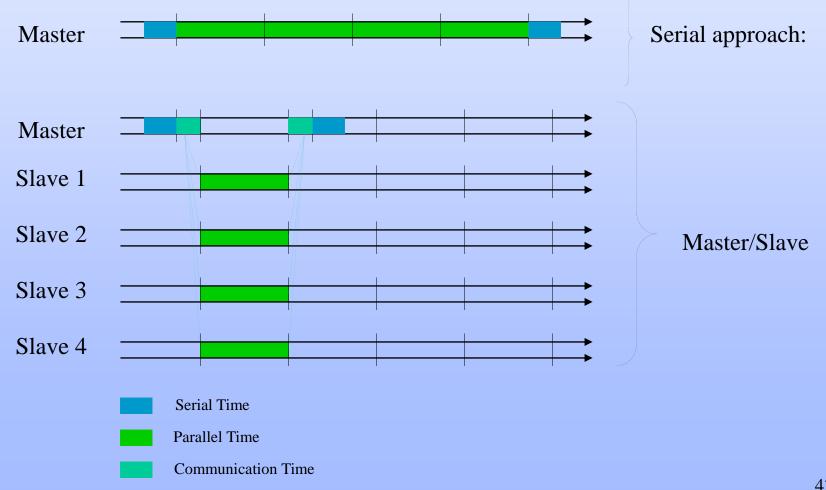
## Two-criteria optimisation with ACCO and GA C1=costs, C2=surcharge/overflow-related damage, 12 pipes



### Two-criteria optimisation with ACCO and GA C1=costs, C2=surchage-related damage, 63 pipes

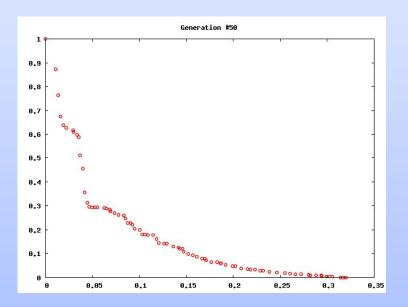


# A parallel computing platform has been developed for larger networks



# A parallel computing platform has been developed for larger networks

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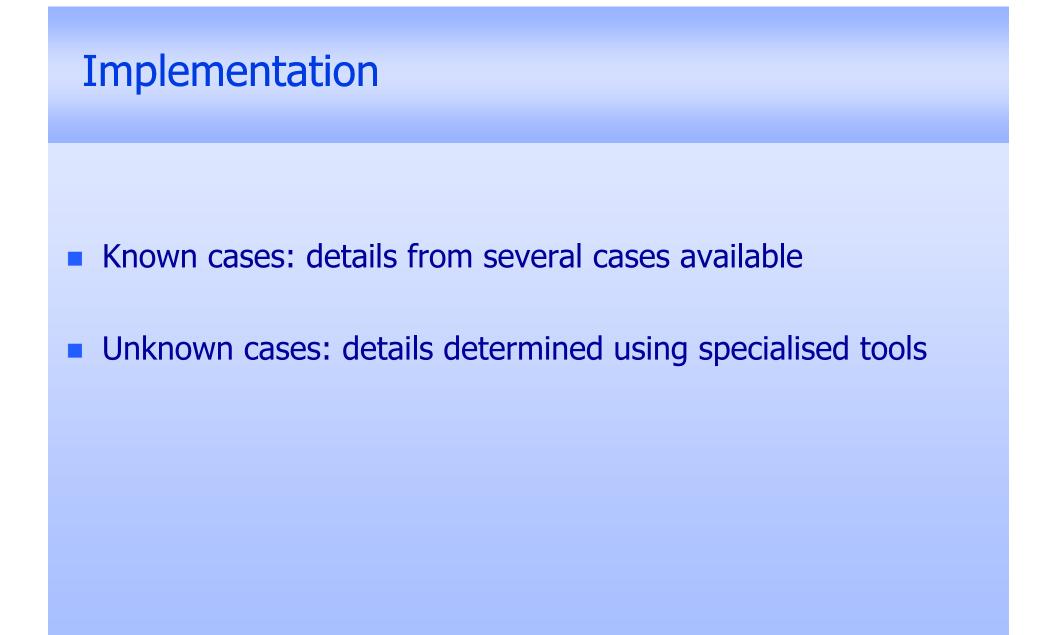


