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Information Session on the Consultation Approach for the Draft Environmental and Social Framework (ESF)



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POLICY REVIEW
AND UPDATE



Agenda

1. Technical Reminders (5 min)

Jelson Garcia, Senior Stakeholder Engagement Specialist (Moderator)

2. Background on the draft Environmental and Social Framework (ESF) (15 min)

Bruce Dunn, Director, Policy and Technical Services, Office of Safeguards (OSFG)

3. Overview of Consultations Approach for the draft ESF (20 min)

Madhumita Gupta, Principal Social Development Specialist (Safeguards), OSFG

4. Question and Answer (40 min)

5. Brief Evaluation (5 min)

6. Wrap up and Next Steps (5 min)

Bruce Dunn, Director, Policy & Technical Services, Office of Safeguards (OSFG)

Background on the Draft Environmental and Social Framework (ESF)

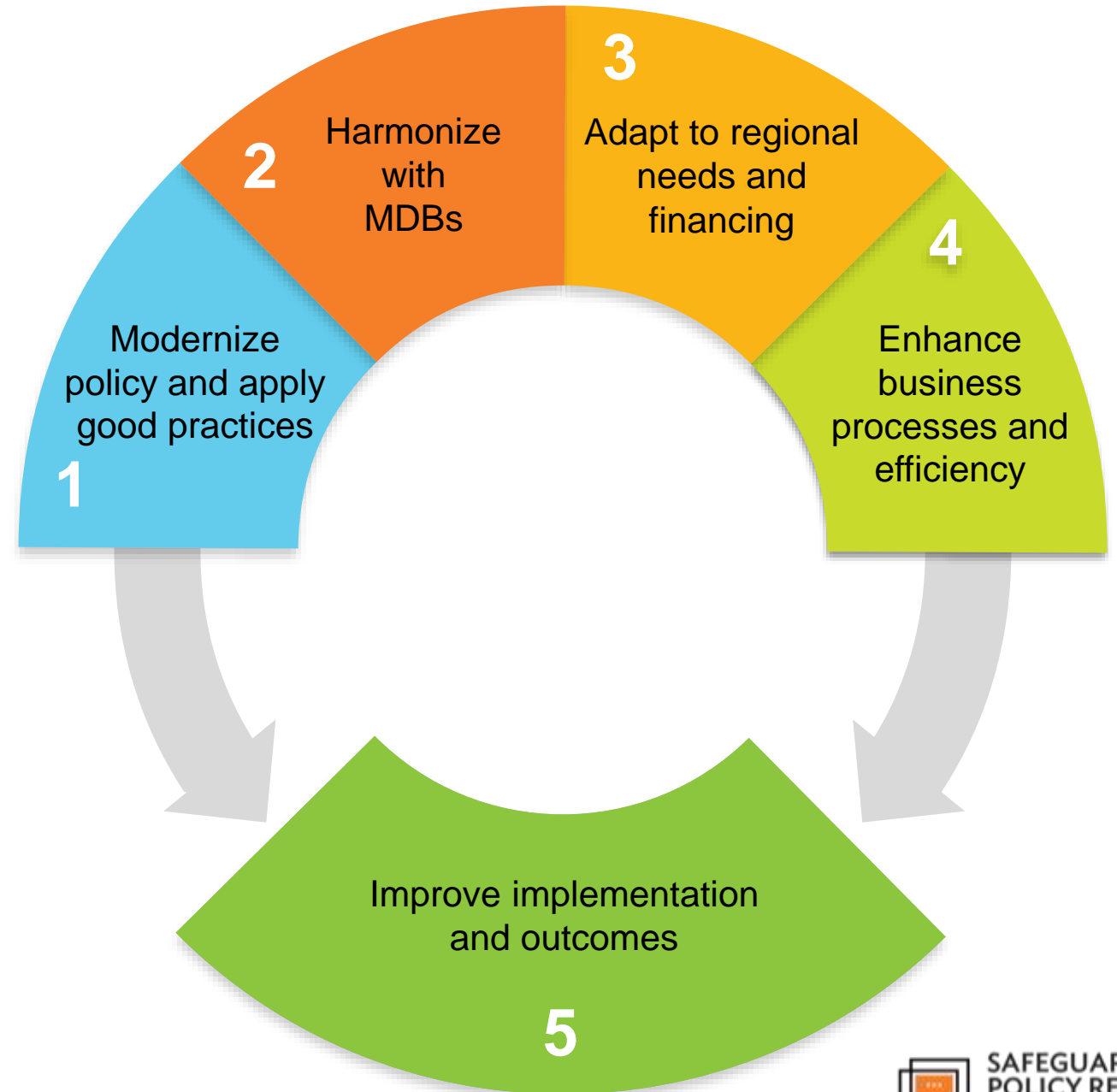
Bruce Dunn, Director, Policy and Technical Services, Office of Safeguards



