

# 圆桌对话会（一） 亚太地区廉政合规政策



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# 概览

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# 亚行与廉政风险



## 什么是廉政风险？

廉政风险是指个人或实体在亚行融资、管理或支持的任何活动中违背道德或具有财务不当行为的风险。

此类不当行为包括任何违反亚行《反腐败政策》的行为，即腐败、欺诈、强迫、共谋及界定的其他违反廉政行为。

此类不当行为还包括其他不正当活动，包括未遵守最高道德标准、洗钱、资助恐怖主义、逃税以及国际标准界定下与金融犯罪风险有关的上游犯罪。

## 亚行在三类活动中面临廉政风险：

- 亚行公共部门业务： 亚行借款国政府（如利益冲突、内部控制环境、招标过程）以及参与这些政府项目的实体（如顾问和承包商）带来的廉政风险。
- 亚行私营部门业务： 亚行合约方与参与该业务的其他实体带来的廉政风险。
- 亚行机构活动： 与亚行机构活动有关的个人或实体的诚信风险（员工行为；亚行顾问和供应商行为；财务业务中交易方行为）。

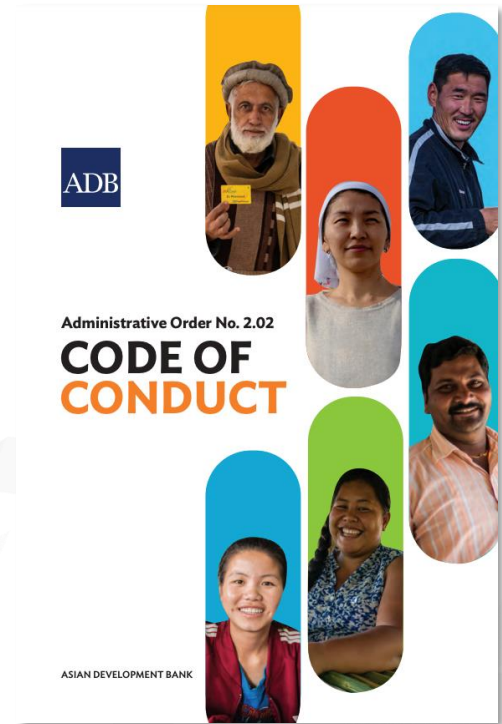
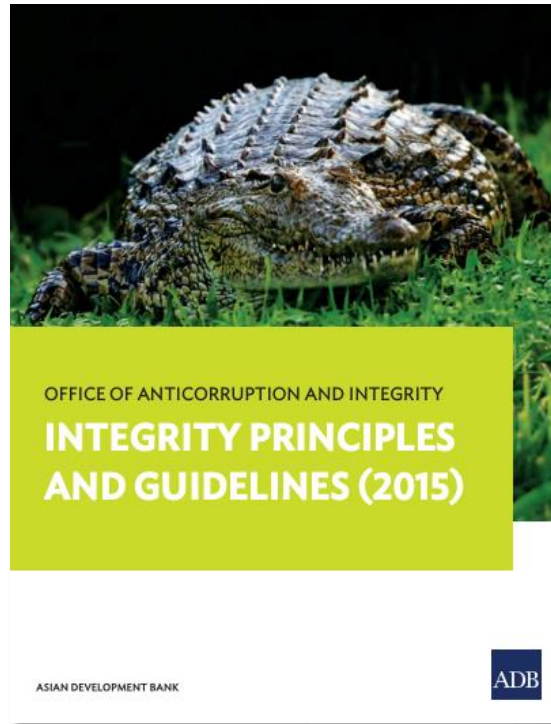
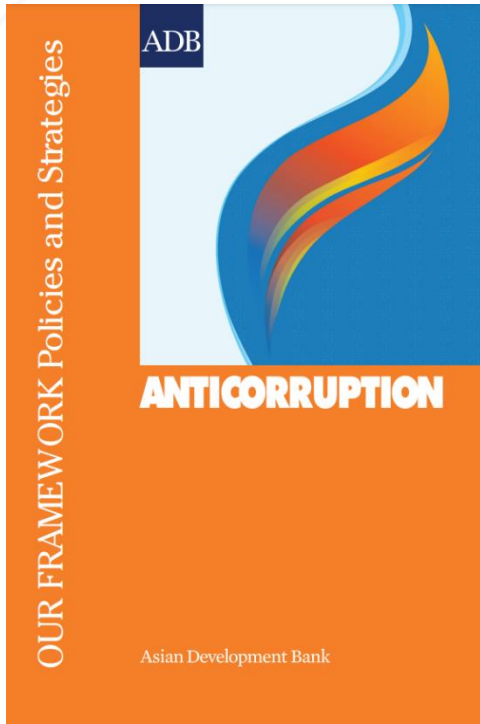
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## 基本政策特点