# Implementation



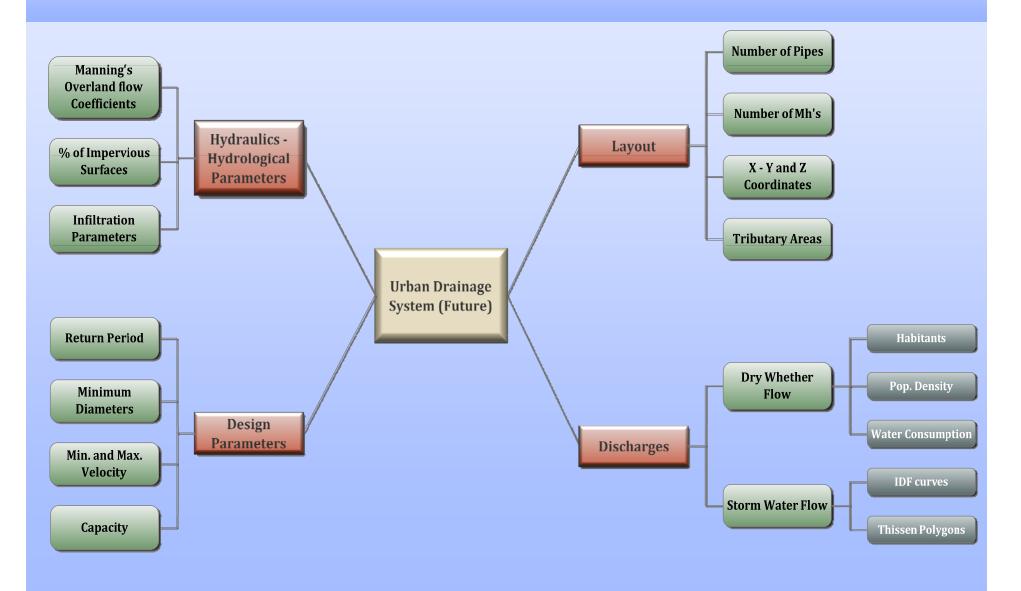
 Conventional: separate and combined

Simplified





### **Design Parameters for Sewer Reticulation**



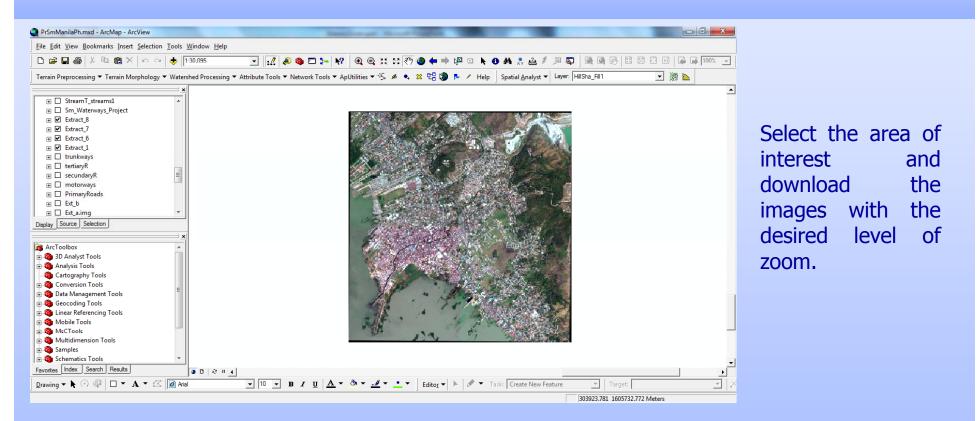


### Hydrologic / Hydraulic Parameters

Runoff Extractor Ver. B1.0											
Locate and Center Map	Address	JNESCO-IHE	Get Images								
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### Hydrologic / Hydraulic Parameters ...cont



The images are decomposed in the RGB bands and a fuzzy classification method is used to estimate land classes and the Parameters %pervious, %impervious, npervious, n-impervious.



### **Design Parameters**

Depend on local conditions and regulations

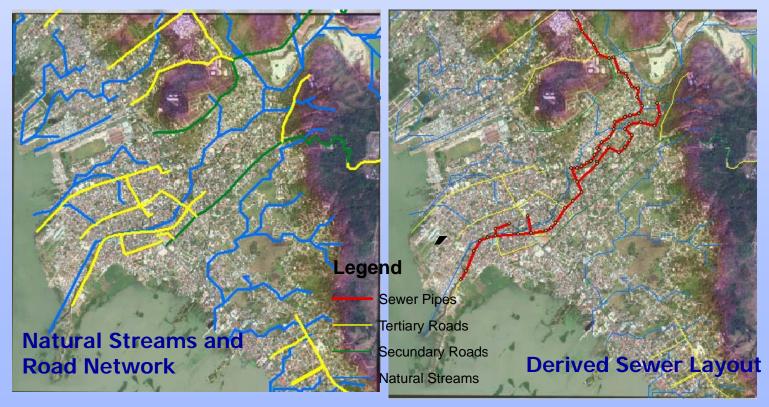
Return Period (The level of risk that is managed)
Design Period (The quality of the civil works)
Minimum Diameter (Security Factors)
Minimum/Maximum Velocity (Self cleaning, water quality considerations, Hazardous gases (security), maintenance, etc).