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Objectives of Safeguard Policy Review and Update



Approach and Methodology

- 1. Policy update planning (August 2020–June 2021)**
 - [Background Information Paper](#) (November 2020).
 - [Stakeholder Engagement Plan](#) (April 2021, updated July 2021)
- 2. Analytical Studies (December 2020–June 2022)**
 - Policy architecture, benchmarking standards / thematic issues
 - Review implementation experience
- 3. Policy preparation (April 2022–August 2023)**
 - Working Paper for ADB Board consideration (October 2023)
 - Final ADB Board approval of R-Paper (Q2 2024)
- 4. Policy roll out (2024–2025)**
 - Implementation guidance, staff instructions, good practice notes,
 - Training and capacity building for staff and clients



Stakeholder Engagement & Consultation



Stakeholder Engagement Plan (SEP)

OBJECTIVES



Promote diverse participation and an inclusive process



Build interest and ownership in safeguards and update process



Ensure active participation through open, safe & iterative process



Communicate process, content, and outcomes with clarity

PROCESS

PHASE 1
(June 2020–May 2021)

- ✓ Initial outreach
- ✓ Preparation of a Stakeholder Engagement Plan (SEP)
- ✓ Preliminary information sessions with DMCs and CSOs

PHASE 2
(June 2021–Mar 2023)

- ✓ Publish analytical studies
- ✓ Regional consultations (all DMCs & CSOs)
- ✓ Private sector client consultations
- ✓ DMC country consultations (10 DMCs)
- ✓ Project-affected people consultations (9 projects)

PHASE 3
(Dec 2022 – March 2024)

- ✓ Consultations on draft ESF

Policy Preparations Undertaken

1. 18 thematic studies completed

- ✓ Policy architecture, environment, social and gender issues.
- ✓ Benchmarking ADB and MDBs
- ✓ Implementation experience and challenges

2. Stakeholder engagement

- ✓ **3600+** individuals consulted in total
- ✓ **80** online consultation events on thematic studies
- ✓ **10 DMCs** visited for in-country consultations
- ✓ **56** private sector clients consulted
- ✓ **9** project consultations with directly affected people
- ✓ **10+** focus group discussions on gender/SOGI issues
- ✓ **Established Indigenous People's Advisory Group**
- ✓ **Dialogue with other MDBs**

3. ADB Staff and Board Engagement

- ✓ Steering Committee, Lead Coordination Group & Technical Working Groups
- ✓ Informal Board Seminar (2020), Deep-Dives (2021 and 2022), bilateral meetings



Developing Member Country (DMC) in-country consultation

1. Tonga
2. Papua New Guinea
3. Mongolia
4. People's Republic of China
5. Pakistan
6. Republic of Marshall Islands
7. Philippines
8. India
9. Indonesia
10. Georgia