# 清晰

具体全面地阐述员工、交易对手、咨询顾问和承包商对合规的、道德的行为期望。





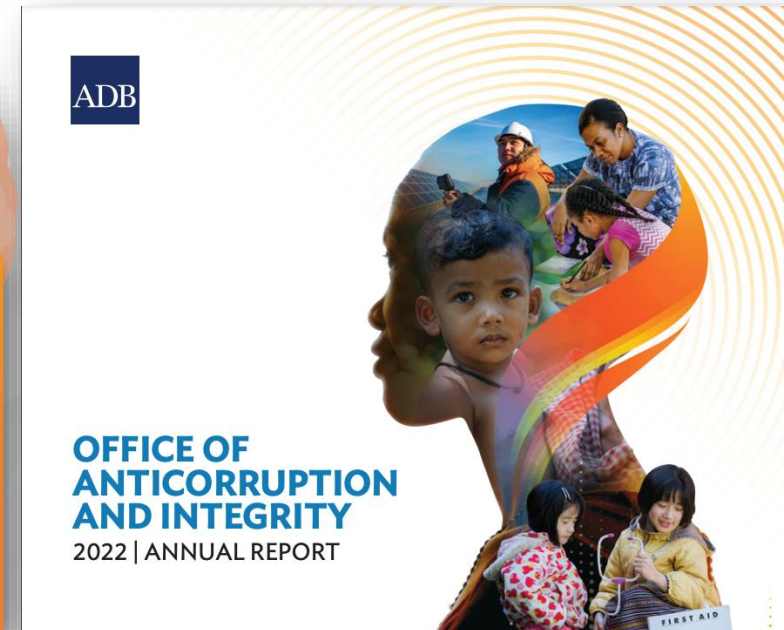
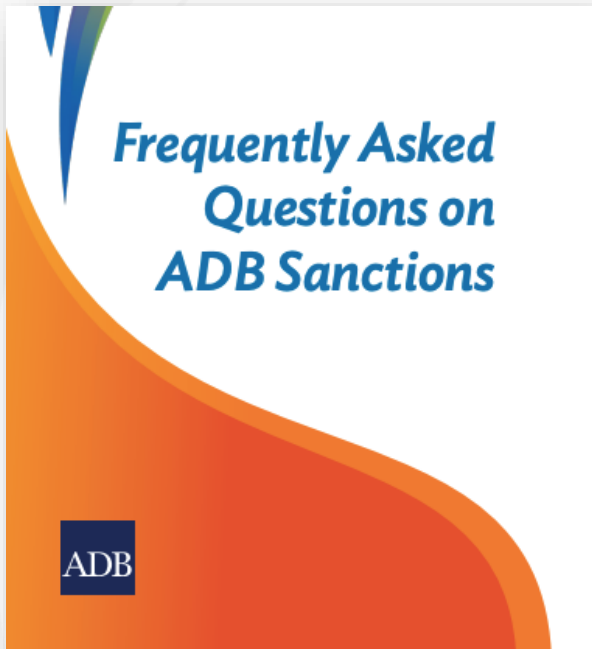


要求管理层为员工树立良好榜样。

- 亚行的《行为准则》要求管理人员“树立廉政典范”，“营造一个对不当行为零容忍的工作环境”，并“立即向相关独立道德职能部门报告任何涉嫌不当行为”。
- 根据亚行的反腐败政策，管理层的参与程度或监督水平会影响制裁的严重程度。

# 公开可见

制定要求并采取措施，确保合规、道德行为公开可见。



# 公开可见

## 网络研讨会

**Fighting the gendered impact of corruption**

InsightThursday  
Webinar hosted by OAI and ADB Gender Thematic Group

Gaelle Demolis  
UN Women Regional Office for Asia and the Pacific

**Safeguarding Integrity in the COVID-19 Response and Recovery**

ADB and OECD  
Anti-Corruption Resource for Asia Pacific

**Fighting Corruption at a Time of Crisis**

Innovation Speakers' Series  
in collaboration with OAI

Ms. Gretta Fenner  
Managing Director  
Basel Institute on Governance

Mr. Kelly Bird  
Country Director, Philippines  
Asian Development Bank

## 线上学习课程

**ANTICORRUPTION AND INTEGRITY AT ADB**

iACT to fight corruption.