### Layout of the System

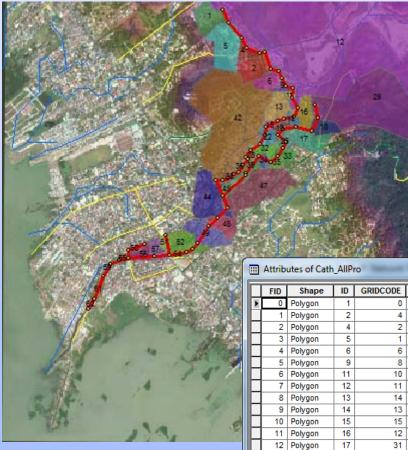
Based on the local topography. The RASTER DTM (30 m) for the previously selected area is downloaded and processed (hydrologically corrected).

The road network is considered to lay down the main trunks or drains. (Information was locally acquired or from internet i.e. Google maps, Open street maps, Derived from the fuzzy classification algorithm)





### **Catchment Delineation**



ArcHydro Tools are used to process the catchment delineation. Based on the layout and flow direction. The areas are processed and the required parameters extracted to the attribute table.

Attributes of Cath_AllPro													×
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	1	Polygon	2	4	4.38313	5	0.35407	0.002489	0.648076	0.19613	943.78302	220	
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	3	Polygon	5	1	7.56983	3	0.373198	0.001885	0.685351	0.150087	1165.59	259.77701	
	4	Polygon	6	6	2.794	9	0.367707	0.001843	0.677127	0.147692	807.70801	138.367	
	5	Polygon	9	8	0.916558	10	0.326267	0.002746	0.625661	0.21969	510.10001	120	
	6	Polygon	11	10	0.561479	12	0.294822	0.003348	0.591339	0.269786	343.72101	80	
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	8	Polygon	13	14	3.72711	16	0.313216	0.003113	0.606589	0.24861	763.64502	195.22701	
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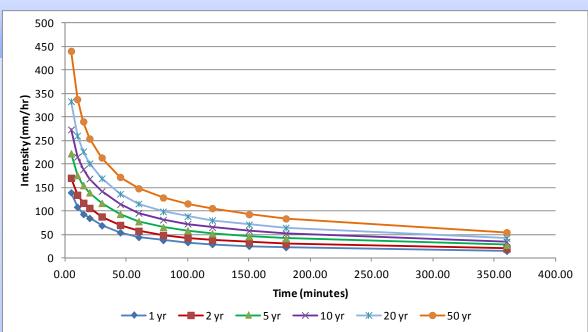
### **Discharges**

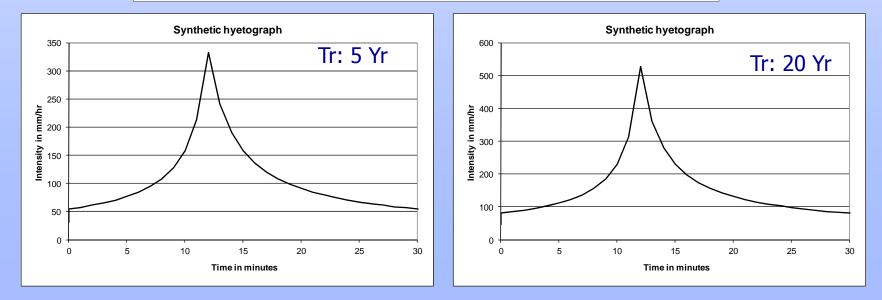
Dry Wheatear Flow: Is computed based on the population density (150, 500 and 1700 inh/Ha ) and the catchment area. A per capita consumption of 150 l/inh/day is assumed. The average flows per catchment are computed and used as an input for the model.