Stakeholder Engagement and Consultation

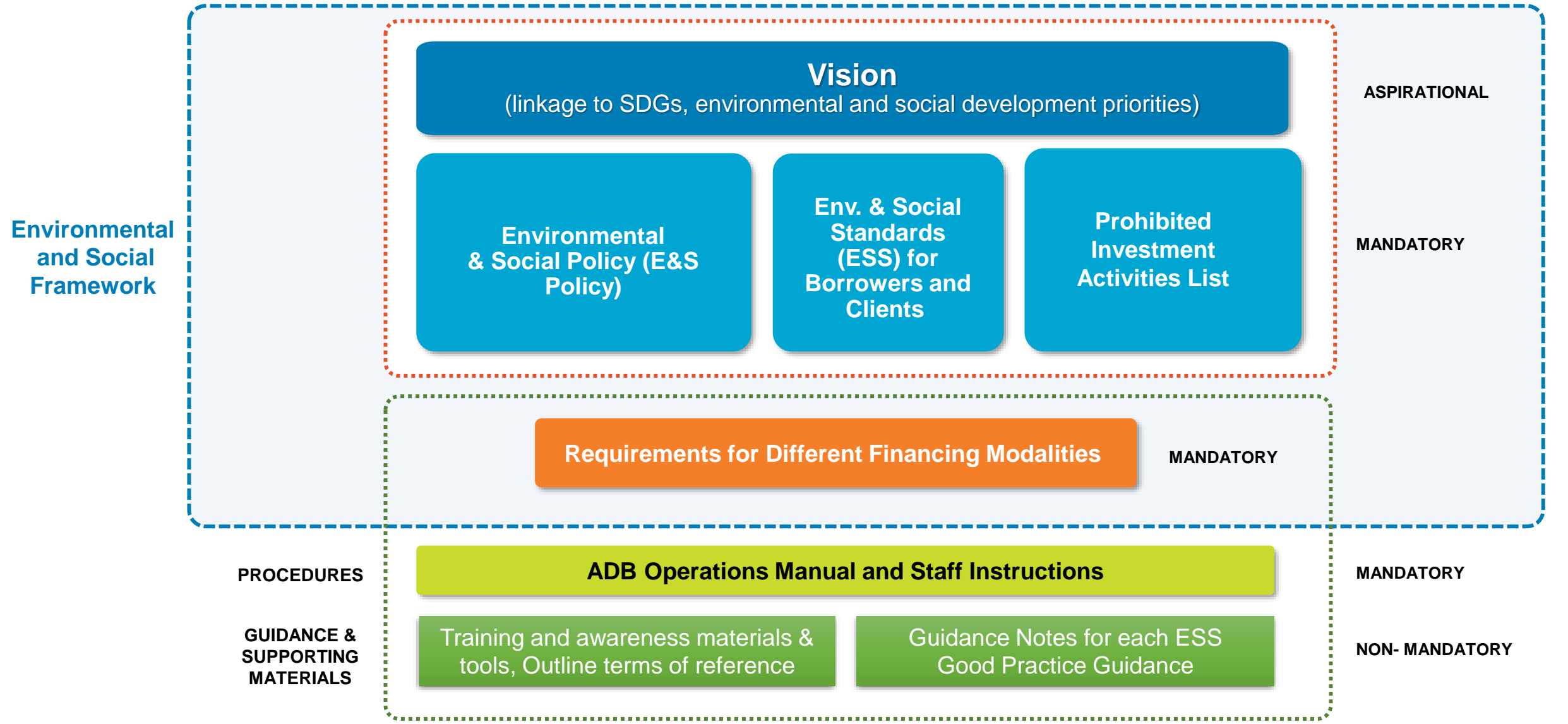


Preparation Schedule



Proposed Policy Architecture

Environmental and Social Framework



Environmental and Social Policy Standards (ESS)



10 proposed standards build off the current requirements of the Safeguard Policy Statement (SPS)

1
Assessment & Management of Environment and Social Risks and Impacts

2
Labor and Working Conditions

3
Pollution Prevention and Resource Efficiency

4
Health, Safety and Security

5
Land Acquisition and Land Use Restriction

6
Biodiversity and Sustainable Natural Resources Management

7
Indigenous Peoples

8
Cultural Heritage

9
Climate Change

10
Stakeholder Engagement and Information Disclosure

Environmental and Social Policy Standards (ESS)

Comparison of policy coverage with the Safeguards Policy Statement, 2009 (SPS)