**Why does ADB promote good governance and anticorruption?**

Poor governance and corruption deter investment, waste resources and distort their allocation, undermine the credibility of public authorities, and increase insecurity.

**ARE YOU AN INTEGRITY CHAMPION?**

Find out now!  
Visit [bit.ly/ADBAYIC](http://bit.ly/ADBAYIC)

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## 视频系列

**iACT iTalk**

A VIDEO SERIES ON  
ANTICORRUPTION AND INTEGRITY

**ADB Integrity**

VIDEOS PLAYLISTS CHANNELS ABOUT

Recently updated Playlist

- iACT iTalk Shorts: Gretta Fenner
- iACT iTalk Shorts: Gaelle Demolis
- iACT iTalk Shorts: Lorraine Wang
- iACT iTalk Shorts: Kelly Bird
- iACT iTalk Shorts: Carol Lee-Ariza
- iACT iTalk Shorts: Kelly Bird
- iACT iTalk Shorts: Lorraine Wang
- iACT iTalk Shorts: Kelly Bird

**#IntegrityByTheNumbers**

Remedial Actions

- 17 Debarments
- 10 Reprimands
- 7 Cautions

The remaining 10 investigations did not warrant

## 亚行博客

**Asian Development Blog**  
Straight Talk from Development Experts

Home / Topic / **Anticorruption and integrity in ADB operations**

Results 1 - 6 of 6

- Asia-Pacific countries must improve revenue collection to rebound from COVID-19**  
Collecting taxes more efficiently allows countries to improve their balance sheets after the pandemic while promoting business investment and sustainable economic growth.  
Bruno Carrasco, John Verschuoren, Daruska Mura
- Монголын төсвийн системийн өөрчлөлтүүдийг дэмжих нь төсвийн системийн өөрчлөлтүүдийг дэмжихэд хэрхэн хэрэгтэй?**  
Монголын төсвийн системийн өөрчлөлтүүдийг дэмжих нь төсвийн системийн өөрчлөлтүүдийг дэмжихэд хэрэгтэй.  
Kanojkan Lao-Araya, Carlo Antonio Garcia
- Can a more secure financial system help raise Bhutan's Gross National Happiness?**  
Bhutan is working to decrease opportunities for money laundering while increasing the security of the financial system.  
Kanojkan Lao-Araya, Carlo Antonio Garcia
- Монгол Улс мөнгө угаахтай холбоотой саарал жагсаалтаас түүхэн богино хугацаанд хэрхэн амжилттай гарч чадсан бэ?**  
Монгол Улс мөнгө угаах, терроризмыг санхүүжилтэй тэмцэх бодлогыг идэвхтэй санхүүжилтэй хэрэгжүүлж төлөвлөө боловсруулагдаж хөгжлийн түншүүд болон хандлагын улс орнуудтайгаа нэгт хамтран ажилласан.  
Decian Magea, Carlo Antonio Garcia
- How did Mongolia get off the money laundering watch list in record time?**  
Mongolia worked with development partners and donor nations to craft a plan that pro-actively pursued policies on anti-money laundering and combating the financing of terrorism.  
Decian Magea, Carlo Antonio Garcia
- Five ways to stop corruption in its tracks**  
During the pandemic, corruption in projects could mean the difference between life and death for the poor and vulnerable.  
H. Lorraine Wang (王惠琳), RICHIELE TAN MASCARINAS

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优良的管理环境





董事会人力资源委员会

主席

董事会审计与风险委员会

职业道德和行为办公室

为员工提供关于工作场所行为问题的正式咨询

督察专员

面向员工的非正式、公正和保密的申诉机制

反腐和廉政办公室

独立的预防、合规和调查职能以及纪律程序

- 举报保密
- 调查和制裁违规行为的程序公平透明
- 追求威慑和补救的双重目标