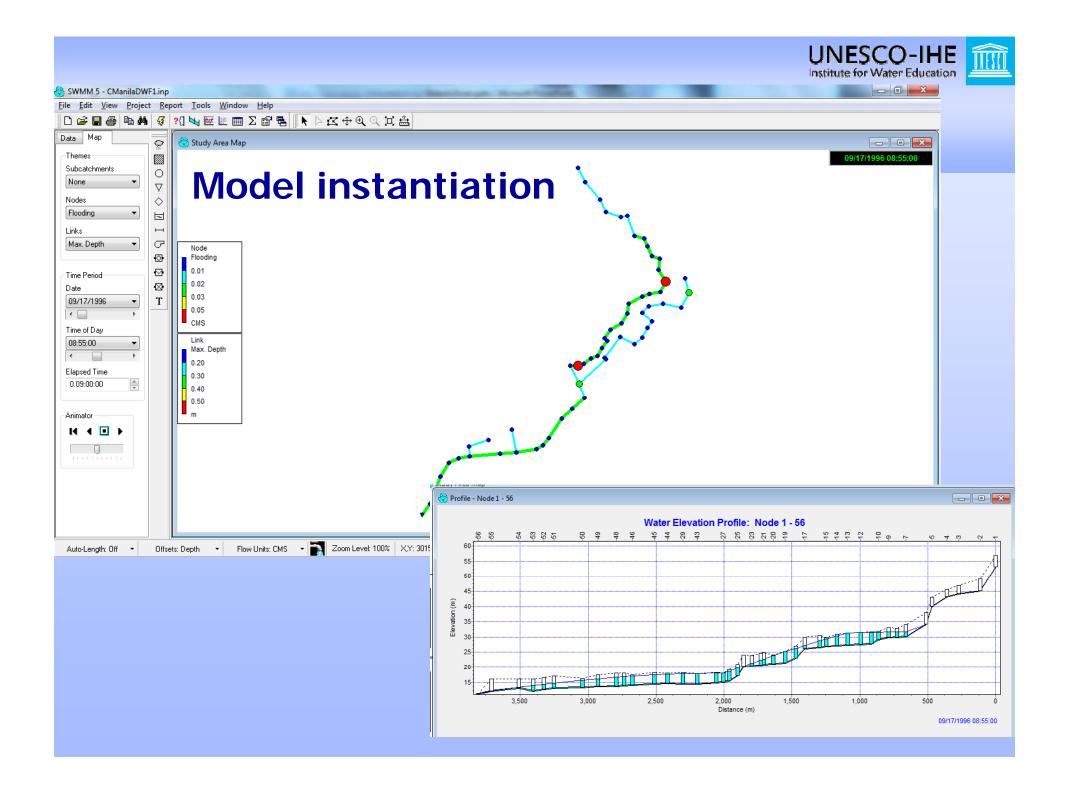
Wet Weather Flow is computed using the information from a nearby weather station. For the case study illustrated, the Naia Station (Airport) data is used. The station is located approx 12.5 Km from the catchment in Manila. IDF curves for this station are extracted from the FRIEND report, UNESCO-IHP 2008.