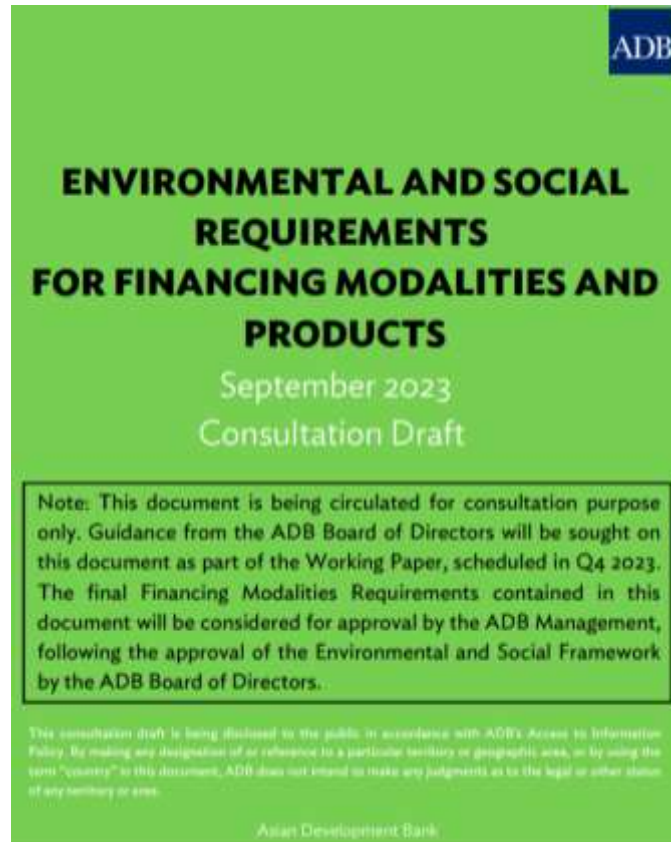
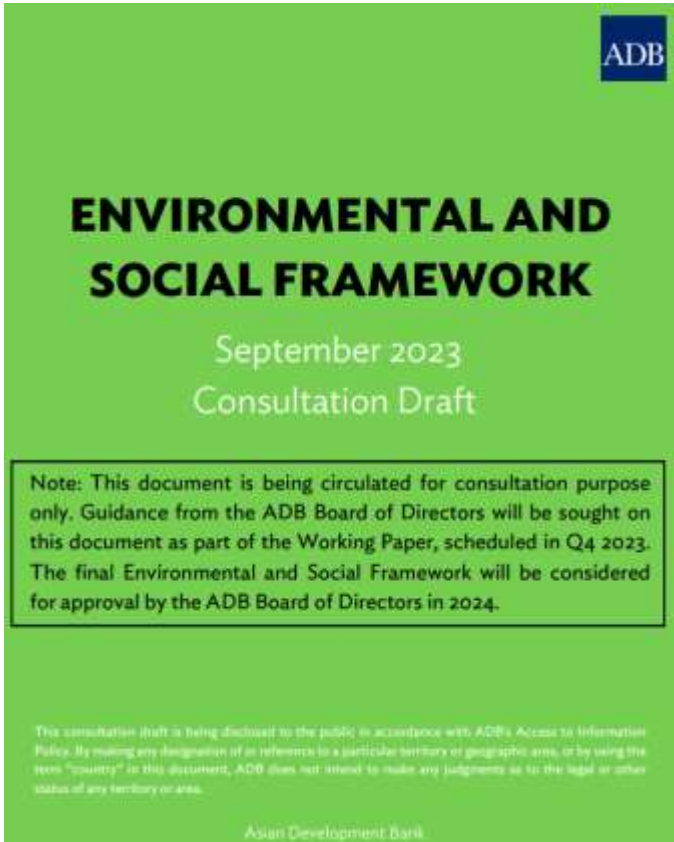


Environmental and Social Standards (ESS)	Equivalent SPS Policy Areas			
	ENV	IR	IP	Notes
ESS 1: Assessment & Management of Environmental and Social Risks and Impacts	✓	✓	✓	Integrates Environment (ENV), Involuntary Resettlement (IR), and Indigenous People's (IP) requirements
ESS 2: Labor and Working Conditions	✓			Builds on: (i) ADB Social Protection Strategy, 2001; (ii) SPS ENV principles for occupational health and safety; and (iii) Prohibited Investment Activities List.
ESS 3: Resource Conservation and Pollution Prevention	✓			
ESS 4: Health, Safety, and Security				
ESS 5: Land Acquisition and Land Use Restriction	✓	✓		
ESS 6: Biodiversity and Sustainable Natural Resources Management	✓			
ESS 7: Indigenous Peoples				
ESS 8: Cultural Heritage			✓	
ESS 9: Climate change	✓			ENV safeguards, plus ADB procedures for risk screening on climate change.
ESS 10: Stakeholder Engagement and Information Disclosure	✓	✓	✓	Integrates meaningful consultation, disclosure & grievance mechanisms from ENV, IR and IP safeguards

Consultation Materials



[Safeguard Policy Review | Asian Development Bank \(adb.org\)](https://www.adb.org)



Scan to download the W-Paper



Coming soon:



Brochures
on draft ESF
(with translation)



PowerPoint
Presentation
(with translation)



Explanatory
video on the
draft ESF

Posted on ADB website 7 Sept 2023

Overview of Consultations Approach for the draft ESF

Madhumita Gupta, Principal Social Development Specialist
(Safeguards), Office of Safeguards



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Consultation Objectives and Outputs

Consultation Objectives

1. Solicit and document feedback on the draft ESF to further inform the final policy paper (R-Paper)
2. Ensure spaces for stakeholder dialogue and participation so that the revised policy and its future implementation benefit from the feedback of ADB stakeholders.