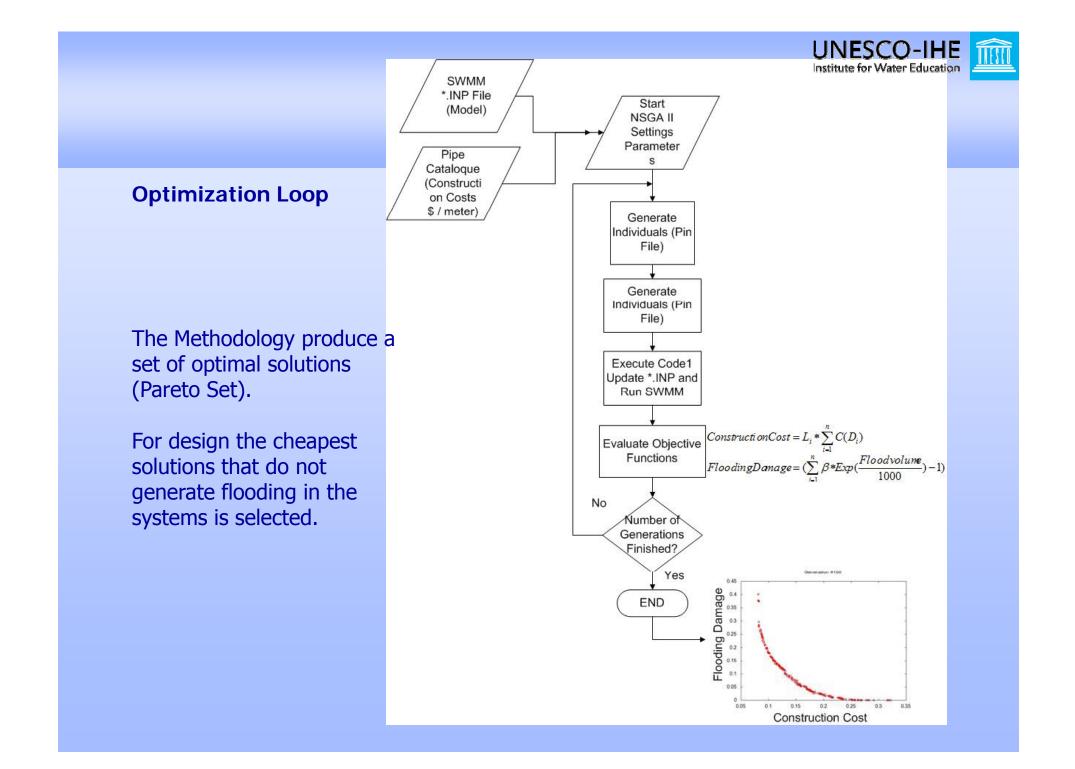


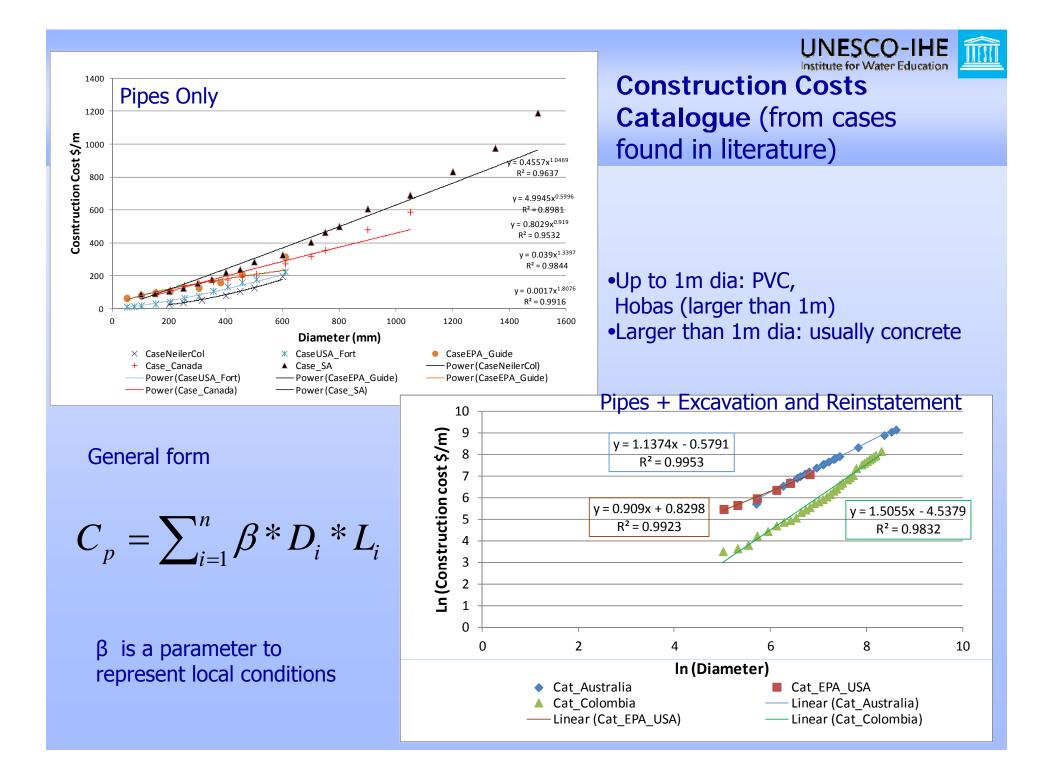
#### **IDF Curves for Naia Station - Manila**













#### Summary Table for each model

	Design Criteria									Input Data								OutPut Data					
Combination	Minimu	Minimu	Velocity	Velocity	Per	Design	Design				A	REA = 50 H	la				Total	Pipe D	istributio	n (km)	Costs		
comprise	m	m Depth	Min	Max	Capita	Period	Rainfall	Dens	ity 1: 150 i	nh/Ha	dens	ity 2: 500 ii	nh/Ha	Densi	ity 3: 1700 i	inh/Ha	Flow (Q)	Tipe bisarbarion (km)					
	Diamete	(m)	(m/s)	(m/s)	Consum	(years)	(yr)	S1	S2	S3	s1	s2	s3	s1	s2	s3	(m3/s)	< 500 mm	500-1000	>1000 mm	CI	0&M	otal (NPV
10	225	2	0.75	10	150	20	5	< 3%									2.903	17.5	2.5	0.1	40.00	6.00	46.00
11	225	2	0.75	10	150	20	5		3-10%								3.313	17.5	2.5	0.1	37.60	5.64	43.24
12	2 225	2	0.75	10	150	20	5			> 10%							3.713	17.5	2.5	0.1	36.00	5.40	41.40
13	225	2	0.75	10	150	20	5				< 3%						4.173	17.5	2.5	0.1	52.00	7.80	59.80
14	225	2	0.75	10	150	20	5					3-10%					4.583	17.5	2.5	0.1	48.88	7.33	56.21
19	225	2	0.75	10	150	20	5						> 10%				4.993	17.5	2.5	0.1	46.80	7.02	53.82
16	5 225	2	0.75	10	150	20	5							< 3%			5.928	17.5	2.5	0.1	70.00	10.50	80.50
17	225	2	0.75	10	150	20	5								3-10%		6.338	17.5	2.5	0.1	65.80	9.87	75.67
18	3 225	2	0.75	10	150	20	5									> 10%	6.748	17.5	2.5	0.1	63.00	9.45	72.45
				sign Crite				Input Data								OutPut Data							
Combination	Minimu	Minimu	Velocity	Velocity	Per	Design	Design				AREA = 100 Ha					Total	Pipe Distribution (km)			Costs			
	m	m Depth	Min	Max	Capita	Period	Rainfall		ity 1: 150 i	· ·	dens	ity 2: 500 ii	nh/Ha	Densi	ity 3: 1700 i	inh/Ha	Flow (Q)						
	Diamete	(m)	(m/s)	(m/s)	Consum	(years)	(yr)	S1	S2	S3	s1	s2	s3	s1	s2	s3	(m3/s)			>1000 mm		0&M	otal (NPV
19		2	0.75	10	150	20		< 3%									5.816	30	1.6	1.04	75.00	11.25	86.25
20		2	0.75	10	150	20	5		3-10%								6.636	30	1.6	1.04	70.50	10.58	81.08
21		2	0.75	10	150	20	5			> 10%							7.466	30	1.6	1.04	67.50	10.13	77.63
22		2	0.75	10	150	20	5				< 3%						8.347	30	1.6	1.04	90.00	13.50	103.50
23		2	0.75	10	150	20	5					3-10%					9.177	30	1.6	1.04	84.60	12.69	97.29
24		2	0.75	10	150	20	5						> 10%				10.007	30	1.6	1.04	81.00	12.15	93.15
25		2	0.75	10	150	20	5							< 3%			11.865	30	1.6	1.04	110.00	16.50	126.50
26		2	0.75	10	150	20	5								3-10%		12.685	30	1.6	1.04	103.40	15.51	118.91
27	225	2	0.75	10	150	20	5									> 10%	13.515	30	1.6	1.04	99.00	14.85	113.85



### **Estimation of Costs for Pumps**

$$C_{Pumps} = \sum_{i=1}^{n} a_i * Q_i^{b_i}$$

The cost depends on the flow or capacity required

The number of pumps required in the system can be estimated according with the topography and the slope. Earle et al, 1999. http://www.wateronline.com/doc.mvc/Estimating-Sewer-Costs-A-Mathematical-Model-0001

Flat Terrain (<3%): 1 Pump of 12 l/s per 1.6 Km and 2 Pumps of 6 l/s per 1.6 Km. Rolling Terrain (3-10%) : 1 Pump of 6 l/s per 1.6 Km Steep Terrain (>10%): 2 Pumps of 12 l/s per 1.6 Km and 2 Pumps of 6 l/s per 1.6 Km

#### **References:**

Farrell, R.P., 1992, Two decades of experience with pressure sewer systems, *Journal of the New England Water Pollution Control Association.* 

R.S. Means Co., 1996, Site Work and Landscape Cost Data, 16<sup>th</sup> Kingston, Massachusetts. Environment One Corporation, 1995, Low-pressure sewer systems using environment one grinder pumps, Schenectady, New York.



### Simplified Sewerage or Condominial Sewerage

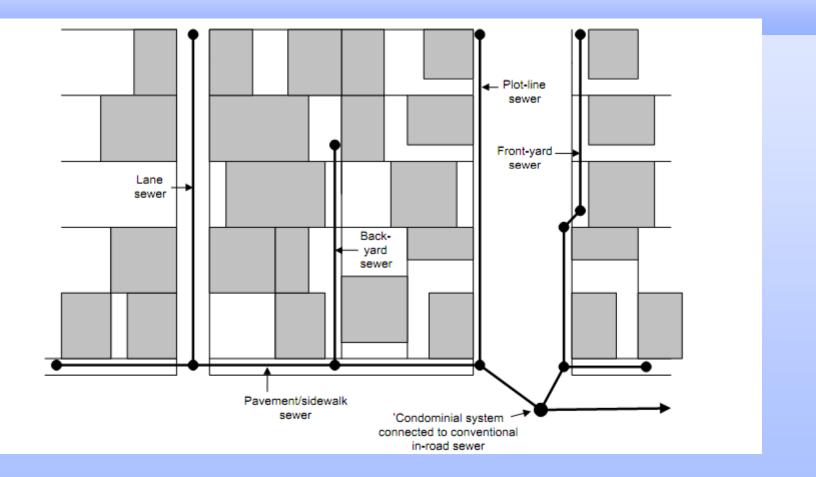
Simplified sewerage is an off-site sanitation technology that removes all wastewater from the household environment. Conceptually it is the same as conventional sewerage, but with conscious efforts made to eliminate unnecessarily conservative design features and to match design standards to the local situation. Mara et all, 2000.