Expected Outputs

1. Feedback summary from each consultation reviewed and disclosed
2. Stakeholder feedback considered into the revision of the policy paper, for approval by ADB Board

Commitment to Meaningful Consultation

1. Ensure active participation from various stakeholders through a **safe, open and transparent process**.
2. Promote **diverse representation** in an **inclusive process**.
3. Communicate **process, content, and outputs with clarity** to maximize understanding.
4. All types of feedback are welcome. They will **not be used for the purposes of retaliation, abuse, or any other kind of discrimination**.

Next Stage Consultations on Draft ESF



Regional
Consultations with
Governments

In-Country
Consultations with
Governments and
CSOs

CSO-focused
Regional
Consultations

Private Sector
Consultations

Other Consultations

- Non-regional member countries
- Peer MFIs and international organizations

Timeline

November 2023 to March 2024

Regional Consultations with Governments



- Organized in **4 regional hubs** (East & Southeast Asia, South Asia, Central & West Asia, and the Pacific)
- With **high-level** government representatives; participation will be **by nomination** (on-going)
- Sessions will **run for 2 days**, conducted **in-person**
- **Time** provided to government representatives to articulate official/institutional feedback on draft ESF
- **Simultaneous interpretation** support provided for selected languages
- **Feedback summary** prepared for review and disclosed after each consultation

In-Country Consultations with Governments and CSOs



- Organized in **7 selected DMCs** across 5 regions (Cambodia, Philippines, Indonesia, Fiji, India, Pakistan, People's Republic of China)
- **In-depth discussions of country level issues** and capacity development support to implement the ESF
- **Separate sessions** for government and CSOs
 - **In-person** for government sessions while a **hybrid** format is being considered for CSO sessions
 - Government participation will be by **nomination** (on-going)
 - CSO participation is open to those that joined Phase 2 consultations and other interested groups; invitations circulated at least 2 weeks in advance
- **Simultaneous interpretation** support provided
- **Feedback summary** prepared for review and disclosed after each consultation

CSO-focused Regional Consultations

- Design is work-in-progress; open to suggestions
- Goal is to ensure CSOs express their views and recommendations in the most meaningful and safest manner possible
- Current considerations:
 - Organized **by regional cluster** given the diversity of experiences and lessons learned
 - Duration: Either 1 whole day or 2 half days
 - Format: Online (Link to Zoom provided after registration)
 - Consultation materials circulated at least 2 weeks in advance

Private Sector Consultations and Other Meetings



- 3 focus group discussions (FGDs) with ADB's **private sector clients**, organized by types of transactions
 - Participation will be by invitation and will be done online
- In-person consultations with members of the **Indigenous Peoples Advisory Group (IPAG)**
- Meetings with **North American and European CSOs** will also be organized
- Meetings with peer **MFIs, UN agencies** and other international organizations
- **Additional discussions** on topics could be organized.

Preliminary Schedule



Host Country	Regional Consultations	In-Country Government Consultations	In-Country CSO Consultations
Peoples Republic of China	-	2-3 Nov 2023	-
Pakistan	Central & West Asia Governments 30 Nov-1 Dec 2023	4-5 Dec 2023	6 Dec 2023
Indonesia	-	12-13 Dec 2023	11 Dec 2023
Philippines	East & Southeast Asia Governments 22-23 Jan 2024	24-25 Jan 2024	26 Jan 2024*
India	South Asia Governments 12-13 Feb 2024	14-16 Feb 2024	19 Feb 2024*
Fiji	Pacific Governments 4-5 Mar 2024	6 Mar 2024	7 Mar 2024*
Cambodia	-	Mar 2024 (TBD)	-

* Back-to-back consultation meeting with members of the Indigenous Peoples' Advisory Group (IPAG)

- CSO-focused Regional Consultations – Jan to Mar 2024 (dates tbc)
- Private Sector Consultations – Mar 2024
- Meetings with CSOs in North America and Europe – Nov 2023 (tbc)

Ways To Provide Feedback



**Regional and In-Country
Consultations, and Other
Meetings**



Email
safeguardsupdate@adb.org



**Online Feedback
Form in the ADB website**

What will happen to your feedback?

- Due to the expected high number of feedback, ADB will not be able to respond individually to all comments.
- Stakeholder inputs from the consultations, and feedback received through various platforms will be documented.
- The stakeholder consultations summaries will be disclosed on the website.

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