#### **Key Features**

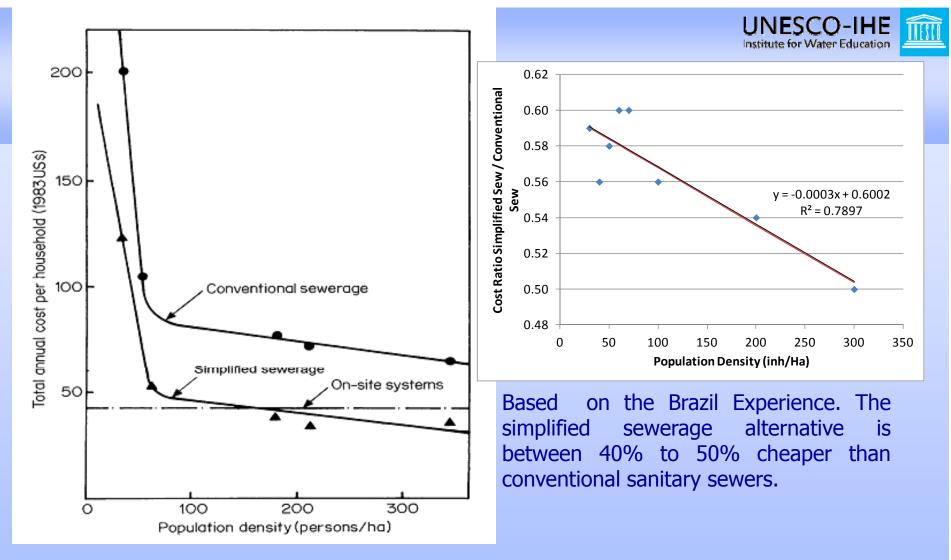
**Layout:** to reduce costs, simplified sewerage are developed as an in-block system, rather than as with conventional sewerage an in-road system. The key feature of an in-block system is that sewers are routed in private land, through either back or front yards.

**Depth and diameter:** simplified sewers are laid at shallow depths, often with covers of 0.4 m. or less. The minimum allowable sewer diameter is 100 mm, rather than the 150 mm or more that is normally required for conventional sewerage. The relatively shallow depth allows small access chambers to be used rather than large expensive.





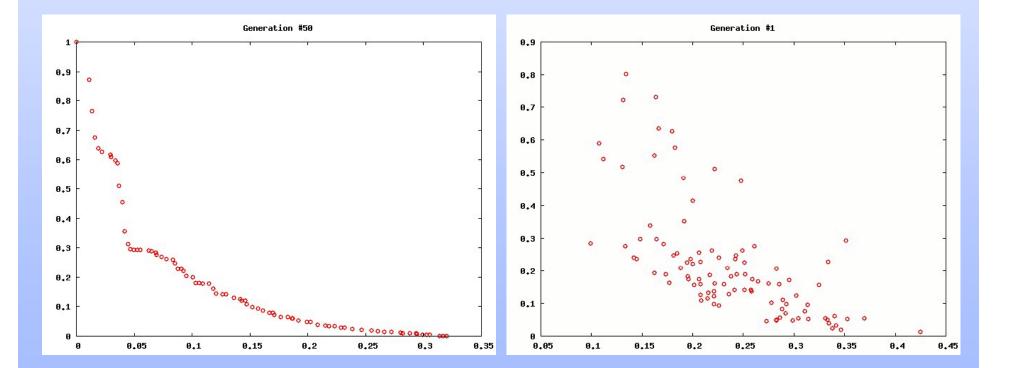
Example of Alternatives routes for simplifies sewers, Mara et al, 2000



Costs of conventional and simplified sewerage and on-site sanitation in Natal in northeast Brazil in 1983. Source: Sinnatamby, 1983

# **Parallel Computing**

### • Study Case and Results: Pareto Front



# References

### z.vojinovic@unesco-ihe.org

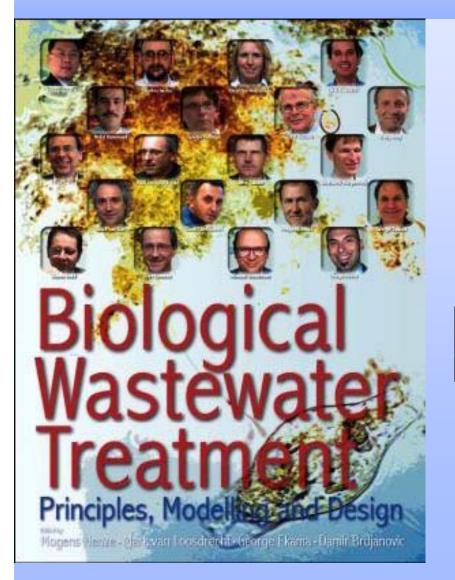
#### **Urban Hydroinformatics**

Data, Models and Decision Support for Integrated Urban Water Management

Roland K Price and Zoran Vojinović







www.urbanhydroinformatics.com

# Thank you for your attention!

# DEMONSTRATION

### **RETICULATION SELECTION MODULE - DEMO**

Selection of sewer reticulation network in relation to:

- Different Population Density
- Slope of Terrain

EXERCISE: Wastewater Technology Selection Module

Step 1

Urban area in Malaysia (KL): 30 Hectares Wastewater production per person per day: Group a) 100 liters/person/day Group b) 150 liters/person/day Group c) 200 liters/person/day

Wastewater source: Group a) Grey water (non-sewer); Group b) Sanitary Sewage; Group c) Combined Sewage;

Design Horizon: 20 years; O&M as % of CI: 3%; Discount Rate: 5% Factors for Consideration: Efficiency, Shock Resistance, Economy; <sup>67</sup> EXERCISE: Wastewater Technologies Selection Module

**Typical Values** 

BOD5: 54 (15 - 80) COD: 100 (25 - 200) TotP: 2 (1-3) TotN: 5 (2 - 15) TSS: 10 Vol/C: 200 (100 - 300) EXERCISE: Wastewater Technology Selection Module

Step 2

Government is considering to change to Singaporean Stds

What are the implications?

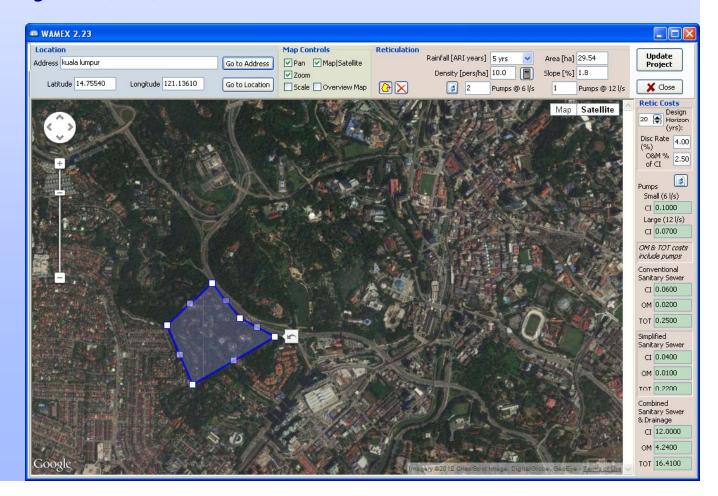
EXERCISE: Wastewater Technology Selection Module

Step 3

#### Government is considering to change to European Stds

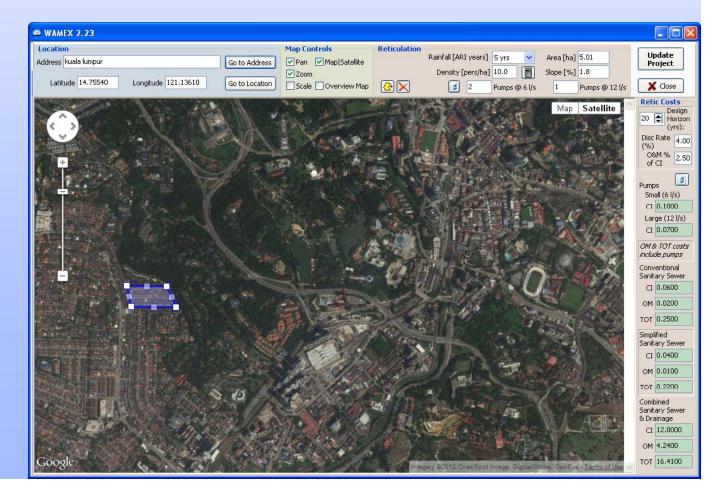
What are the implications?

#### Urban area in Malaysia (KL) needs to be sewered:



Step 1: Measurements

#### **Approximate development density:**



Step 2: Measurements

Terrain slope: 1% Design Horizon: 50 years; O&M as % of CI: 2%; Discount Rate: 5%

#### Calculate the costs of the following:

- Pumps/pumping stations
- Conventional sanitary sewer
- Simplified sanitary sewer
- Combined Sanitary Sewer and Drainage

# Discuss the findings within your group and present the conclusions!